

Exhibit A

Response to Office Action for PATHFINDER
Serial No. 90/054,376

Generated on: This page was generated by TSDR on 2021-03-30 18:47:55 EDT

Mark: PATHFINDER WEALTH MANAGEMENT

PATHFINDER WEALTH
MANAGEMENT

US Serial Number: 77147715

Application Filing Date: Apr. 03, 2007

US Registration Number: 3581091

Registration Date: Feb. 24, 2009

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: The registration has been renewed.

Status Date: Jun. 23, 2018

Publication Date: Apr. 01, 2008

Notice of Allowance Date: Jun. 24, 2008

Mark Information

Mark Literal Elements: PATHFINDER WEALTH MANAGEMENT

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH MANAGEMENT"

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (()) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks "*" identify additional (new) wording in the goods/services.

For: Financial services, namely, personal estate planning and financial retirement planning for individuals

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Apr. 30, 2008

Use in Commerce: Apr. 30, 2008

Basis Information (Case Level)

Filed Use: No

Currently Use: Yes

Filed ITU: Yes

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Pathfinder Wealth Management, Inc.

Owner Address: 6981 Redansa Drive
Rockford, ILLINOIS UNITED STATES 61108

Legal Entity Type: CORPORATION

State or Country ILLINOIS
Where Organized:

Attorney/Correspondence Information

Attorney of Record - None

Correspondent

Correspondent Name/Address: PATHFINDER WEALTH MANAGEMENT, INC.
PATHFINDER WEALTH MANAGEMENT, INC.
6981 REDANSA DRIVE
ROCKFORD, ILLINOIS UNITED STATES 61108

Phone: 815-399-9806

Fax: 815-399-7808

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 23, 2018	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Jun. 23, 2018	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	68502
Jun. 23, 2018	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	68502
Jun. 23, 2018	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	68502
Jun. 12, 2018	TEAS SECTION 8 & 9 RECEIVED	
Jun. 12, 2018	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Feb. 24, 2018	COURTESY REMINDER - SEC. 8 (10-YR)/SEC. 9 E-MAILED	
Jun. 02, 2014	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Jun. 02, 2014	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	64591
May 13, 2014	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	64591
Jun. 02, 2014	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	64591
May 13, 2014	TEAS SECTION 8 & 15 RECEIVED	
Feb. 24, 2009	REGISTERED-PRINCIPAL REGISTER	
Jan. 21, 2009	LAW OFFICE REGISTRATION REVIEW COMPLETED	67215
Jan. 14, 2009	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Jan. 13, 2009	STATEMENT OF USE PROCESSING COMPLETE	65362
Dec. 24, 2008	USE AMENDMENT FILED	65362
Jan. 13, 2009	CASE ASSIGNED TO INTENT TO USE PARALEGAL	65362
Dec. 24, 2008	TEAS STATEMENT OF USE RECEIVED	
Jun. 24, 2008	NOA MAILED - SOU REQUIRED FROM APPLICANT	
May 30, 2008	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
May 30, 2008	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Apr. 01, 2008	PUBLISHED FOR OPPOSITION	
Mar. 12, 2008	NOTICE OF PUBLICATION	
Feb. 28, 2008	LAW OFFICE PUBLICATION REVIEW COMPLETED	67215
Feb. 27, 2008	APPROVED FOR PUB - PRINCIPAL REGISTER	
Feb. 21, 2008	APPLICANT/CORRESPONDENCE CHANGES (NON-RESPONSIVE) ENTERED	67215
Feb. 21, 2008	AMENDMENT FROM APPLICANT ENTERED	67215
Feb. 21, 2008	CORRESPONDENCE RECEIVED IN LAW OFFICE	67215
Feb. 21, 2008	APPLICANT/CORRESPONDENCE CHANGES (NON-RESPONSIVE) ENTERED	67215
Feb. 21, 2008	ASSIGNED TO LIE	67215
Feb. 07, 2008	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Jan. 22, 2008	PAPER RECEIVED	
Sep. 27, 2007	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Sep. 27, 2007	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Jul. 17, 2007	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Jul. 17, 2007	NON-FINAL ACTION E-MAILED	6325

Jul. 17, 2007 NON-FINAL ACTION WRITTEN
Jul. 17, 2007 ASSIGNED TO EXAMINER
Apr. 07, 2007 NOTICE OF PSEUDO MARK MAILED
Apr. 06, 2007 NEW APPLICATION ENTERED IN TRAM

