

Generated on: This page was generated by TSDR on 2019-11-26 17:12:06 EST

Mark: FINIT



US Serial Number: 88228551

Application Filing Date: Dec. 13, 2018

US Registration Number: 5888880

Registration Date: Oct. 22, 2019

Filed as TEAS Plus: Yes

Currently TEAS Plus: No

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Oct. 22, 2019

Publication Date: Aug. 06, 2019

Mark Information

Mark Literal Elements: FINIT

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of the word "FINIT", with a stylized capital "F" and lowercase "T", as well as a stylized lowercase "INI" where the "I"s visually represent two human stick figures facing each other across an "N" loosely representing a table.

Color(s) Claimed: Color is not claimed as a feature of the mark.

Translation: The English translation of "FINIT" in the mark is "ENDS".

Design Search Code(s): 02.01.33 - Grotesque men formed by letters, numbers, punctuation or geometric shapes; Stick figures
04.07.02 - Person formed by objects; Objects forming a person
12.01.07 - Dressing tables; End tables; Folding tables; Game tables; Picnic tables; Table tennis tables; Table, computer; Tables; Tables, pool; Conference tables; Coffee tables; Cabinets, cabins; Billiard tables; Nightstands
26.01.13 - Circles, two (not concentric); Two circles
26.01.21 - Circles that are totally or partially shaded.

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Computer software for financial consolidations and reporting, financial budgeting, planning, and forecasting, financial and management analysis, process governance and change management, financial reporting and dash boarding

International Class(es): 009 - Primary Class

U.S Class(es): 021, 023, 026, 036, 038

Class Status: ACTIVE

Basis: 1(a)

First Use: Oct. 01, 2002

Use in Commerce: Oct. 07, 2002

Basis Information (Case Level)

Filed Use: Yes
Filed ITU: No
Filed 44D: No
Filed 44E: No
Filed 66A: No
Filed No Basis: No

Currently Use: Yes
Currently ITU: No
Currently 44E: No
Currently 66A: No
Currently No Basis: No

Current Owner(s) Information

Owner Name: The Finit Group LLC
DBA, AKA, Formerly: DBA Finit Solutions
Owner Address: Suite 326
8050 Hosbrook Road
Cincinnati, OHIO UNITED STATES 45236
Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country Where Organized: OHIO

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Chad Durian
Attorney Primary Email Address: cdurian@finit.com
Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: CHAD DURIAN
THE FINIT GROUP LLC
8050 HOSBROOK ROAD
SUITE 326
CINCINNATI, OHIO UNITED STATES 45236
Phone: 330-671-6416
Correspondent e-mail: cdurian@finit.com
Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Oct. 22, 2019	REGISTERED-PRINCIPAL REGISTER	
Aug. 06, 2019	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Aug. 06, 2019	PUBLISHED FOR OPPOSITION	
Jul. 17, 2019	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jun. 28, 2019	ELECTRONIC RECORD REVIEW COMPLETE	68065
Jun. 21, 2019	ON HOLD - ELECTRONIC RECORD REVIEW REQUIRED	
Jun. 19, 2019	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 17, 2019	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Jun. 17, 2019	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Jun. 17, 2019	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Jun. 17, 2019	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Jun. 17, 2019	REMOVED FROM TEAS PLUS	94363
May 13, 2019	TEAS/EMAIL CORRESPONDENCE ENTERED	73296
May 13, 2019	CORRESPONDENCE RECEIVED IN LAW OFFICE	73296
May 06, 2019	ASSIGNED TO LIE	73296
Apr. 29, 2019	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Mar. 13, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Mar. 13, 2019	NON-FINAL ACTION E-MAILED	6325
Mar. 13, 2019	NON-FINAL ACTION WRITTEN	94363

Mar. 12, 2019 ASSIGNED TO EXAMINER
Jan. 08, 2019 NOTICE OF DESIGN SEARCH CODE E-MAILED
Jan. 07, 2019 NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM
Dec. 17, 2018 NEW APPLICATION ENTERED IN TRAM

94363

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Oct. 22, 2019

United States of America

United States Patent and Trademark Office

Finit

Reg. No. 5,888,880

Registered Oct. 22, 2019

Int. Cl.: 9

Trademark

Principal Register

The Finit Group LLC (OHIO LIMITED LIABILITY COMPANY), DBA Finit Solutions
Suite 326
8050 Hosbrook Road
Cincinnati, OHIO 45236

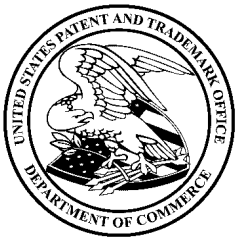
CLASS 9: Computer software for financial consolidations and reporting, financial budgeting, planning, and forecasting, financial and management analysis, process governance and change management, financial reporting and dash boarding

FIRST USE 10-1-2002; IN COMMERCE 10-7-2002

The mark consists of the word "FINIT", with a stylized capital "F" and lowercase "T", as well as a stylized lowercase "INI" where the "I"s visually represent two human stick figures facing each other across an "N" loosely representing a table.

The English translation of "FINIT" in the mark is "ENDS".

SER. NO. 88-228,551, FILED 12-13-2018



Andrei Iancu

Director of the United States
Patent and Trademark Office

REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.

Requirements in the First Ten Years*

What and When to File:

- **First Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.
- **Second Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods*

What and When to File:

- You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

Grace Period Filings*

The above documents will be accepted as timely if filed within six months after the deadlines listed above with the payment of an additional fee.

***ATTENTION MADRID PROTOCOL REGISTRANTS:** The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the United States Patent and Trademark Office (USPTO). The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see <http://www.wipo.int/madrid/en/>.

NOTE: Fees and requirements for maintaining registrations are subject to change. Please check the USPTO website for further information. With the exception of renewal applications for registered extensions of protection, you can file the registration maintenance documents referenced above online at <http://www.uspto.gov>.

NOTE: A courtesy e-mail reminder of USPTO maintenance filing deadlines will be sent to trademark owners/holders who authorize e-mail communication and maintain a current e-mail address with the USPTO. To ensure that e-mail is authorized and your address is current, please use the Trademark Electronic Application System (TEAS) Correspondence Address and Change of Owner Address Forms available at <http://www.uspto.gov>.

Dashboard - RequestIt (FRIT)

RequestIt

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Master Request

ID	Request Type	Reason	Status	Step Label	Priority	Created By	Claimed By	LastModified
R00000002	New Capital Request		NotStarted	Initiate		swilliams		2/27/2019 10:14:24 AM
R00000001	Metadata Request - Add/Move Entity	Test1	Waiting	Initiate	Low	swilliams	swilliams	2/27/2019 2:34:34 PM

2 Rows Page 1 of 1

REQUEST DETAIL R00000001

Items

Item Group	SubItem	FlowOptions	Parent Name	Member Name	Description	Notes	Validated	Committed	Parent In Request
x		HoustonEntities,ADD	Houston	x	x		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Documents

Generated on: This page was generated by TSDR on 2019-11-26 17:14:43 EST

Mark: FINCLUB

FINCLUB

US Serial Number: 88259530

Application Filing Date: Jan. 12, 2019

US Registration Number: 5833043

Registration Date: Aug. 13, 2019

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Aug. 13, 2019

Publication Date: May 28, 2019

Mark Information

Mark Literal Elements: FINCLUB

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (...) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *.* identify additional (new) wording in the goods/services.

For: Financial information services provided on-line from a computer database or a global computer network, namely, providing information in the field of financial classification of companies and securities; Providing an on-line computer database in the field of stock/securities market information

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Sep. 25, 2018

Use in Commerce: Jan. 07, 2019

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Filed ITU: No

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: KIEVANOS, L.L.C.

DBA, AKA, Formerly: DBA FINCLUB

Owner Address: 15108-B Hazy Meadow
College Station, TEXAS UNITED STATES 77845

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: TEXAS

Attorney/Correspondence Information

Attorney of Record - None

Correspondent

Correspondent Name/Address: KIEVANOS, L.L.C.
KIEVANOS, L.L.C.
15108-B HAZY MEADOW
COLLEGE STATION, TEXAS UNITED STATES 77845
Phone: 701-300-0812

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Aug. 13, 2019	REGISTERED-PRINCIPAL REGISTER	
May 28, 2019	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
May 28, 2019	PUBLISHED FOR OPPOSITION	
May 08, 2019	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Apr. 14, 2019	ASSIGNED TO LIE	68171
Mar. 29, 2019	APPROVED FOR PUB - PRINCIPAL REGISTER	
Mar. 29, 2019	EXAMINER'S AMENDMENT ENTERED	88888
Mar. 29, 2019	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Mar. 29, 2019	EXAMINERS AMENDMENT E-MAILED	6328
Mar. 29, 2019	EXAMINERS AMENDMENT -WRITTEN	76745
Mar. 26, 2019	ASSIGNED TO EXAMINER	76745
Feb. 04, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jan. 16, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Aug. 13, 2019

United States of America

United States Patent and Trademark Office

FINCLUB

Reg. No. 5,833,043

Registered Aug. 13, 2019

Int. Cl.: 36

Service Mark

Principal Register

KIEVANOS, L.L.C. (TEXAS LIMITED LIABILITY COMPANY), DBA FINCLUB
15108-b Hazy Meadow
College Station, TEXAS 77845

CLASS 36: Financial information services provided on-line from a computer database or a global computer network, namely, providing information in the field of financial classification of companies and securities; Providing an on-line computer database in the field of stock/securities market information

FIRST USE 9-25-2018; IN COMMERCE 1-7-2019

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

SER. NO. 88-259,530, FILED 01-12-2019



Andrei Iancu

Director of the United States
Patent and Trademark Office

REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.

Requirements in the First Ten Years*

What and When to File:

- **First Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.
- **Second Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods*

What and When to File:

- You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

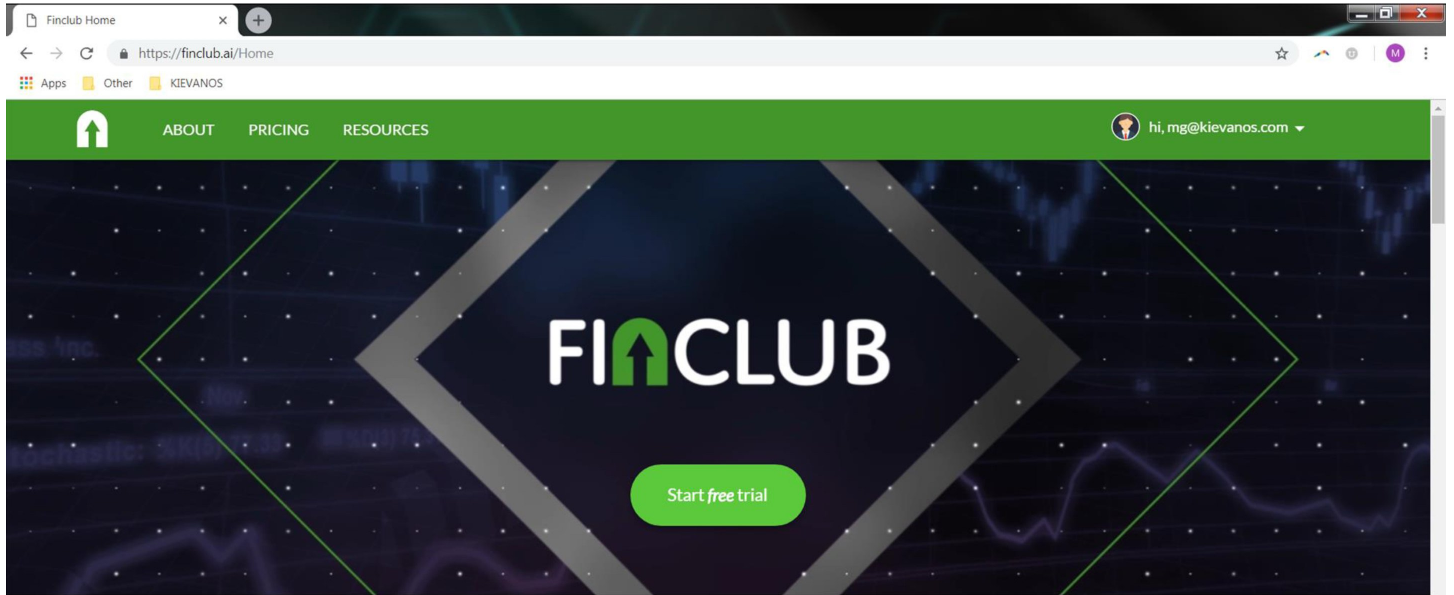
Grace Period Filings*

The above documents will be accepted as timely if filed within six months after the deadlines listed above with the payment of an additional fee.

