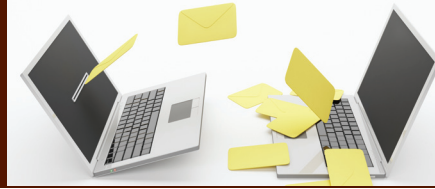




Broadridge® Consent Express



A complete solution for electronic consent collection, archiving, and management servicing from the company that introduced the first electronic prospectus.

Benefits:

- ▶ Reduced transaction costs by eliminating paper, printing and postage
- ▶ Meets ESIGN and regulatory requirements for e-delivery
- ▶ Custom branded for seamless integration and transparency within a client's website
- ▶ Easy to implement because Consent Express is a web-based ASP solution
- ▶ A patent-protected process for consent collection unique to Broadridge

Today 240 million Americans are on the Internet¹. Broadridge Consent Express can drive your investor disclosure document deliveries online too.

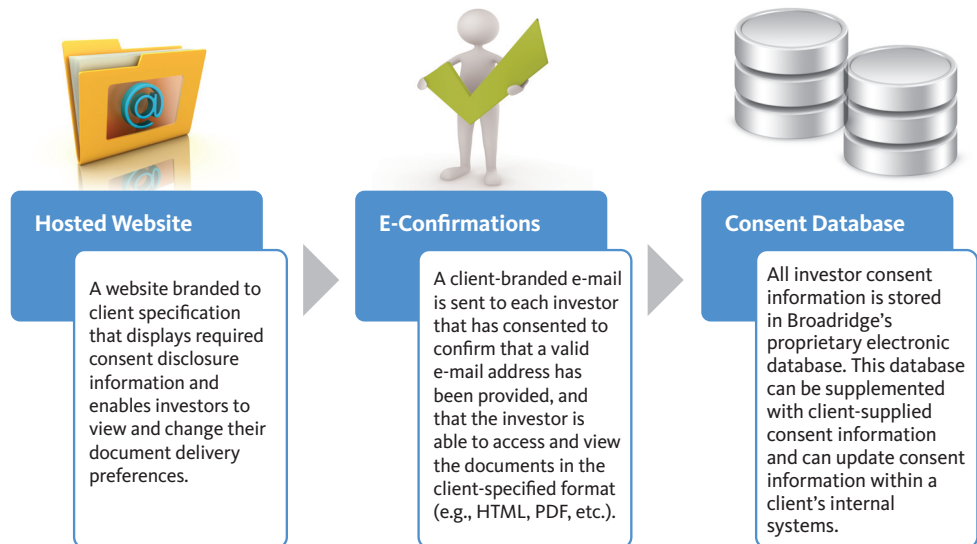
Many leading investment firms now offer clients online access to their account information. Shouldn't all their investor communications be available online too? We can help you implement a fail-safe solution that meets all ESIGN (Electronic Signatures in Global and National Commerce Act) and regulatory requirements while ensuring e-delivery of the most timely and accurate information available.

Broadridge Consent Express offers a turnkey solution for financial services firms to collect consent information from their investors for e-delivery of account statements, trade confirmations, tax documents, prospectuses, shareholder reports and other legally required documents. Broadridge Consent Express allows you to quickly and easily implement e-communications, helping you to reduce your compliance communication costs while offering greater convenience for investors by making information available online.

Why Broadridge?

Broadridge is a leader in e-delivery with 22 million first-dollar prospectus e-deliveries (representing 22% of all first-dollar prospectus deliveries) in 2011, 179 million e-mail notifications and 30 million e-consents. Only Broadridge has a patent-protected process² to gather, store and manage electronic consent for the electronic transmittal of financial disclosure documents. And, as the inventor of the industry's first electronic prospectus (Prospectus Express®), only Broadridge has the combination of experience, expertise and technology to offer a best-in-class scalable solution.

A comprehensive e-solution, Broadridge Consent Express supports the entire workflow to collect, store and update investor's document delivery preferences:



¹Source: ITU (International Telecommunications Union)

²Broadridge® Consent Express is protected by U.S. Patents 6,782,506, 7,028,190 and Mapping Patent 6,122,635 which can be reviewed on the U.S. Patent and Trademark website at www.uspto.gov.

Designed for:

- ▶ Variable Annuity Providers
- ▶ Retirement Plan Providers
- ▶ Brokerage Firms

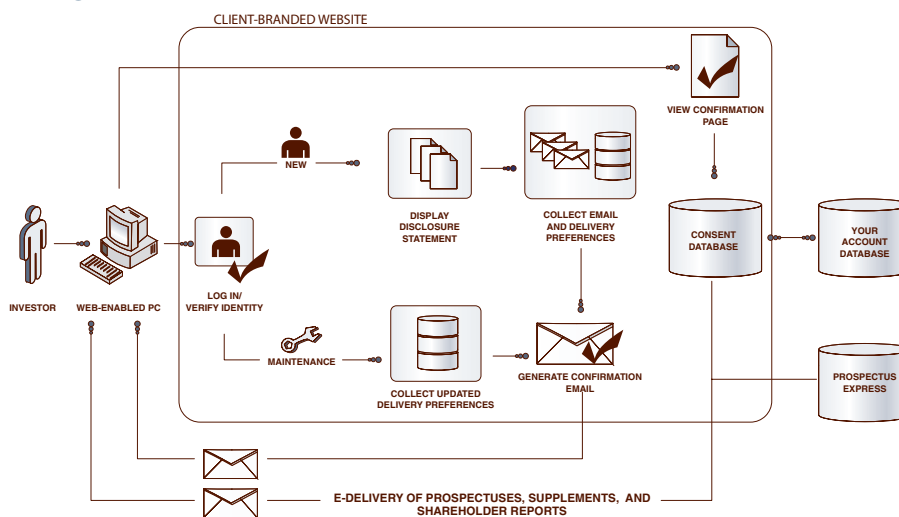
A Transparent, Cost-Effective, Convenient Process

Broadridge's web delivery system provides a seamless link from your website to our proprietary database of fund-specific prospectuses, supplements, and semi-annual and annual reports. Broadridge's proprietary EDGAR-sourcing process helps to ensure that access to disclosure documents is automated, up-to-date and uninterrupted. Our consent management process captures a complete history of each individual that consents for auditing purposes.

Here's how the process works from your investors' perspective:

1. Investor visits your website and clicks on "E-delivery"
2. Investor is brought to an "opt-in" screen that mirrors your website
3. Investor reviews an electronic consent disclosure document
4. Investor opts in, clicks "submit" and receives a confirmation e-mail
5. Investor confirms receipt of the confirmation e-mail
6. For all subsequent e-deliveries, the investor receives requested documents via a hyperlink within an e-mail

Broadridge Consent Express Workflow



After consenting to e-delivery, investors go to your branded website to conduct financial transactions online and receive any required disclosure documents via e-mail. The end result is convenience for your investors and cost savings for your firm.



For more information on Intelligent Fulfillment Solutions, or to request a webinar and complimentary cost analysis, please call 1-800-481-2331 or e-mail BRIntelSolutions@broadridge.com. Visit us online at www.broadridge.com/IFS/.

Broadridge Financial Solutions, Inc. is a technology service company focused on global capital markets. We are the market leader enabling secure and accurate processing of information for communications and securities transactions among issuers, investors and financial intermediaries.