78325
78325

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE

Date in Location: Jun. 23, 2018

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Mark: JH TARGET DATE PATHFINDER

JH TARGET DATE PATHFINDER

US Serial Number: 87151666

Application Filing Date: Aug. 26, 2016

US Registration Number: 5303042

Registration Date: Oct. 03, 2017

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Oct. 03, 2017

Publication Date: Jun. 06, 2017

Notice of Allowance Date: Aug. 01, 2017

Mark Information

Mark Literal Elements: JH TARGET DATE PATHFINDER

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "TARGET DATE"

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (()) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Providing investment option information to financial professionals by means of a website

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Oct. 25, 2016

Use in Commerce: Oct. 25, 2016

For: Software as a service (SaaS) services featuring software that enables financial professionals to perform investment option analysis and provide information on investment options to customers

International Class(es): 042 - Primary Class

U.S Class(es): 100, 101

Class Status: ACTIVE

Basis: 1(a)

First Use: Oct. 25, 2016

Use in Commerce: Oct. 25, 2016

Basis Information (Case Level)

Filed Use: No

Currently Use: Yes

Filed ITU: Yes
Filed 44D: No
Filed 44E: No
Filed 66A: No
Filed No Basis: No

Currently ITU: No
Currently 44E: No
Currently 66A: No
Currently No Basis: No

Current Owner(s) Information

Owner Name: John Hancock Retirement Plan Services, LLC
Owner Address: 200 Berkeley Street
Boston, MASSACHUSETTS UNITED STATES 02117
Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country Where Organized: MASSACHUSETTS

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jenevieve J. Maerker,
Attorney Primary Email Address: ustrademark@foleyhoag.com
Docket Number: 04310.00002
Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: JENEVIEVE J. MAERKER,
FOLEY HOAG LLP
155 SEAPORT BOULEVARD
SEAPORT WEST
BOSTON, MASSACHUSETTS UNITED STATES 02210-2600
Phone: 617-832-1000
Fax: 617-832-7000
Correspondent e-mail: ustrademark@foleyhoag.com
Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Feb. 14, 2019	APPLICANT/CORRESPONDENCE CHANGES (NON-RESPONSIVE) ENTERED	88888
Feb. 14, 2019	TEAS CHANGE OF OWNER ADDRESS RECEIVED	
Oct. 03, 2017	REGISTERED-PRINCIPAL REGISTER	
Sep. 01, 2017	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Aug. 31, 2017	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Aug. 30, 2017	STATEMENT OF USE PROCESSING COMPLETE	66230
Aug. 09, 2017	USE AMENDMENT FILED	66230
Aug. 28, 2017	CASE ASSIGNED TO INTENT TO USE PARALEGAL	66230
Aug. 09, 2017	TEAS STATEMENT OF USE RECEIVED	
Aug. 01, 2017	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jun. 06, 2017	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jun. 06, 2017	PUBLISHED FOR OPPOSITION	
May 17, 2017	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Apr. 28, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Apr. 11, 2017	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Apr. 10, 2017	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Apr. 10, 2017	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Oct. 25, 2016	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Oct. 25, 2016	NON-FINAL ACTION E-MAILED	6325
Oct. 25, 2016	NON-FINAL ACTION WRITTEN	73362
Oct. 19, 2016	ASSIGNED TO EXAMINER	73362
Sep. 01, 2016	NOTICE OF PSEUDO MARK E-MAILED	
Aug. 31, 2016	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Aug. 31, 2017

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Mark: PATHFINDER FORMULA

Pathfinder Formula

US Serial Number: 88269822

Application Filing Date: Jan. 21, 2019

US Registration Number: 5821532

Registration Date: Jul. 30, 2019

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Jul. 30, 2019

Publication Date: May 14, 2019

Mark Information

Mark Literal Elements: PATHFINDER FORMULA

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((...)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *...* identify additional (new) wording in the goods/services.