***ATTENTION MADRID PROTOCOL REGISTRANTS:** The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the United States Patent and Trademark Office (USPTO). The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see <http://www.wipo.int/madrid/en/>.

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NOTE: A courtesy e-mail reminder of USPTO maintenance filing deadlines will be sent to trademark owners/holders who authorize e-mail communication and maintain a current e-mail address with the USPTO. To ensure that e-mail is authorized and your address is current, please use the Trademark Electronic Application System (TEAS) Correspondence Address and Change of Owner Address Forms available at <http://www.uspto.gov>.



TAKE THE GUESSWORK OUT OF TRADING



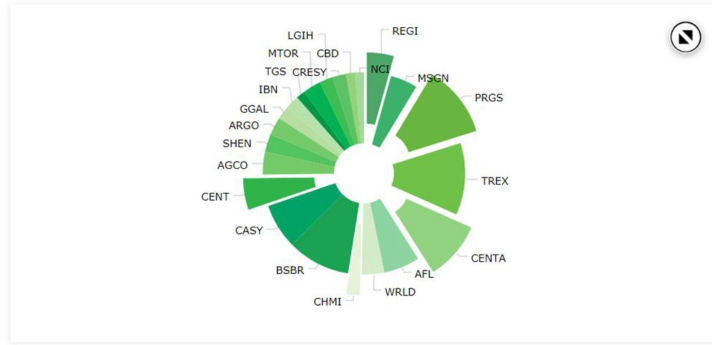
mg@klevanos.com

- Daily List
- Historical
- My Account
- Logout

Sectors

- Basic Industries
- Finance
- Capital Goods
- Health Care
- Consumer Durables
- Consumer Non-Durables
- Miscellaneous

Allocation



Resistor Price
\$10.000000

Resistor Price
\$10.000000

Note* Stocks below have the displayed probability of reaching their respective target prices within an average of 5 market days.

Feature Coming Soon

Rank	Symbol	Probability	High Probability Target	Resistance	Sector	Build Allocation	Pass at Open
1	REGI	60%	\$26.66	\$26.97	Basic Industries	ACTIVE	Feature Coming Soon



mg@kievanos.com

- Daily List
- Historical
- My Account
- Logout

January 2019

< > TODAY

Mon	Tue	Wed	Thu	Fri	Sat	Sun
31	1	2	3	4	5	6
Acc.:97.5%, Completed	Acc.:90.11%, Completed	Acc.:90.11%, Completed	Acc.:94.92%, Completed	Acc.:100%, Completed		
7	8	9	10	11	12	13
Acc.:93.63%, Completed	Acc.:100%, Completed	Acc.:93.78%, InProcess	Acc.:89.35%, InProcess			

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Mark: FINTECH4GOOD

FinTech4Good

US Serial Number: 88215784

Application Filing Date: Dec. 04, 2018

US Registration Number: 5793794

Registration Date: Jul. 02, 2019

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Jul. 02, 2019

Publication Date: Apr. 16, 2019

Mark Information

Mark Literal Elements: FINTECH4GOOD

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *.* identify additional (new) wording in the goods/services.

For: Business consultancy; Business networking; Arranging and conducting business competitions for fintech and blockchain entrepreneurs to compete for grants and other resources to facilitate business activities; Arranging and conducting business conferences; Business development services, namely, providing start-up support for businesses of others; Business support services, namely, business consulting to freelancers, start-ups, existing businesses and non-profit organizations; Organizing business networking events in the field of financial technology and blockchain; Promoting technical and scientific investigation, research and experimentation in the field of scientific discovery through support of educational institutions and scientific organizations

International Class(es): 035 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 07, 2017

Use in Commerce: Dec. 19, 2017

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Filed ITU: No

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Fintech4Good Group LLC

Owner Address: 5675 Harrington Fox Lane Unit G
Alexandria, VIRGINIA UNITED STATES 22312

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country VIRGINIA
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Habeeb Syed

Attorney Primary hsyed@bjkanglaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent HABEEB SYED
Name/Address: BJ KANG LAW
100 DAINGERFIELD RD. #100
100 DAINGERFIELD RD. #100
ALEXANDRIA, VIRGINIA UNITED STATES 22314

Phone: 703-595-2836 x104

Fax: 703-722-9168

Correspondent e- hsyed@bjkanglaw.com
mail:

Correspondent e- Yes
mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jul. 02, 2019	REGISTERED-PRINCIPAL REGISTER	
Apr. 16, 2019	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Apr. 16, 2019	PUBLISHED FOR OPPOSITION	
Mar. 27, 2019	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Mar. 05, 2019	APPROVED FOR PUB - PRINCIPAL REGISTER	
Mar. 05, 2019	ASSIGNED TO EXAMINER	93651
Dec. 21, 2018	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Dec. 07, 2018	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Jul. 02, 2019

United States of America

United States Patent and Trademark Office

FinTech4Good

Reg. No. 5,793,794

Registered Jul. 02, 2019

Int. Cl.: 35

Service Mark

Principal Register

Fintech4Good Group LLC (VIRGINIA LIMITED LIABILITY COMPANY)
5675 Harrington Fox Lane Unit G
Alexandria, VIRGINIA 22312

CLASS 35: Business consultancy; Business networking; Arranging and conducting business competitions for fintech and blockchain entrepreneurs to compete for grants and other resources to facilitate business activities; Arranging and conducting business conferences; Business development services, namely, providing start-up support for businesses of others; Business support services, namely, business consulting to freelancers, start-ups, existing businesses and non-profit organizations; Organizing business networking events in the field of financial technology and blockchain; Promoting technical and scientific investigation, research and experimentation in the field of scientific discovery through support of educational institutions and scientific organizations

FIRST USE 1-7-2017; IN COMMERCE 12-19-2017

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

SER. NO. 88-215,784, FILED 12-04-2018



Andrei Iancu

Director of the United States
Patent and Trademark Office

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Global FinTech and Blockchain network, that work with start-ups, industrial leaders, NPOs, and investors to develop and implement solutions for a better world.



Upcoming Events

RBWC DC Summit

Dec 05, 9:00 AM – 6:00 PM
Washington DC

Past Events

Blockchain Innovation Empowers the Real Economy —Responsible Blockchain World Championship (RBWC) Successfully Launched in China

November 28, 2018 | Translator: Olivia Gao, Editor: Yitong Ling

Initiated by the FinTech4Good and Global Digital Economy Hub, co-hosted by NOS Accelerator and Cloud Park, co-organized by Wemerge Fund, the Responsible Blockchain World Championship (RBWC) China was launched in the International Conference Center of Cloud Park on Nove...



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FinTech4Good

Contact Us
info@fintech4good.co
Privacy Policy

Global offices:
Washington DC, Beijing, Qingdao,
Shanghai, Silicon Valley, London



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Upcoming Events

<p>Blockchain Solutions Conference</p> <p>Sat, Dec 01 Cleveland, Ohio</p> <p>Register Now</p>	<p>Invest for Impact 2019</p> <p>Tue, Feb 12 New York City</p> <p>Register Now</p>	<p>Global Digital Forum</p> <p>Tue, Feb 12 Santa Clara Con...</p> <p>Register Now</p>
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<p>DC Blockchain Summit 2019</p> <p>Wed, Mar 06 Georgetown U...</p> <p>Register Now</p>	<p>Middle East Innovation in...</p> <p>Wed, Mar 20 United Arab E...</p> <p>Register Now</p>	<p>KNOW 2019</p> <p>Sun, Mar 24 Aria Way</p> <p>Register Now</p>
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<p>X-Tech 2019</p> <p>Tue, Apr 02 Las Vegas</p> <p>Register Now</p>	<p>Responsible Business Forum...</p> <p>Thu, May 23 Hainan</p> <p>Register Now</p>	<p>Emerging World on Blockchain & Bitc...</p> <p>Thu, May 30 Toronto</p> <p>Register Now</p>
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<p>TOKEN FEST</p> <p>Mon, Jun 03 David interconti...</p> <p>Register Now</p>		

Past Events

Blockchain Innovation Empowers the Real Economy —Responsible Blockchain World Championship (RBWC) Successfully Launched in China

November 28, 2018 | Translator: Olivia Gao, Editor: Yitong Ling



Initiated by the FinTech4Good and Global Digital Economy Hub, co-hosted by NOS Accelerator and Cloud Park, co-organized by Wemerge Fund, the Responsible Blockchain World Championship (RBWC) China was launched in the International Conference Center of Cloud Park on November.23th-24th,2018.

Judges and participants of RBWC China

As a promising innovation, blockchain technology is expected to be further developed and deployed t...

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FinTech4Good Co-hosted the World Blockchain Forum New York 2018

November 12, 2018



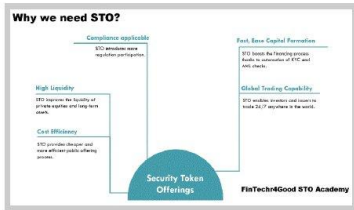
On November 8th and 9th 2018, co-hosted by FinTech4Good, the World Blockchain Forum was convened at New York Hilton Midtown. This forum brought together more than 2,500 people from the world as well as leading industry experts to discuss the future of Security Token Offerings (STO), blockchain investment and token economy.

Among the keynote speakers, are prestigious blockchain technology developers, cryptocurrency trading pract...

[Read More](#)

Security Token Offering Academy And Responsible Blockchain Summit: A Call From Washington DC

November 7, 2018 | By Crypto Daily



[Click Here](#) to go to Crypto Daily website.

There was a backlash to ICOs due to market uncertainty, lack of regulation, obvious fraud and speculation, and warnings from governments. As Ernst&Yong reported 86% of ICO's worth less than Listing Price and only 29% have MVP. More and more companies are leaning toward Security Token Offerings (STO) for fundraising. STO resolves the ICO issues, step in the right direction because of it...

[Read More](#)

With the success in Silicon Valley, the RBWC US will be more exciting at the East Coast

November 1, 2018



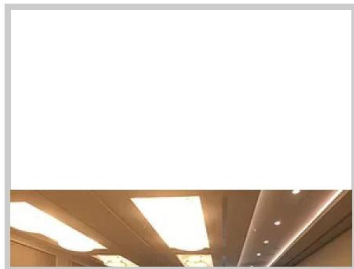
Top 3 Winners: Twebaa, Aermetric and Drone Employee

The Responsible Blockchain World Championship (RBWC) US was launched at the Frontier Technology Exhibition on Oct 29th, 2018 at the Santa Clara Convention Center in Silicon Valley. The Frontier Technology Exhibition is another highlight event after the "Focus on Blockchain" 2018 EventBlock World Tour in Silicon Valley co-hosted by EventBlock and CCTV Finance in September 2018...

[Read More](#)

GLA 2018 Annual Conference

October 19, 2018



GLA 2018 Annual Conference for Cross-border Investment and International Cooperation was held on October 19th. Tony Lau, the senior advisor from FinTech4Good, discussed latest ICO trends, Digital Asset Based Lending and Security Token Offering at this event. Overall attendees are impressed with the breadth of our company's experiences as evidenced by the presentation, publications and the reputation of Xiaochen Zhang, the pres...

[Read More](#)

NYC Security Token and Stablecoins Roundtable

October 8, 2018



Joined by leaders from all over the world, the Security Token and Stablecoins Roundtable, was held by FinTech4Good in BNY Mellon New York headquarter on October 4th, 2018 featuring the launch of a Responsible Blockchain Report-September Market Insider. In the September edition of this monthly report, we provide a birds eye view of the key market trend of blockchain investment around the world. In September 2018, 9 companies c...

[Read More](#)

KoreSummit NY 2018

October 3, 2018



Xiaochen Zhang, President of Fintech4Good, was invited to join the KoreSummit NY 2018. The KoreSummit is an invitation-only event for top thought-leaders in different financial areas of private company sector.

The securities marketplace trend is changing and the future is tokenization, which creates efficient liquidity and fully-compliant transactions.

For more detailed information: <https://koresummit.io/event/>

[Read More](#)

2nd Blockchain Impact Summit 2018 in Toronto

September 29, 2018



The 2018 Blockchain Impact Investment Summit hosted by Miller Thomson LLP and Blockchain Impact Institute took place from September 28-29 at the Downtown Hilton Hotel in Toronto.

This event is designed as a platform for blockchain industry leaders to meet up and exchange ideas and for attendees to gain valuable insight and information about the state of blockchain technology and the token economy.

Xiaochen Zhang, President of Fi...

[Read More](#)

2nd International Blockchain Congress

August 17, 2018



The Innovation and Development Foundation hosted the 2nd International Blockchain Congress on August 17. The day-long event took place at the state-of-the-art AON Center conference facility in the heart of Chicago. Leaders and experts in law, business, and government all attended in order to gain a better understanding of how blockchain could affect their respective industries. Both the State Government and the City Government...

[Read More](#)

World 1st Blockchain for Sustainable Development Goals Alliance established in Washington DC

March 11, 2017 | Rituparna Ghosh, Xiaochen Zhang



http://news.xinhuanet.com/english/business/2017-01/23/c_136006946.htm
When the unknown person going by the name of Satoshi Nakamoto created blockchain technology in 2008, he had a single vision: a peer-to-peer electronic cash system. What he didn't realize is that his creation was not limited to this one application. The ability to be integrated into various industries from logistics to healthcare, from energy to agriculture ha...

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FinTech4Good

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info@fintech4good.co

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Global offices:

Washington DC, Beijing, Qingdao,
Shanghai, Silicon Valley, London



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GET STARTED WITH OUR ACCELERATION PROGRAM

FINTECH4GOOD BLOCKCHAIN ACCELERATOR

Our mentors are top leaders in technology, business, investment and policy areas. By the end of the 10 weeks, startups which successfully completed the program will receive \$100,000-\$3,000,000 investment from top blockchain investment funds.

[READ MORE](#)

FinTech4Good Blockchain Accelerator



The Global Digital Economy Hub, launched jointly by FinTech4Good, Blockchain Frontier Group and partner, is a network of blockchain and FinTech

incubators and accelerators that share the same vision in scaling up blockchain and distributed ledger technologies for a better world.



DigitalX100 Blockchain Acceleration Program selects the most promising blockchain companies around the world and help them to be ready as possible in scaling up its business and secure the necessary funding to get on track for growth through a 10 week extensive acceleration process. Our customized programs will help start-ups to meet top goals in targeted areas that they have identified.



Emerging technology

Solutions which are enabled by AI, Big Data, IOT, Blockchain and FinTech



Blockchain Infrastructure

Next generation distributed ledger technologies and protocols



Blockchain for Good Applications

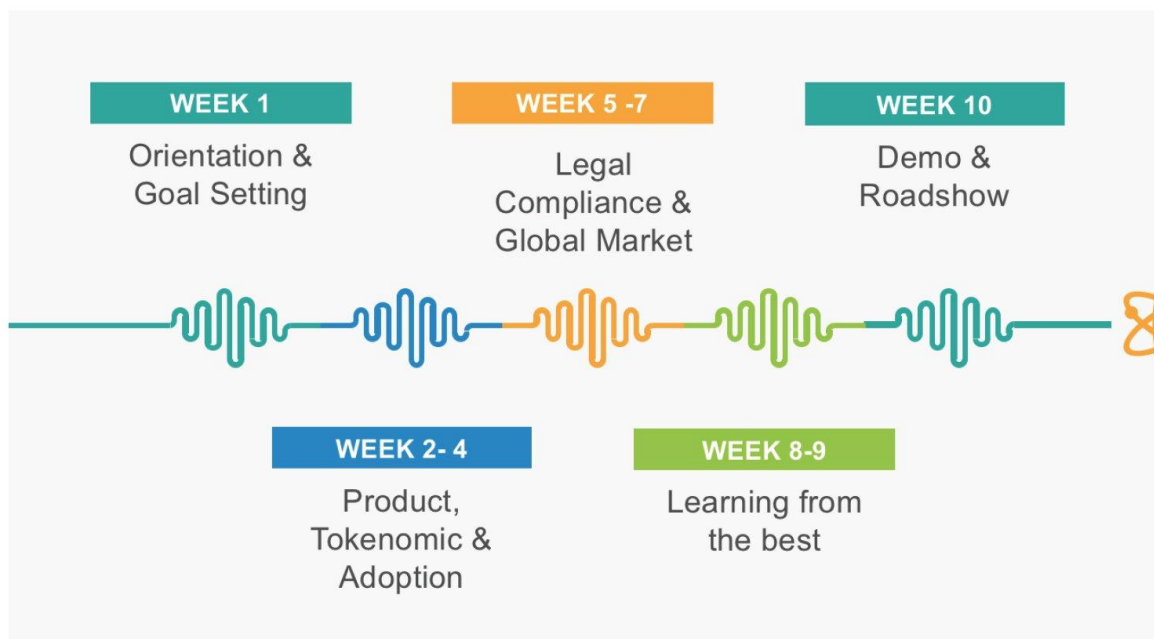
Blockchain applications in supporting of the UN Sustainable Development Goals and fostering the emergence of a healthy Blockchain ecosystem



Blockchain for Financial Services

Blockchain applications in disrupting financial services provision especially in the frontier markets

The Roadmap



GET STARTED WITH OUR 10 WEEKS ACCELERATION PROGRAM

Contact Us

Email: info@fintech4good.co

Address: Washington DC, USA

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(<https://www.linkedin.com/in/cmymidea/>)(<https://twitter.com/ft4gxiaochen>)

Generated on: This page was generated by TSDR on 2019-11-26 17:19:16 EST

Mark: FINTANK

FINTANK

US Serial Number: 88041587

Application Filing Date: Jul. 17, 2018

US Registration Number: 5690566

Registration Date: Mar. 05, 2019

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Mar. 05, 2019

Publication Date: Dec. 18, 2018

Mark Information

Mark Literal Elements: FINTANK

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (..) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Educational services, namely, developing, arranging, and conducting educational conferences, seminars, programs, panels, forums, and presentations in the field of financial technology, business networking, and cybersecurity; Education services, namely, providing mentorship programs in the fields of financial technology and cybersecurity; Electronic publications, namely, blog concerning financial technology, business networking, and cybersecurity

International Class(es): 041 - Primary Class

U.S Class(es): 100, 101, 107

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 30, 2016

Use in Commerce: Jun. 30, 2016

For: Business networking; Business development services, namely, providing start-up support for businesses of others; Incubation services, namely, providing work space to start-ups and existing businesses; Business support services, namely, business consulting to start-ups and existing businesses; On-line business networking services; Organizing business networking events in the field of financial technology and cybersecurity

International Class(es): 035 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 30, 2016

Use in Commerce: Jun. 30, 2016

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Filed ITU: No

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: GMERC LLC

DBA, AKA, Formerly: DBA FinTank

Owner Address: 333 S. Wabash Ave., Suite 2700
Chicago, ILLINOIS UNITED STATES 60604

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: ILLINOIS

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Adam S. Weiss

Docket Number: 092171FINTAN

Attorney Primary Email Address: uspt@polsinelli.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: ADAM S. WEISS
POLSINELLI PC
150 N. RIVERSIDE PLAZA, SUITE 3000
CHICAGO, ILLINOIS UNITED STATES 60606

Phone: 312-873-3644

Correspondent e-mail: uspt@polsinelli.com aweiss@polsinelli.com pboro@polsinelli.com vay@polsinelli.com jwillard@polsinelli.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Mar. 05, 2019	REGISTERED-PRINCIPAL REGISTER	
Dec. 18, 2018	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Dec. 18, 2018	PUBLISHED FOR OPPOSITION	
Nov. 28, 2018	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Nov. 03, 2018	APPROVED FOR PUB - PRINCIPAL REGISTER	
Nov. 03, 2018	ASSIGNED TO EXAMINER	90288
Jul. 21, 2018	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jul. 20, 2018	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Mar. 05, 2019

United States of America

United States Patent and Trademark Office

FINTANK

Reg. No. 5,690,566

Registered Mar. 05, 2019

Int. Cl.: 35, 41

Service Mark

Principal Register

GMERC LLC (ILLINOIS LIMITED LIABILITY COMPANY), DBA FinTank
333 S. Wabash Ave., Suite 2700
Chicago, ILLINOIS 60604

CLASS 35: Business networking; Business development services, namely, providing start-up support for businesses of others; Incubation services, namely, providing work space to start-ups and existing businesses; Business support services, namely, business consulting to start-ups and existing businesses; On-line business networking services; Organizing business networking events in the field of financial technology and cybersecurity

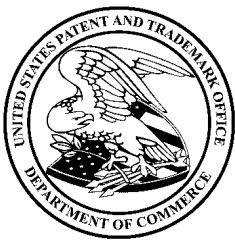
FIRST USE 6-30-2016; IN COMMERCE 6-30-2016

CLASS 41: Educational services, namely, developing, arranging, and conducting educational conferences, seminars, programs, panels, forums, and presentations in the field of financial technology, business networking, and cybersecurity; Education services, namely, providing mentorship programs in the fields of financial technology and cybersecurity; Electronic publications, namely, blog concerning financial technology, business networking, and cybersecurity

FIRST USE 6-30-2016; IN COMMERCE 6-30-2016

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

SER. NO. 88-041,587, FILED 07-17-2018



Andrei Iancu

Director of the United States
Patent and Trademark Office

REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.

Requirements in the First Ten Years*

What and When to File:

- **First Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.
- **Second Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods*

What and When to File:

- You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

Grace Period Filings*

The above documents will be accepted as timely if filed within six months after the deadlines listed above with the payment of an additional fee.

***ATTENTION MADRID PROTOCOL REGISTRANTS:** The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the United States Patent and Trademark Office (USPTO). The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see <http://www.wipo.int/madrid/en/>.

NOTE: Fees and requirements for maintaining registrations are subject to change. Please check the USPTO website for further information. With the exception of renewal applications for registered extensions of protection, you can file the registration maintenance documents referenced above online at <http://www.uspto.gov>.

NOTE: A courtesy e-mail reminder of USPTO maintenance filing deadlines will be sent to trademark owners/holders who authorize e-mail communication and maintain a current e-mail address with the USPTO. To ensure that e-mail is authorized and your address is current, please use the Trademark Electronic Application System (TEAS) Correspondence Address and Change of Owner Address Forms available at <http://www.uspto.gov>.

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UPCOMING EVENTS.

July 2018

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

Check out all FinTank events on our Eventbrite page. We host seminars, workshops, and major conferences.

here to be taken to our Eventbrite page and sign up for any of our events.

Register here

FinTank Monthly Blockchain/Crypto Meetup

July 26, 2018 at 6:00 PM


Illinois Tech (IIT) Downtown Campus

Illinois Tech (IIT) Downtown Campus - 565 W. Adams - Chicago, IL 60661

Register Now!