For: Financial services, namely, wealth management services; Financial advice and consultancy services; Financial advisory and consultancy services; Financial advisory and consultancy services, namely, the creation of personalized strategies to achieve financial independence; Financial analysis and research services; Financial and investment services, namely, asset and investment acquisition, consultation, advisory and development; Financial consulting services, namely, expert analysis in finance; Financial evaluation, tracking, analysis, forecasting, consultancy, advisory and research services relating to securities and other financial instruments; Financial information and advisory services; Financial investment brokerage services; Financial planning and investment advisory services; Financial portfolio analysis services; Financial restructuring services; Financial retirement plan consulting services; Financial risk assessment services; Insurance and financial information and consultancy services; Strategic financial advisory services

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Nov. 28, 2018

Use in Commerce: Nov. 28, 2018

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Filed ITU: No

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Silvertree, LLC

Owner Address: 2715 Post Road, Suite A
Stevens Point, WISCONSIN UNITED STATES 544816456

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country WISCONSIN
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Brandon Carlin

Attorney Primary bjc@carlinbarnett.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent BRANDON CARLIN
Name/Address: CARLIN & BARNETT LLC
1317 COLLEGE COURT
STEVENS POINT, WISCONSIN UNITED STATES 54481

Phone: 715-997-9002 x3

Correspondent e- bjc@carlinbarnett.com
mail:

Correspondent e- Yes
mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jul. 30, 2019	REGISTERED-PRINCIPAL REGISTER	
May 14, 2019	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
May 14, 2019	PUBLISHED FOR OPPOSITION	
Apr. 24, 2019	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Apr. 04, 2019	APPROVED FOR PUB - PRINCIPAL REGISTER	
Apr. 02, 2019	ASSIGNED TO EXAMINER	76582
Feb. 12, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jan. 24, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Jul. 30, 2019

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Mark: SMARTBEN PATHFINDER

SMARTBEN PATHFINDER

US Serial Number: 87530958

Application Filing Date: Jul. 17, 2017

US Registration Number: 5406591

Registration Date: Feb. 20, 2018

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Feb. 20, 2018

Publication Date: Dec. 05, 2017

Mark Information

Mark Literal Elements: SMARTBEN PATHFINDER

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (..) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Administration of employee benefit plans concerning insurance and finance

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Mar. 01, 2015

Use in Commerce: Mar. 01, 2015

For: Educational services, namely, providing on-line instructional resources, interactive video tutorials and decision making tools in the nature of cost calculators in the field of employee benefits; Providing a website featuring resources, namely, a website featuring primarily non-downloadable publications in the nature of guides, summaries, descriptions, comparisons, instructional videos, interactive question and answer guides in the field of employee benefits plans and also featuring non-downloadable software for providing real-time benefit information, including benefit elections, deductible calculations, out of pocket maximum calculations, co-pay and related consumer cost estimates, and pharmacy information

International Class(es): 041 - Primary Class

U.S Class(es): 100, 101, 107

Class Status: ACTIVE

Basis: 1(a)

First Use: Mar. 01, 2015

Use in Commerce: Mar. 01, 2015

For: Providing a website featuring resources, namely, non-downloadable software for employers to administer benefit programs, including self-service enrollment by employees, decision support for enrollment, change processing, and communicating enrollment and other

benefit related information to employers, insurance carriers, payroll providers, third-party administrators, and related parties; Providing an online non-downloadable Internet-based system application featuring technology enabling users to select and enroll in benefit programs, administer benefit programs, and communicate benefit-related information to employers, employees, insurance carriers, payroll providers and other third-parties; Software as a service (SAAS) services featuring software for human resource information management; Software as a service (SAAS) services featuring software for enrollment, administration, communication, compliance and decision support concerning employee benefit programs

International Class(es): 042 - Primary Class

U.S Class(es): 100, 101

Class Status: ACTIVE

Basis: 1(a)

First Use: Mar. 01, 2015

Use in Commerce: Mar. 01, 2015

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Filed ITU: No

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Hodges-Mace, LLC

Owner Address: 5775-E Glenridge Drive, Suite 500
Atlanta, GEORGIA UNITED STATES 30328

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jerre B. Swann, Jr.

Docket Number: 44838.001001

Attorney Primary Email Address: tmdoctc@fr.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: JERRE B. SWANN, JR.
FISH & RICHARDSON, P.C.
P.O. BOX 1022
MINNEAPOLIS, MINNESOTA UNITED STATES 55440-1022

Phone: 404-724-2831

Fax: 4047242831

Correspondent e-mail: swann@fr.com swann@fr.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
May 13, 2020	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Sep. 18, 2019	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Feb. 20, 2018	REGISTERED-PRINCIPAL REGISTER	
Dec. 05, 2017	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Dec. 05, 2017	PUBLISHED FOR OPPOSITION	
Nov. 15, 2017	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Nov. 02, 2017	LAW OFFICE PUBLICATION REVIEW COMPLETED	66121
Oct. 30, 2017	ASSIGNED TO LIE	66121
Oct. 18, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Oct. 17, 2017	EXAMINER'S AMENDMENT ENTERED	88888