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<http://www.fintank.org>

The Place Where FinTech Entrepreneurs And Investors Connect

LIVE EVENTS 2 PAST EVENTS 40

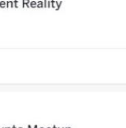
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The Place Where FinTech Entrepreneurs And Investors Connect

LIVE EVENTS 2 PAST EVENTS 40

FinTank - Virtual Reality / Augment Reality
Chicago, IL

FREE #Networking

FinTank Monthly Blockchain/Crypto Meetup
THU, JUL 26 6:00 PM
Illinois Tech (IT) Downtown Campus, Chicago

\$15 #Business #Seminar

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bcause
DIGITAL MINING OPERATIONS

FinTank on Thursday
Bcause - Has the largest digital mining operation in America. Come learn how they got there with @SeanRistau Thursday, July 26th. Here's the signup link: <https://bit.ly/2NNCN1Z>

Like Comment Share

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MEMBERSHIPS

Individual Membership Package—(\$500)

1. Networking—Join our network of over 100,000 business professionals
2. Education—Free admission to our monthly FinTech groups. FinTank hosts 10 educational seminars per month.
3. Mentorship—Access to an extensive mentor network
4. Investing—For accredited investors, access to deal flow
5. Major events—Discounts to FinTank conferences

Startup Membership Package—(\$1000)

1. Individual membership package plus:
2. Free data from Barchart

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Startup Membership Package—(\$1000)

1. Individual membership package plus:
2. Free data from Barchart
3. Six months of free data from Morningstar
4. \$5000 in Amazon cloud credits
5. Potential for \$100,000 or much more in Microsoft Azure cloud credits
6. Free legal entity setup
7. Free QuickBooks setup
8. Free review of pitch deck

Startup Accelerator Package—(Negotiable)

1. Individual Membership Package & Startup Membership Package plus:
2. Unlike the typical accelerator which lasts for 3 months, FinTank accelerates startups for a full year.
3. Access to an extensive investor network and private investor day
4. Advisory Board
5. Introductions—When you become a member of FinTank's accelerator, you gain access to all the critical resources that you need to grow and successfully scale your business. We introduce you to

Membership | FinTank

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FinTank Membership

FinTank is a member-driven organization. We're always looking for individuals and companies who want to join our FinTech community and help support the development of financial technologies which are transforming our world.

* Required

First Name: *

Your answer

Last Name: *

Your answer

Email Address: *

2:39 PM 7/17/2018

Services | FinTank

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Accelerator

We incubate FinTech startups in our yearlong program and provide them with all the resources they need to grow and successfully scale.

Consulting

We provide a wide range of consulting services and facilitate innovation at companies of all sizes.

Education

We host monthly seminars, workshops, and conferences and cover all FinTech sectors including Artificial Intelligence, Big Data & Analytics, Blockchain, Cryptocurrency, Cybersecurity, InsurTech, InvesTech, IoT, Payments, Regtech, Trading, and Virtual/Augmented Reality.

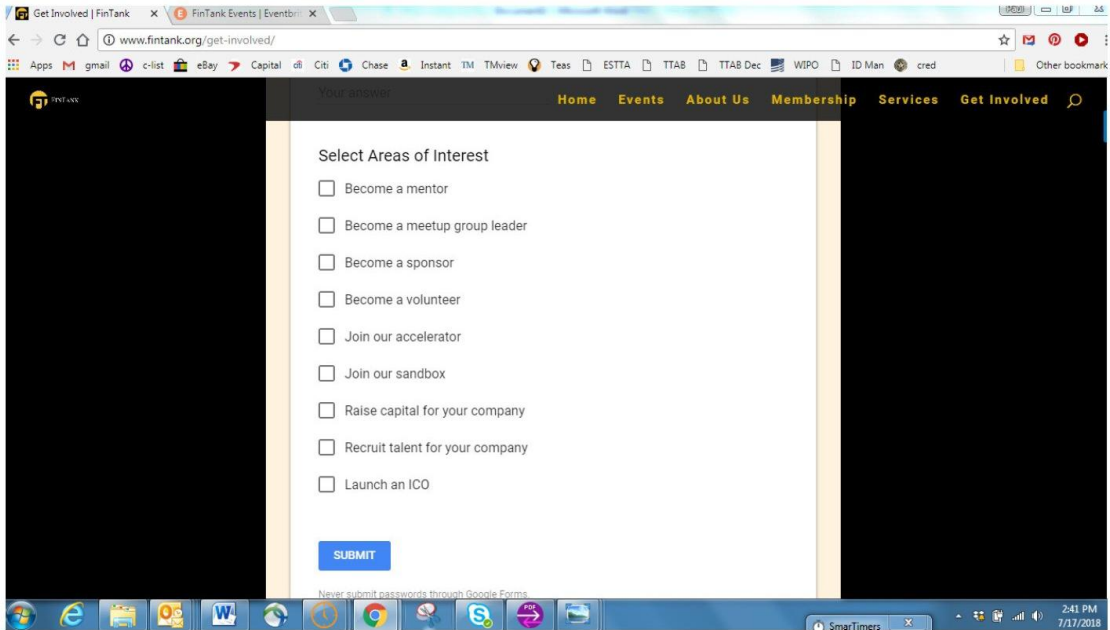
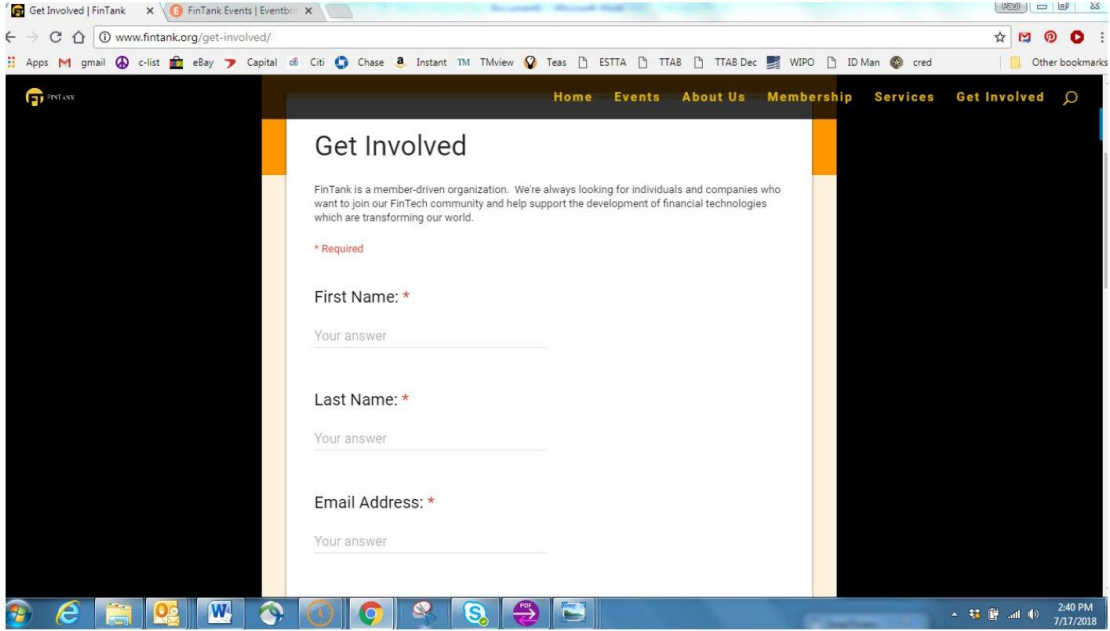
ICOs

We guide companies through the process of launching an ICO from A to Z including the white paper, legal issues, and marketing.

Funding

We coach FinTech companies on how to pitch and introduce them to our network of accredited investors.

2:40 PM 7/17/2018



64527255.1

WealthTech 2018
Thursday, June 28th
Chicago, IL

REGISTER

FINTANK

IN PARTNERSHIP WITH

MORNINGSTAR **BLACKROCK** **ARMOR WEALTH GROUP** **InfoVera** **Social Jack** **TEVOscore**

The world of wealth management is undergoing a profound transformation.

The emergence of technologies in new fields such as artificial intelligence, big data & predictive analytics, and blockchain are changing the investment landscape forever.

At 'WealthTech 2018' you will hear from experts at leading financial firms discuss disruptive technologies which are making the biggest impact on the wealth management industry and beyond.

- Business Insider Intelligence forecasts that robo-advisors (investment products which include any type of automation) will manage close to \$1T by 2020 and \$4.6T by 2022.

- Over the past 14 months, blockchain and related startups have raised \$4.5B via ICOs versus \$1.3B in traditional venture financing worldwide.

- Global WealthTech funding is on track to surpass 2017's record of \$2.5B with



Don't miss out on this golden opportunity to learn

- Global WealthTech funding is on track to surpass 2017's record of \$2.5B with over \$2B already invested in the 1st quarter of 2018.

Attendees will walk away with key insights including:

- How cutting-edge technologies can empower financial advisors to provide advice that used to be available only to the ultra-wealthy
- How robo-advising and artificial intelligence can significantly improve investment results
- How cryptocurrencies and ICOs may provide an alternative option for both investing and raising capital

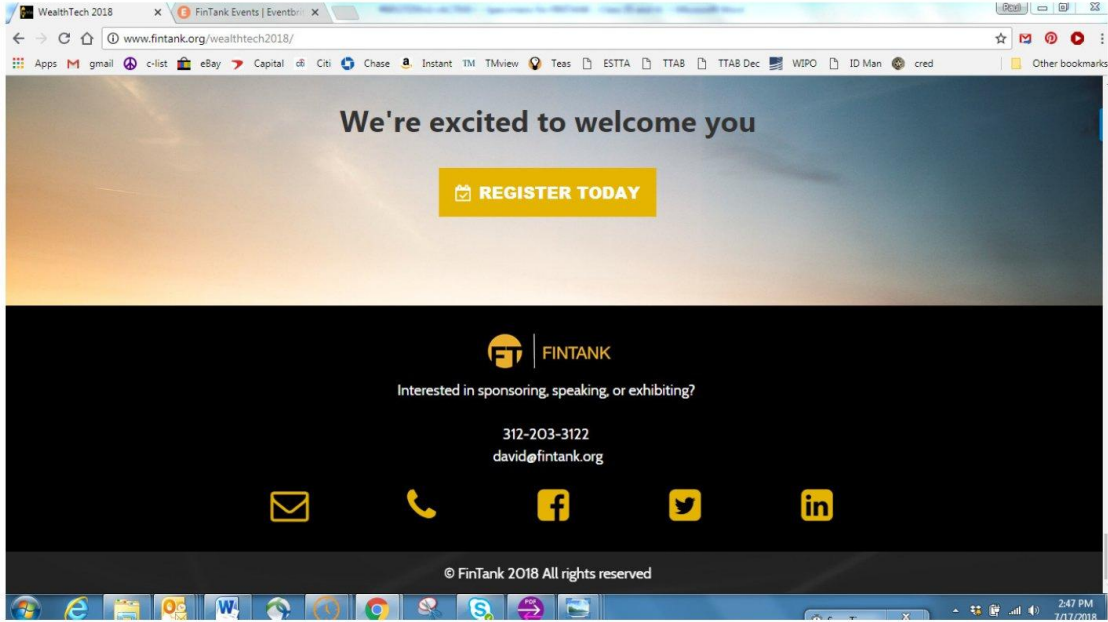
Don't miss out on this golden opportunity to learn more at 'WealthTech 2018' about the new world of investing and improve your investment performance whether you're an individual investor, financial advisor, or money manager.

SPEAKERS



AGENDA

	Time	Topic
Chicago's FinTech community is brimming with talent and expertise. It is our job to be a resource to take you where you want to go.	12:45 - 1:30	Registration
We are here to make a difference. We are building a community, a hub where individuals interested in FinTech can learn and contribute. It starts with awareness and education and leads to building solid businesses that grow and prosper. By bringing startups, contacts at large companies and investors looking for opportunities together, we connect the ecosystem to ensure evolution and development.	1:30 - 1:45	Introductions: - George Vukotich (Founder/CEO of FinTank) - Overview of 'WealthTech 2018' - Rick Schmehl (Head of Sales at Morningstar) - 'Morningstar Welcome' - David Carman (Co-Founder/COO of FinTank) - 'What's Hot in WealthTech?'
We've scheduled an exciting and informative programming line up for the afternoon, featuring speakers from multiple companies and industries to discuss the direction of WealthTech. Both Speed and Open Networking sessions are planned so you can meet others and learn from them.	1:45 - 2:15	'How Robo-Advising is Disrupting WealthManagement' — - Tom Mantych (VP - BlackRock) - Ramsin Jajoo (Head of Asset Management Solutions - Morningstar) - Brett Ladendorf (Principal Consultant - Omega Edge)
	2:15 - 2:45	'How AI Can Enhance Investment Returns' — - Morgan Slade (CEO - CloudQuant) - Jack Boyle (VP of Broker Dealer Services - Charles Schwab) - Madison Sargis (Associate Director of Quantitative Research - Morningstar) - Brad Goodman (PWM - Integrated Solutions - William Blair) - Chuck Bohm (Senior VP - ED&F MAN Capital)



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Mark: FINRIVER

FINRIVER

US Serial Number: 87477160

Application Filing Date: Jun. 06, 2017

US Registration Number: 5411114

Registration Date: Feb. 27, 2018

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Feb. 27, 2018

Publication Date: Dec. 12, 2017

Mark Information

Mark Literal Elements: FINRIVER

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (..) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *.* identify additional (new) wording in the goods/services.

For: Data mining namely, data search and analysis of portfolio statistics, asset allocation, scenario returns, factor exposures, portfolio drawdown analysis, and a historical return using a hybrid of actual prices and synthetic prices; providing a website featuring non-downloadable software for viewing data analysis of portfolio statistics, asset allocation, scenario returns, factor exposures, portfolio drawdown analysis, and a historical return using a hybrid of actual prices and synthetic prices; Application service provider featuring analysis of data related to finance and portfolio management generated by application programming interface (API) software; Providing application programming interface (API) services, namely, providing API service to deliver financial analytics to a subscriber or a set of subscribers

International Class(es): 042 - Primary Class

U.S Class(es): 100, 101

Class Status: ACTIVE

Basis: 1(a)

First Use: Apr. 2017

Use in Commerce: Apr. 2017

For: Business data analysis namely, analytics as a service providing portfolio statistics, asset allocation, scenario returns, factor exposures, portfolio drawdown analysis, and a historical return using a hybrid of actual prices and synthetic prices

International Class(es): 035 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Apr. 2017

Use in Commerce: Apr. 2017

Basis Information (Case Level)

Filed Use: Yes
Filed ITU: No
Filed 44D: No
Filed 44E: No
Filed 66A: No
Filed No Basis: No

Currently Use: Yes
Currently ITU: No
Currently 44E: No
Currently 66A: No
Currently No Basis: No

Current Owner(s) Information

Owner Name: FinMason, Inc.
Owner Address: One Boston Place, Suite 2600
Boston, MASSACHUSETTS UNITED STATES 02108
Legal Entity Type: CORPORATION
State or Country Where Organized: MASSACHUSETTS

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Adam J. Bruno
Attorney Primary Email Address: trademarks@baystatepatent.com
Docket Number: 1007.137.FM
Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: ADAM J. BRUNO
BAY STATE IP, LLC
10 Post Office Square, Suite 800 South
BOSTON, MASSACHUSETTS UNITED STATES 02109
Phone: 617-439-3200
Fax: 617-507-0757
Correspondent e-mail: trademarks@baystatepatent.com
Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 05, 2019	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Feb. 27, 2018	REGISTERED-PRINCIPAL REGISTER	
Dec. 12, 2017	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Dec. 12, 2017	PUBLISHED FOR OPPOSITION	
Nov. 22, 2017	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Nov. 08, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Nov. 06, 2017	TEAS/EMAIL CORRESPONDENCE ENTERED	66121
Nov. 06, 2017	CORRESPONDENCE RECEIVED IN LAW OFFICE	66121
Oct. 25, 2017	ASSIGNED TO LIE	66121
Oct. 10, 2017	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Sep. 12, 2017	NOTIFICATION OF EXAMINER'S AMENDMENT/PRIORITY ACTION E-MAILED	6326
Sep. 12, 2017	EXAMINER'S AMENDMENT/PRIORITY ACTION E-MAILED	6326
Sep. 12, 2017	COMBINED EXAMINER'S AMENDMENT/PRIORITY ACTION AUTOMATIC ENTRY	93058
Sep. 12, 2017	EXAMINERS AMENDMENT AND/OR PRIORITY ACTION - COMPLETED	93058
Sep. 06, 2017	ASSIGNED TO EXAMINER	93058
Jun. 13, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None
File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Feb. 27, 2018

United States of America

United States Patent and Trademark Office

FINRIVER

Reg. No. 5,411,114

Registered Feb. 27, 2018

Int. Cl.: 35, 42

Service Mark

Principal Register

FinMason, Inc. (MASSACHUSETTS CORPORATION)
One Boston Place, Suite 2600
Boston, MASSACHUSETTS 02108

CLASS 35: Business data analysis namely, analytics as a service providing portfolio statistics, asset allocation, scenario returns, factor exposures, portfolio drawdown analysis, and a historical return using a hybrid of actual prices and synthetic prices

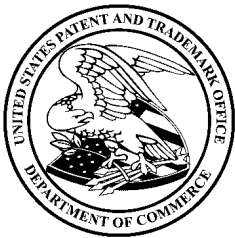
FIRST USE 4-00-2017; IN COMMERCE 4-00-2017

CLASS 42: Data mining namely, data search and analysis of portfolio statistics, asset allocation, scenario returns, factor exposures, portfolio drawdown analysis, and a historical return using a hybrid of actual prices and synthetic prices; providing a website featuring non-downloadable software for viewing data analysis of portfolio statistics, asset allocation, scenario returns, factor exposures, portfolio drawdown analysis, and a historical return using a hybrid of actual prices and synthetic prices; Application service provider featuring analysis of data related to finance and portfolio management generated by application programming interface (API) software; Providing application programming interface (API) services, namely, providing API service to deliver financial analytics to a subscriber or a set of subscribers

FIRST USE 4-00-2017; IN COMMERCE 4-00-2017

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

SER. NO. 87-477,160, FILED 06-06-2017



Andrei Iancu

Director of the United States
Patent and Trademark Office

REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.

Requirements in the First Ten Years*

What and When to File:

- **First Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.
- **Second Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods*

What and When to File:

- You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

Grace Period Filings*

The above documents will be accepted as timely if filed within six months after the deadlines listed above with the payment of an additional fee.

***ATTENTION MADRID PROTOCOL REGISTRANTS:** The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the United States Patent and Trademark Office (USPTO). The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see <http://www.wipo.int/madrid/en/>.

NOTE: Fees and requirements for maintaining registrations are subject to change. Please check the USPTO website for further information. With the exception of renewal applications for registered extensions of protection, you can file the registration maintenance documents referenced above online at <http://www.uspto.gov>.

NOTE: A courtesy e-mail reminder of USPTO maintenance filing deadlines will be sent to trademark owners/holders who authorize e-mail communication and maintain a current e-mail address with the USPTO. To ensure that e-mail is authorized and your address is current, please use the Trademark Electronic Application System (TEAS) Correspondence Address and Change of Owner Address Forms available at <http://www.uspto.gov>.

Example of FinRiver Output Data

Initial Portfolio

Asset	0.00
AGG	0.00
BSV	0.00
JH	0.10
UR	0.02
ITM	0.20
IWV	0.25
JNK	0.00
LD	0.00
MGK	0.05
SCZ	0.05
TIP	0.00
VBK	0.03
VBR	0.00
VCF	0.00
VCSH	0.20
VEA	0.15
VGIT	0.00
VGIK	0.05
VGLT	0.00
VIG	0.00
VNQ	0.05
VO	0.05
VOE	0.00
VTV	0.10
VUS	0.05
VWO	0.05

Asset Allocation

Alternative	0.00
Balanced	0.00
Cash	0.00
Equity	0.00
Fixed Income	0.00

Updated Portfolio Stats

Stat	Value
Expected Return	0.0474
Historical 1-year	0.2520
Historical 3-year	0.0275
Historical 5-year	0.0292
Historical 10-year	0.0873
Historical 20-year	0.0758
Sharpe ratio	0.3605
Volatility	0.1432

Asset Allocation

Asset Alloc.	Initial	Updated
Alternative	0.2001	0.0000
Balanced	0.1032	0.0000
Cash	0.0551	0.0514
Equity	0.5676	0.9425
Fixed Income	0.1581	0.0000

Initial Allocation



Updated Allocation

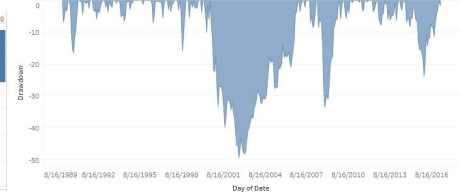


Measure Names
■ Initial
■ Updated

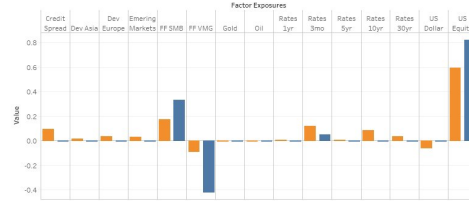
Scenario Returns API



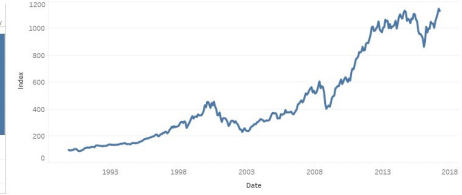
Drawdown Analysis



Factor Exposures API



Hybrid Index





Institutional Grade Analytics

That investors actually understand



The banner features a blue-toned background with a 3D cityscape of skyscrapers. In the foreground, there are 3D bar and pie charts, and a laptop displaying data. A central graphic shows a globe surrounded by a circular grid and connected to a vertical chain of six hexagons. The text 'FinRiver for FinTech Platforms' and 'Client Winning Analytics in a Snap' is prominently displayed in the center. The top left has the 'finmason' logo, and the top right has navigation links: HOME, SOLUTIONS, FINSIGHTS BLOG, ABOUT US, LOGIN, and a search icon. A search bar is also visible on the right side.

finmason

HOME SOLUTIONS FINSIGHTS BLOG ABOUT US LOGIN

FinRiver for FinTech Platforms
Client Winning Analytics in a Snap

MOBILE TECHNOLOGY

MAKE YOUR PRODUCT EVEN GREATER

To win the land grab, you need to put forward the best possible product you can...and fast.

FinTech, advisor and other wealth management platforms can differentiate themselves quickly to win and retain more clients with FinRiver's advanced analytics and proprietary data sets, delivered cost effectively via easy APIs that dramatically reduce time to market.