Oct. 17, 2017	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Oct. 17, 2017	EXAMINERS AMENDMENT E-MAILED	6328
Oct. 17, 2017	EXAMINERS AMENDMENT -WRITTEN	73731
Oct. 16, 2017	ASSIGNED TO EXAMINER	73731
Jul. 24, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jul. 20, 2017	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION **Date in Location:** Feb. 20, 2018

Assignment Abstract Of Title Information

Summary

Total Assignments: 2 **Registrant:** Hodges-Mace, LLC

Assignment 1 of 2

Conveyance: SECURITY AGREEMENT

Reel/Frame: [6741/0804](#)

Pages: 7

Date Recorded: Sep. 11, 2019

Supporting Documents: [assignment-tm-6741-0804.pdf](#)

Assignor

Name: [HODGES-MACE, LLC](#)

Execution Date: Sep. 09, 2018

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Assignee

Name: [BANK OF AMERICA, N.A., AS COLLATERAL AGENT](#)

Legal Entity Type: BANK

State or Country Where Organized: UNITED STATES

Address: 101 N TRYON STREET
CHARLOTTE, NORTH CAROLINA 28255-0001

Correspondent

Correspondent Name: CT CORPORATION

Correspondent Address: 4400 EASTON COMMONS WAY
SUITE 125
COLUMBUS, OH 43219

Domestic Representative - Not Found

Assignment 2 of 2

Conveyance: SECURITY AGREEMENT

Reel/Frame: [6933/0362](#)

Pages: 11

Date Recorded: May 08, 2020

Supporting Documents: [assignment-tm-6933-0362.pdf](#)

Assignor

Name: [ALIGHT SOLUTIONS LLC](#)

Execution Date: May 07, 2020

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: ILLINOIS

Name: [HODGES-MACE, LLC](#)

Execution Date: May 07, 2020

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Assignee

Name: [WILMINGTON TRUST, NATIONAL ASSOCIATION, AS NOTES COLLATERAL AGENT](#)

Legal Entity Type: BANK

State or Country UNITED STATES
Where Organized:

Address: 50 SOUTH SIXTH STREET, SUITE 1290
MINNEAPOLIS, MINNESOTA 55402

Correspondent

Correspondent Name: CT CORPORATION

Correspondent Address: 4400 EASTON COMMONS WAY
SUITE 125
COLUMBUS, OH 43219

Domestic Representative - Not Found

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Mark: PATHFINDER SMARTBEN



US Serial Number: 87530956

Application Filing Date: Jul. 17, 2017

US Registration Number: 5406590

Registration Date: Feb. 20, 2018

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Feb. 20, 2018

Publication Date: Dec. 05, 2017

Mark Information

Mark Literal Elements: PATHFINDER SMARTBEN

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of the word "Pathfinder" in which the letter "A" is represented by a road, all appearing above the word "SmartBen."

Color(s) Claimed: Color is not claimed as a feature of the mark.

Design Search Code(s): 07.11.07 - Streets with lines or dividers; Roads with lines or dividers; intersections (roads) with lines or dividers; Highways with lines or dividers
27.03.05 - Objects forming letters or numerals

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Administration of employee benefit plans concerning insurance and finance

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Mar. 01, 2015

Use in Commerce: Mar. 01, 2015

For: Educational services, namely, providing on-line instructional resources, interactive video tutorials and decision making tools in the nature of cost calculators in the field of employee benefits; Providing a website featuring resources, namely, a website featuring primarily non-downloadable publications in the nature of guides, summaries, descriptions, comparisons, instructional videos, interactive question and answer guides in the field of employee benefits plans and also featuring non-downloadable software for providing real-time benefit information, including benefit elections, deductible calculations, out of pocket maximum calculations, co-pay and related consumer cost estimates, and pharmacy information

International Class(es): 041 - Primary Class

U.S Class(es): 100, 101, 107

Class Status: ACTIVE

Basis: 1(a)