REQUEST DEMO



EXPAND YOUR ANALYTICS

Analytics are important, but expensive to build and



EXPAND YOUR COVERAGE

Integrate one of the largest datasets in the industry



BE A FIDUCIARY HERO

Support your clients' fiduciary compliance with a full



For Advisors

FINSORE PRO

The easy, innovative risk tolerance assessment tool that secures a real agreement on risk that your clients can understand and helps them to see the value of your advice

MORE →



For Compliance

FINSCOPE

The high-throughput screening tool that goes through each of your firm's client portfolios every night and flags problems before they become problems

MORE →



For FinTech

FINRIVER

Be the best you can be with our easily integrated, lightening-fast APIs that deliver institutional-grade analytics and proprietary data sets to your platform

MORE →



For Lead Gen

FINSORE WIDGET

An embedded, interactive risk tolerance assessment calculator that engages prospects and helps them see for themselves if they need professional advice

MORE →

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Mark: FINTECH PARTNERS

FINTECH PARTNERS

US Serial Number: 87824214

Application Filing Date: Mar. 07, 2018

US Registration Number: 5764877

Registration Date: May 28, 2019

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: May 28, 2019

Publication Date: Aug. 14, 2018

Notice of Allowance Date: Oct. 09, 2018

Mark Information

Mark Literal Elements: FINTECH PARTNERS

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "PARTNERS"

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (..) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Investment banking services; investment consultation; investment advice; investment of funds for others; investment advisory services; financial research and information services

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 2018

Use in Commerce: Jan. 2018

Basis Information (Case Level)

Filed Use: No

Currently Use: Yes

Filed ITU: Yes

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Financial Technology Partners LP

Composed of: Financial Technology Partners LLC, Delaware limited liability company

Owner Address: 555 Mission Street, 23rd Floor
San Francisco, CALIFORNIA UNITED STATES 94105

Legal Entity Type: LIMITED PARTNERSHIP

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Seth A. Rappaport

Docket Number: 067017-0014

Attorney Primary Email Address: trademarks@morganlewis.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: SETH A. RAPPAPORT
MORGAN, LEWIS & BOCKIUS LLP
1111 PENNSYLVANIA AVENUE, NW
ATTN: TMSU
WASHINGTON, DISTRICT OF COLUMBIA UNITED STATES 20004

Phone: 202-739-5564

Fax: 202.739.3001

Correspondent e-mail: trademarks@morganlewis.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
May 28, 2019	REGISTERED-PRINCIPAL REGISTER	
Apr. 25, 2019	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Apr. 24, 2019	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Apr. 20, 2019	STATEMENT OF USE PROCESSING COMPLETE	65362
Apr. 08, 2019	USE AMENDMENT FILED	65362
Apr. 20, 2019	CASE ASSIGNED TO INTENT TO USE PARALEGAL	65362
Apr. 08, 2019	TEAS STATEMENT OF USE RECEIVED	
Oct. 09, 2018	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Aug. 14, 2018	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Aug. 14, 2018	PUBLISHED FOR OPPOSITION	
Jul. 25, 2018	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jul. 06, 2018	ASSIGNED TO LIE	68552
Jun. 20, 2018	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 20, 2018	EXAMINER'S AMENDMENT ENTERED	88888
Jun. 20, 2018	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Jun. 20, 2018	EXAMINERS AMENDMENT E-MAILED	6328
Jun. 20, 2018	EXAMINERS AMENDMENT -WRITTEN	90334
Jun. 19, 2018	ASSIGNED TO EXAMINER	90334
Mar. 14, 2018	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Mar. 10, 2018	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Apr. 24, 2019

United States of America

United States Patent and Trademark Office

FINTECH PARTNERS

Reg. No. 5,764,877

Registered May 28, 2019

Int. Cl.: 36

Service Mark

Principal Register

Financial Technology Partners LP (DELAWARE LIMITED PARTNERSHIP)
555 Mission Street, 23rd Floor
San Francisco, CALIFORNIA 94105

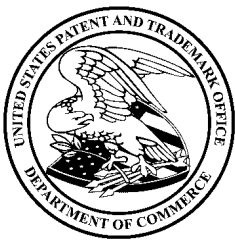
CLASS 36: Investment banking services; investment consultation; investment advice; investment of funds for others; investment advisory services; financial research and information services

FIRST USE 1-00-2018; IN COMMERCE 1-00-2018

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

No claim is made to the exclusive right to use the following apart from the mark as shown: "PARTNERS"

SER. NO. 87-824,214, FILED 03-07-2018



Andrei Iancu

Director of the United States
Patent and Trademark Office

REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

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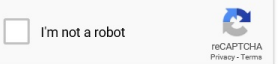
**Required*

* First Name:
* Last Name:
Company:
Title:
* Email:
Phone:

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Address:
City:
State/Province:
Zip:
Country:



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Mark: FIN ENGINES

FIN ENGINES

US Serial Number: 87712546

Application Filing Date: Dec. 07, 2017

US Registration Number: 5664641

Registration Date: Jan. 29, 2019

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Jan. 29, 2019

Publication Date: Nov. 13, 2018

Mark Information

Mark Literal Elements: FIN ENGINES

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "FIN"

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: downloadable software in the nature of a mobile application for providing investment account and portfolio information and statements, retirement goal information, investment activity and plan updates and information, and contact to a financial advisor

International Class(es): 009 - Primary Class

U.S Class(es): 021, 023, 026, 036, 038

Class Status: ACTIVE

Basis: 1(a)

First Use: Aug. 31, 2017

Use in Commerce: Aug. 31, 2017

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Filed ITU: No

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: EDELMAN FINANCIAL ENGINES, LLC
Owner Address: 1050 ENTERPRISE WAY, 3RD FLOOR
 SUNNYVALE, CALIFORNIA UNITED STATES 94089
Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Andrew G. Colombo
Attorney Primary Name/Address: tmcketing.colombo@hoveywilliams.com
Attorney Email Address: tmcketing.colombo@hoveywilliams.com
Docket Number: 50576
Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: ANDREW G. COLOMBO
 HOVEY WILLIAMS LLP
 10801 MASTIN BLVD., SUITE 1000
 OVERLAND PARK, KANSAS UNITED STATES 66210
Phone: 913-647-9050
Fax: 913-647-9057
Correspondent e-mail: tmcketing.colombo@hoveywilliams.com
Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jan. 29, 2019	REGISTERED-PRINCIPAL REGISTER	
Dec. 05, 2018	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Nov. 13, 2018	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Nov. 13, 2018	PUBLISHED FOR OPPOSITION	
Oct. 24, 2018	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Oct. 04, 2018	APPROVED FOR PUB - PRINCIPAL REGISTER	
Sep. 14, 2018	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Sep. 14, 2018	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Sep. 14, 2018	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Aug. 01, 2018	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Jul. 25, 2018	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Apr. 17, 2018	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Apr. 17, 2018	NON-FINAL ACTION E-MAILED	6325
Apr. 17, 2018	NON-FINAL ACTION WRITTEN	93048
Mar. 27, 2018	EXAMINER'S AMENDMENT ENTERED	88888
Mar. 27, 2018	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Mar. 27, 2018	EXAMINERS AMENDMENT E-MAILED	6328
Mar. 27, 2018	EXAMINERS AMENDMENT -WRITTEN	93048
Mar. 19, 2018	ASSIGNED TO EXAMINER	93048
Dec. 18, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Dec. 11, 2017	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION
Date in Location: Jan. 29, 2019

Assignment Abstract Of Title Information

Summary

Total Assignments: 4

Registrant: EDELMAN FINANCIAL ENGINES, LLC

Assignment 1 of 4

Conveyance: ENTITY CONVERSION

Reel/Frame: [6392/0654](#)

Pages: 8

Date Recorded: Jul. 26, 2018

Supporting Documents: [assignment-tm-6392-0654.pdf](#)

Assignor

Name: [FINANCIAL ENGINES, INC.](#)

Execution Date: Jul. 19, 2018

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Assignee

Name: [FINANCIAL ENGINES, LLC](#)

State or Country Where Organized: DELAWARE

Legal Entity Type: LIMITED LIABILITY COMPANY

Address: 1050 ENTERPRISE WAY, 3RD FLOOR
SUNNYVALE, CALIFORNIA 94089

Correspondent

Correspondent Name: ANDREW G. COLOMBO/HOVEY WILLIAMS LLP

Correspondent Address: 10801 MASTIN BLVD.
SUITE 1000
OVERLAND PARK, KS 66210

Domestic Representative - Not Found

Assignment 2 of 4

Conveyance: FIRST LIEN TRADEMARK SECURITY AGREEMENT

Reel/Frame: [6386/0607](#)

Pages: 10

Date Recorded: Jul. 20, 2018

Supporting Documents: [assignment-tm-6386-0607.pdf](#)

Assignor

Name: [EDELMAN FINANCIAL SERVICES, LLC](#)

Execution Date: Jul. 19, 2018

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Name: [FINANCIAL ENGINES, INC.](#)

Execution Date: Jul. 19, 2018

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Assignee

Name: [MORGAN STANLEY SENIOR FUNDING, INC., AS COLLATERAL AGENT](#)

State or Country Where Organized: DELAWARE

Legal Entity Type: CORPORATION

Address: 1300 THAMES STREET, THAMES STREET WHARF
BALTIMORE, MARYLAND 21231

Correspondent

Correspondent Name: JAY DASILVA

Correspondent Address: 1025 VERMONT AVE NW, SUITE 1130
COGENCY GLOBAL INC.
WASHINGTON, DC 20005

Domestic Representative - Not Found

Assignment 3 of 4

Conveyance: SECOND LIEN TRADEMARK SECURITY AGREEMENT

Reel/Frame: [6386/0617](#)

Pages: 10

Date Recorded: Jul. 20, 2018

Supporting Documents: [assignment-tm-6386-0617.pdf](#)

Assignor

Name: [EDELMAN FINANCIAL SERVICES, LLC](#) **Execution Date:** Jul. 19, 2018
Legal Entity Type: LIMITED LIABILITY COMPANY **State or Country Where Organized:** DELAWARE

Name: [FINANCIAL ENGINES, INC.](#) **Execution Date:** Jul. 19, 2018
Legal Entity Type: CORPORATION **State or Country Where Organized:** DELAWARE

Assignee

Name: [JPMORGAN CHASE BANK, N.A., AS COLLATERAL AGENT](#)
Legal Entity Type: BANK **State or Country Where Organized:** OHIO
Address: CIB DMO WLO, MAIL CODE NY1-C413, 4CMC
BROOKLYN, NEW YORK 11245-0001

Correspondent

Correspondent Name: JAY DASILVA
Correspondent Address: 1025 VERMONT AVE NW, SUITE 1130
COGENCY GLOBAL INC.
WASHINGTON, DC 20005

Domestic Representative - Not Found

Assignment 4 of 4

Conveyance: CHANGE OF NAME
Reel/Frame: [6490/0832](#) **Pages:** 5
Date Recorded: Nov. 19, 2018
Supporting Documents: [assignment-tm-6490-0832.pdf](#)

Assignor

Name: [FINANCIAL ENGINES, LLC](#) **Execution Date:** Oct. 31, 2018
Legal Entity Type: LIMITED LIABILITY COMPANY **State or Country Where Organized:** DELAWARE

Assignee

Name: [EDELMAN FINANCIAL ENGINES, LLC](#)
Legal Entity Type: LIMITED LIABILITY COMPANY **State or Country Where Organized:** DELAWARE
Address: 1050 ENTERPRISE WAY, 3RD FLOOR
SUNNYVALE, CALIFORNIA 94089

Correspondent

Correspondent Name: ANDREW G. COLOMBO/HOVEY WILLIAMS LLP
Correspondent Address: 10801 MASTIN BLVD.
SUITE 1000
OVERLAND PARK, KS 66210

Domestic Representative - Not Found

United States of America

United States Patent and Trademark Office

FIN ENGINES

Reg. No. 5,664,641

Registered Jan. 29, 2019

Int. Cl.: 9

Trademark

Principal Register

EDELMAN FINANCIAL ENGINES, LLC (DELAWARE LIMITED LIABILITY COMPANY)

1050 Enterprise Way, 3rd Floor
Sunnyvale, CALIFORNIA 94089

CLASS 9: downloadable software in the nature of a mobile application for providing investment account and portfolio information and statements, retirement goal information, investment activity and plan updates and information, and contact to a financial advisor

FIRST USE 8-31-2017; IN COMMERCE 8-31-2017

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

No claim is made to the exclusive right to use the following apart from the mark as shown:
"FIN"

SER. NO. 87-712,546, FILED 12-07-2017



Andrei Iancu

Director of the United States
Patent and Trademark Office

REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.

Requirements in the First Ten Years*

What and When to File:

- **First Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.
- **Second Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods*

What and When to File:

- You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

Grace Period Filings*

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***ATTENTION MADRID PROTOCOL REGISTRANTS:** The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the United States Patent and Trademark Office (USPTO). The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see <http://www.wipo.int/madrid/en/>.

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NOTE: A courtesy e-mail reminder of USPTO maintenance filing deadlines will be sent to trademark owners/holders who authorize e-mail communication and maintain a current e-mail address with the USPTO. To ensure that e-mail is authorized and your address is current, please use the Trademark Electronic Application System (TEAS) Correspondence Address and Change of Owner Address Forms available at <http://www.uspto.gov>.

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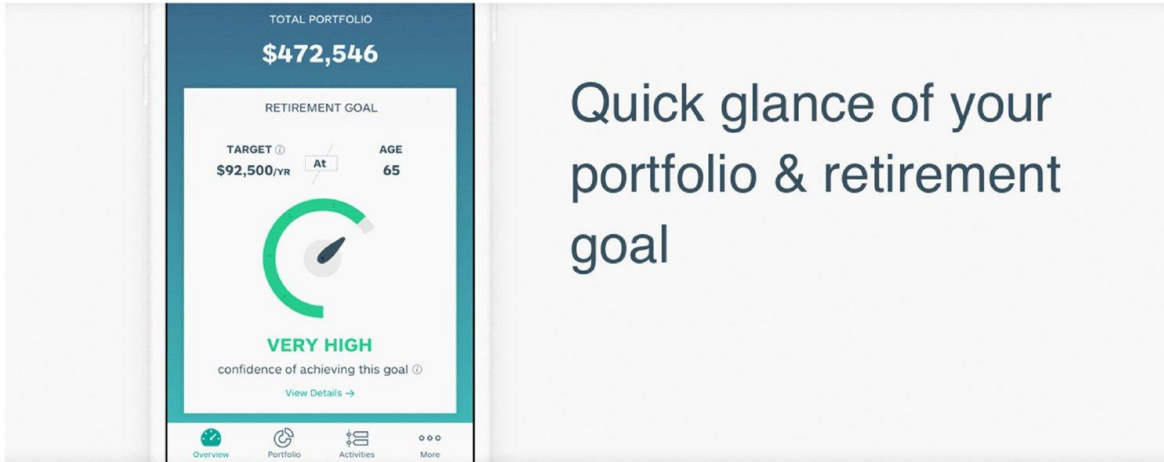
Fin Engines

Your Investment Advisor

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OPEN





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goal

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- ✓ Access quarterly statements and plan updates
- ✓ Connect with an advisor



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Mark: FINCHAT

FinChat

US Serial Number: 87556380

Application Filing Date: Aug. 04, 2017

US Registration Number: 5495808

Registration Date: Jun. 19, 2018

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Jun. 19, 2018

Publication Date: Apr. 03, 2018

Mark Information

Mark Literal Elements: FINCHAT

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Foreign Information

Priority Claimed: Yes

Foreign Application Number: 40201714471U

Foreign Application Filing Date: Jul. 27, 2017

Foreign Application/Registration Country: SINGAPORE

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial software as a service (SAAS) services, namely, provide hosting software to help regulated enterprises capture and log business-related instant messaging content from employee mobile devices such as their interactions with clients to satisfy financial regulations on data-retention required for all employees engaged in financial advisory

International Class(es): 042 - Primary Class

U.S Class(es): 100, 101

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 01, 2016

Use in Commerce: Dec. 01, 2016

Basis Information (Case Level)

Filed Use: Yes
Filed ITU: No
Filed 44D: Yes
Filed 44E: No
Filed 66A: No
Filed No Basis: No

Currently Use: Yes
Currently ITU: No
Currently 44E: No
Currently 66A: No
Currently No Basis: No

Current Owner(s) Information

Owner Name: Chiaw, Eng Hung
Owner Address: 220 Jurong East St 21 #07-619
Singapore SINGAPORE
Legal Entity Type: INDIVIDUAL
Citizenship: SINGAPORE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jeffrey M. Furr

Attorney Primary Email Address: JeffMFurr@FurrLawFirm.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: JEFFREY M. FURR
FURR LAW FIRM
2622 DEBOLT ROAD
UTICA, OHIO UNITED STATES 43080

Phone: 740-817-2381

Fax: 740-892-2118

Correspondent e-mail: JeffMFurr@FurrLawFirm.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 19, 2018	REGISTERED-PRINCIPAL REGISTER	
Apr. 03, 2018	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Apr. 03, 2018	PUBLISHED FOR OPPOSITION	
Mar. 14, 2018	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Feb. 28, 2018	APPROVED FOR PUB - PRINCIPAL REGISTER	
Feb. 28, 2018	ASSIGNED TO LIE	66213
Feb. 19, 2018	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Feb. 19, 2018	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Feb. 19, 2018	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Feb. 19, 2018	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Nov. 14, 2017	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Nov. 14, 2017	NON-FINAL ACTION E-MAILED	6325
Nov. 14, 2017	NON-FINAL ACTION WRITTEN	92836
Nov. 07, 2017	ASSIGNED TO EXAMINER	92836
Aug. 09, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 08, 2017	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Jun. 19, 2018

United States of America

United States Patent and Trademark Office

FinChat

Reg. No. 5,495,808

Registered Jun. 19, 2018

Int. Cl.: 42

Service Mark

Principal Register

Chiaw, Eng Hung (SINGAPORE INDIVIDUAL)
220 Jurong East St 21 #07-619
Singapore, SINGAPORE

CLASS 42: Financial software as a service (SAAS) services, namely, provide hosting software to help regulated enterprises capture and log business-related instant messaging content from employee mobile devices such as their interactions with clients to satisfy financial regulations on data-retention required for all employees engaged in financial advisory

FIRST USE 12-1-2016; IN COMMERCE 12-1-2016

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

SER. NO. 87-556,380, FILED 08-04-2017



Andrei Iancu

Director of the United States
Patent and Trademark Office

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- **Second Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods*

What and When to File:

- You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

Grace Period Filings*

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Mark: FIN

FIN

US Serial Number: 86138623

Application Filing Date: Dec. 09, 2013

US Registration Number: 4714710

Registration Date: Apr. 07, 2015

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Cancellation/Invalidation Pending

This trademark application has been registered with the Office, but it is currently undergoing a challenge which may result in its removal from the registry.

Status: A cancellation proceeding is pending at the Trademark Trial and Appeal Board. For further information, see TTABVue on the Trademark Trial and Appeal Board web page.

Status Date: Sep. 27, 2018

Publication Date: Jan. 20, 2015

Mark Information

Mark Literal Elements: FIN

Standard Character Claim: No

Mark Drawing Type: 5 - AN ILLUSTRATION DRAWING WITH WORD(S) /LETTER(S)/ NUMBER(S) INSTYLIZED FORM

Description of Mark: The mark consists of the letters "FIN" in a stylized block format.

Color(s) Claimed: Color is not claimed as a feature of the mark.

Acquired Distinctiveness Claim: In whole

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial and investment services, namely, management and brokerage in the fields of stocks, bonds, options, commodities, futures and other securities, and the investment of funds of others; Financial counseling services, namely, helping others build a better working relationship with their money; Financial information; Financial information and advisory services; Financial information provided by electronic means; Financial information services provided on-line from a computer database or a global computer network, namely, providing information in the field of financial classification of companies and securities; Financial investment brokerage services; Financial investment services, namely, administering the issuance, underwriting and distribution of securities; Financial planning; Financial planning and investment advisory services; Financial planning consultation; Financial services, namely, wealth management services

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Nov. 01, 1969

Use in Commerce: Nov. 01, 1969

Basis Information (Case Level)

Filed Use: Yes	Currently Use: Yes
Filed ITU: No	Currently ITU: No
Filed 44D: No	Currently 44E: No
Filed 44E: No	Currently 66A: No
Filed 66A: No	Currently No Basis: No
Filed No Basis: No	

Current Owner(s) Information

Owner Name: Financial Information Network, Inc.
Owner Address: 6656 Valjean Avenue
Van Nuys, CALIFORNIA UNITED STATES 91409
Legal Entity Type: CORPORATION
State or Country Where Organized: CALIFORNIA

Attorney/Correspondence Information

Attorney of Record

Attorney Name: James A. Italia
Attorney Primary Email Address: italia@italiaip.com
Docket Number: FIN-002
Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: JAMES A ITALIA
ITALIA IP
3500 WEST OLIVE AVENUE
SUITE 300
BURBANK, CALIFORNIA UNITED STATES 91505
Phone: 818.973.2720
Fax: 818.973.2702
Correspondent e-mail: italia@italiaip.com
Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Sep. 27, 2018	CANCELLATION INSTITUTED NO. 999999	69585
Apr. 07, 2015	REGISTERED-PRINCIPAL REGISTER	
Jan. 20, 2015	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jan. 20, 2015	PUBLISHED FOR OPPOSITION	
Dec. 31, 2014	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Dec. 15, 2014	LAW OFFICE PUBLICATION REVIEW COMPLETED	69712
Dec. 15, 2014	APPROVED FOR PUB - PRINCIPAL REGISTER	
Sep. 24, 2014	TEAS/EMAIL CORRESPONDENCE ENTERED	69712
Sep. 24, 2014	CORRESPONDENCE RECEIVED IN LAW OFFICE	69712
Sep. 17, 2014	ASSIGNED TO LIE	69712
Sep. 15, 2014	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Apr. 10, 2014	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Apr. 10, 2014	NON-FINAL ACTION E-MAILED	6325
Apr. 10, 2014	NON-FINAL ACTION WRITTEN	76731
Mar. 18, 2014	ASSIGNED TO EXAMINER	76731
Dec. 21, 2013	NOTICE OF PSEUDO MARK E-MAILED	
Dec. 20, 2013	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Dec. 12, 2013	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None
File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Apr. 07, 2015

Proceedings

Summary

Number of
Proceedings: 1

Type of Proceeding: Cancellation

Proceeding
Number: [92069585](#)

Filing Date: Sep 13, 2018

Status: Suspended

Status Date: Oct 23, 2019

Interlocutory
Attorney: MARY B MYLES

Defendant

Name: Financial Information Network, Inc.