First Use: Mar. 01, 2015

Use in Commerce: Mar. 01, 2015

For: Providing a website featuring resources, namely, non-downloadable software for employers to administer benefit programs, including self-service enrollment by employees, decision support for enrollment, change processing, and communicating enrollment and other benefit related information to employers, insurance carriers, payroll providers, third-party administrators, and related parties; Providing an online non-downloadable Internet-based system application featuring technology enabling users to select and enroll in benefit programs, administer benefit programs, and communicate benefit-related information to employers, employees, insurance carriers, payroll providers and other third-parties; Software as a service (SAAS) services featuring software for human resource information management; Software as a service (SAAS) services featuring software for enrollment, administration, communication, compliance and decision support concerning employee benefit programs

International Class(es): 042 - Primary Class

U.S Class(es): 100, 101

Class Status: ACTIVE

Basis: 1(a)

First Use: Mar. 01, 2015

Use in Commerce: Mar. 01, 2015

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Filed ITU: No

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Hodges-Mace, LLC

Owner Address: 5775-E Glenridge Drive, Suite 500
Atlanta, GEORGIA UNITED STATES 30328

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jerre B. Swann, Jr.

Docket Number: 44838.001001

Attorney Primary Email Address: tmdoctc@fr.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: JERRE B. SWANN, JR.
FISH & RICHARDSON, P.C.
P.O. BOX 1022
MINNEAPOLIS, MINNESOTA UNITED STATES 55440-1022

Phone: 404-724-2831

Fax: 4047242831

Correspondent e-mail: swann@fr.com swann@fr.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
May 13, 2020	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Sep. 18, 2019	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Feb. 20, 2018	REGISTERED-PRINCIPAL REGISTER	
Dec. 05, 2017	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Dec. 05, 2017	PUBLISHED FOR OPPOSITION	

Nov. 15, 2017	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Nov. 02, 2017	LAW OFFICE PUBLICATION REVIEW COMPLETED	66121
Oct. 30, 2017	ASSIGNED TO LIE	66121
Oct. 18, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Oct. 17, 2017	EXAMINER'S AMENDMENT ENTERED	88888
Oct. 17, 2017	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Oct. 17, 2017	EXAMINERS AMENDMENT E-MAILED	6328
Oct. 17, 2017	EXAMINERS AMENDMENT -WRITTEN	73731
Oct. 16, 2017	ASSIGNED TO EXAMINER	73731
Jul. 25, 2017	NOTICE OF DESIGN SEARCH CODE E-MAILED	
Jul. 24, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jul. 20, 2017	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Feb. 20, 2018

Assignment Abstract Of Title Information

Summary

Total Assignments: 2

Registrant: Hodges-Mace, LLC

Assignment 1 of 2

Conveyance: SECURITY AGREEMENT

Reel/Frame: [6741/0804](#)

Pages: 7

Date Recorded: Sep. 11, 2019

Supporting Documents: [assignment-tm-6741-0804.pdf](#)

Assignor

Name: [HODGES-MACE, LLC](#)

Execution Date: Sep. 09, 2018

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Assignee

Name: [BANK OF AMERICA, N.A., AS COLLATERAL AGENT](#)

Legal Entity Type: BANK

State or Country Where Organized: UNITED STATES

Address: 101 N TRYON STREET
CHARLOTTE, NORTH CAROLINA 28255-0001

Correspondent

Correspondent Name: CT CORPORATION

Correspondent Address: 4400 EASTON COMMONS WAY
SUITE 125
COLUMBUS, OH 43219

Domestic Representative - Not Found

Assignment 2 of 2

Conveyance: SECURITY AGREEMENT

Reel/Frame: [6933/0362](#)

Pages: 11

Date Recorded: May 08, 2020

Supporting Documents: [assignment-tm-6933-0362.pdf](#)

Assignor

Name: [ALIGHT SOLUTIONS LLC](#)

Execution Date: May 07, 2020

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country: ILLINOIS

Where Organized:

Name: [HODGES-MACE, LLC](#)
Legal Entity Type: LIMITED LIABILITY COMPANY

Execution Date: May 07, 2020
State or Country: DELAWARE
Where Organized:

Assignee

Name: [WILMINGTON TRUST, NATIONAL ASSOCIATION, AS NOTES COLLATERAL AGENT](#)
Legal Entity Type: BANK

State or Country: UNITED STATES
Where Organized:

Address: 50 SOUTH SIXTH STREET, SUITE 1290
MINNEAPOLIS, MINNESOTA 55402

Correspondent

Correspondent Name: CT CORPORATION

Correspondent Address: 4400 EASTON COMMONS WAY
SUITE 125
COLUMBUS, OH 43219

Domestic Representative - Not Found