Correspondent
Address: JAMES A ITALIA
ITALIA IP
3500 WEST OLIVE AVENUE , SUITE 300
BURBANK CA UNITED STATES , 91505

Correspondent e-
mail: italia@italiap.com

Associated marks

Mark	Application Status	Serial Number	Registration Number
FIN	Cancellation Pending	86138623	4714710

Plaintiff(s)

Name: Fin Venture Capital LLC

Correspondent
Address: JENNIFER LEE TAYLOR
MORRISON & FOERSTER LLP
425 MARKET STREET
SAN FRANCISCO CA UNITED STATES , 94105-2482

Correspondent e-
mail: jtaylor@mofo.com , achung@mofo.com , ggabriel@mofo.com , tmdocket@mofo.com , aphillips@mofo.com

Associated marks

Mark	Application Status	Serial Number	Registration Number
FIN VC	Suspension Inquiry - Mailed	87793987	
FIN VENTURE CAPITAL	Suspension Inquiry - Mailed	87793939	

Prosecution History

Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Sep 13, 2018	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Sep 27, 2018	Nov 06, 2018
3	INSTITUTED	Sep 27, 2018	
4	D MOT TO DISMISS: FRCP 12(B)	Oct 25, 2018	
5	SUSP PEND DISP OF OUTSTNDNG MOT	Nov 01, 2018	
6	P MOT TO AMEND PLEADING/AMENDED PLEADING	Nov 12, 2018	
7	TRIAL DATES RESET	Nov 15, 2018	
8	ANSWER	Dec 10, 2018	
9	D MOT TO DISMISS: TM RULE 2.132(A)	Oct 23, 2019	
10	SUSP PEND DISP OF OUTSTNDNG MOT	Oct 30, 2019	

United States of America
United States Patent and Trademark Office

FIN

Reg. No. 4,714,710

Registered Apr. 7, 2015

Int. Cl.: 36

SERVICE MARK

PRINCIPAL REGISTER

FINANCIAL INFORMATION NETWORK, INC. (CALIFORNIA CORPORATION)
6656 VALJEAN AVENUE
VAN NUYS, CA 91409

FOR: FINANCIAL AND INVESTMENT SERVICES, NAMELY, MANAGEMENT AND BROKERAGE IN THE FIELDS OF STOCKS, BONDS, OPTIONS, COMMODITIES, FUTURES AND OTHER SECURITIES, AND THE INVESTMENT OF FUNDS OF OTHERS; FINANCIAL COUNSELING SERVICES, NAMELY, HELPING OTHERS BUILD A BETTER WORKING RELATIONSHIP WITH THEIR MONEY; FINANCIAL INFORMATION; FINANCIAL INFORMATION AND ADVISORY SERVICES; FINANCIAL INFORMATION PROVIDED BY ELECTRONIC MEANS; FINANCIAL INFORMATION SERVICES PROVIDED ON-LINE FROM A COMPUTER DATABASE OR A GLOBAL COMPUTER NETWORK, NAMELY, PROVIDING INFORMATION IN THE FIELD OF FINANCIAL CLASSIFICATION OF COMPANIES AND SECURITIES; FINANCIAL INVESTMENT BROKERAGE SERVICES; FINANCIAL INVESTMENT SERVICES, NAMELY, ADMINISTERING THE ISSUANCE, UNDERWRITING AND DISTRIBUTION OF SECURITIES; FINANCIAL PLANNING; FINANCIAL PLANNING AND INVESTMENT ADVISORY SERVICES; FINANCIAL PLANNING CONSULTATION; FINANCIAL SERVICES, NAMELY, WEALTH MANAGEMENT SERVICES, IN CLASS 36 (U.S. CLS. 100, 101 AND 102).

FIRST USE 11-1-1969; IN COMMERCE 11-1-1969.

THE MARK CONSISTS OF THE LETTERS "FIN" IN A STYLIZED BLOCK FORMAT.

SEC. 2(F).

SER. NO. 86-138,623, FILED 12-9-2013.

DARRYL SPRUILL, EXAMINING ATTORNEY



Michelle K. Lee

Director of the United States
Patent and Trademark Office

**REQUIREMENTS TO MAINTAIN YOUR FEDERAL
TRADEMARK REGISTRATION**

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Requirements in Successive Ten-Year Periods*

What and When to File:

You must file a Declaration of Use (or Excusable Nonuse) **and** an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

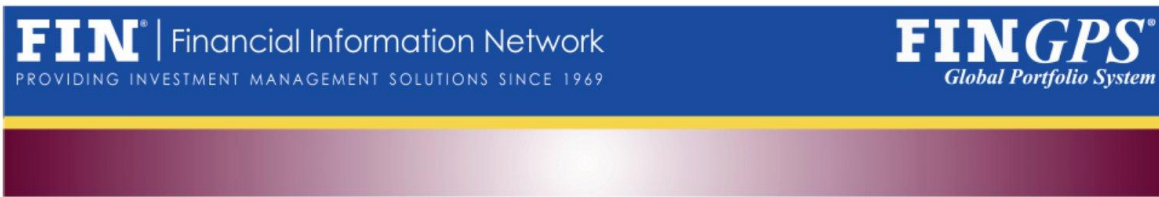
Grace Period Filings*

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Company Name*

Title*

Telephone*

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- Reporting
- Performance
- Billing
- Contact Management
- Disaster Recovery

Subject:*

Message:*



Financial Information Network, Inc.
6656 Valjean Avenue
P.O. Box 7954
Van Nuys, CA 91409
(818) 782-0331
info@fingps.com

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FINGPS®

Global Portfolio System

Financial Information Network, Inc. offers complete portfolio management and accounting systems for wealth managers and SMA sponsors of all sizes. The FINGPS® multi-currency system provides real-time automated trading, straight-through processing, GIPS-compliant performance, client and internal reporting, automated reconciliations, management fee billing, disaster recovery capabilities, and much more. Contact us to find out how FINGPS® can work for your business.

 View Features  Interactive Demo  FIN Advantage  Contact Us Find out how



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Financial Information Network, Inc.

Since 1969, Financial Information Network's portfolio management and accounting systems have provided a strong foundation on which wealth managers and SMA sponsors rely upon to run their businesses. Over the years, working with—and learning from—investment firms of all sizes, FIN has developed financial systems incorporating a wide range of features to streamline business processes.

FINGPS® is a feature-rich total technology solution for investment firms providing real-time automated trading, straight-through processing, GIPS-compliant performance, and much more. Customizable tools harness the infinite possibilities of the Internet for reporting to clients. Flexible enough to meet the needs of every investment manager, FINGPS® employs cutting-edge technology to meet the challenges of a rapidly changing global economy.

Known for its knowledge and responsiveness, FIN's customer service team builds lasting relationships with its clients. FIN's high percentage of long-term clients is a testament to the excellence and reliability that FIN systems provide.



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- Business Intelligence
- Business Process Improvement

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Mark: FINPAY

FINPAY

US Serial Number: 86037423

Application Filing Date: Aug. 14, 2013

US Registration Number: 5340943

Registration Date: Nov. 21, 2017

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Nov. 21, 2017

Publication Date: Jan. 06, 2015

Notice of Allowance Date: Mar. 03, 2015

Mark Information

Mark Literal Elements: FINPAY

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((...)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: On-line cash account services that allow individuals to fund their accounts via credit, debit, or checking account to a safe secure account that stores funds for use across multiple access points across land-based, mobile and online operations to manage cash transactions; bill payment processing services; Financial services, namely, administration of monetary transactions through a pre-paid debit card and digital wallet that can be used to fund alternative credit lending, namely, electronic transfer of funds; arranging and provision of alternative credit and loans to consumers through an online loan management system, namely, arranging and provision of alternative credit and loans, digital wallets and technologies that facilitate payments, compliance, credit, credit reporting, risk management, and loan management, namely, electronic transfer of funds

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: May 08, 2017

Use in Commerce: May 08, 2017

Basis Information (Case Level)

Filed Use: No

Currently Use: Yes

Filed ITU: Yes

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: FINPAY, LLC

Owner Address: 700 South Henderson Road
Suite 202
King of Prussia, PENNSYLVANIA UNITED STATES 19406

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Edward L. McMahon
Attorney Primary Email Address: trademark@ogrp.com
Docket Number: 0010180UST1
Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Edward L. McMahon
Ohlandt, Greeley, Ruggiero & Perle, LLP
One Landmark Square
10th Floor
Stamford, CONNECTICUT UNITED STATES 06901
Phone: 2033274500
Fax: 2033276401
Correspondent e-mail: trademark@ogrp.com
Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Nov. 21, 2017	REGISTERED-PRINCIPAL REGISTER	
Oct. 17, 2017	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Oct. 16, 2017	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Sep. 13, 2017	STATEMENT OF USE PROCESSING COMPLETE	70565
Aug. 31, 2017	USE AMENDMENT FILED	70565
Aug. 31, 2017	TEAS STATEMENT OF USE RECEIVED	
Mar. 07, 2017	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Mar. 03, 2017	EXTENSION 4 GRANTED	98765
Mar. 03, 2017	EXTENSION 4 FILED	98765
Mar. 03, 2017	TEAS EXTENSION RECEIVED	
Sep. 08, 2016	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Sep. 07, 2016	EXTENSION 3 GRANTED	70565
Sep. 01, 2016	EXTENSION 3 FILED	70565
Sep. 01, 2016	TEAS EXTENSION RECEIVED	
Apr. 08, 2016	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Apr. 07, 2016	EXTENSION 2 GRANTED	70565
Mar. 03, 2016	EXTENSION 2 FILED	70565
Apr. 06, 2016	CASE ASSIGNED TO INTENT TO USE PARALEGAL	70565
Mar. 03, 2016	TEAS EXTENSION RECEIVED	
Sep. 15, 2015	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Sep. 14, 2015	EXTENSION 1 GRANTED	74055
Sep. 02, 2015	EXTENSION 1 FILED	74055
Sep. 14, 2015	CASE ASSIGNED TO INTENT TO USE PARALEGAL	74055
Sep. 02, 2015	TEAS EXTENSION RECEIVED	
Sep. 02, 2015	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Mar. 03, 2015	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jan. 06, 2015	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jan. 06, 2015	PUBLISHED FOR OPPOSITION	

Dec. 17, 2014	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Dec. 04, 2014	LAW OFFICE PUBLICATION REVIEW COMPLETED	66121
Nov. 25, 2014	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Nov. 25, 2014	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Nov. 25, 2014	APPROVED FOR PUB - PRINCIPAL REGISTER	
Nov. 25, 2014	EXAMINER'S AMENDMENT ENTERED	88888
Nov. 25, 2014	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Nov. 25, 2014	EXAMINERS AMENDMENT E-MAILED	6328
Nov. 25, 2014	EXAMINERS AMENDMENT -WRITTEN	82100
May 27, 2014	NOTIFICATION OF FINAL REFUSAL EMAILED	
May 27, 2014	FINAL REFUSAL E-MAILED	
May 27, 2014	FINAL REFUSAL WRITTEN	82100
May 27, 2014	AMENDMENT FROM APPLICANT ENTERED	66121
May 27, 2014	CORRESPONDENCE RECEIVED IN LAW OFFICE	66121
May 13, 2014	ASSIGNED TO LIE	66121
Apr. 29, 2014	FAX RECEIVED	
Dec. 02, 2013	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Dec. 02, 2013	NON-FINAL ACTION E-MAILED	6325
Dec. 02, 2013	NON-FINAL ACTION WRITTEN	82100
Dec. 02, 2013	ASSIGNED TO EXAMINER	82100
Aug. 22, 2013	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 17, 2013	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Oct. 16, 2017

Assignment Abstract Of Title Information

Summary

Total Assignments: 1

Registrant: FINPAY, LLC

Assignment 1 of 1

Conveyance: NUNC PRO TUNC ASSIGNMENT EFFECTIVE 06/11/2015

Reel/Frame: [5609/0133](#)

Pages: 5

Date Recorded: Aug. 26, 2015

Supporting Documents: [assignment-tm-5609-0133.pdf](#)

Assignor

Name: [FINANCIAL PAYMENT NETWORK LLC](#)

Execution Date: Aug. 25, 2015

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Assignee

Name: [FINPAY, LLC](#)

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Address: 1500 LOCUST STREET
SUITE 2213
PHILADELPHIA, PENNSYLVANIA 19102

Correspondent

Correspondent Name: EDWARD L. MCMAHON

Correspondent Address: ONE LANDMARK SQUARE
10TH FLOOR
STAMFORD, CT 06901

Domestic Representative - Not Found

United States of America

United States Patent and Trademark Office

FINPAY

Reg. No. 5,340,943

Registered Nov. 21, 2017

Int. Cl.: 36

Service Mark

Principal Register

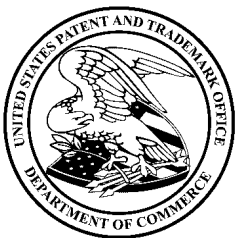
FINPAY, LLC (DELAWARE LIMITED LIABILITY COMPANY)
700 South Henderson Road
Suite 202
King Of Prussia, PENNSYLVANIA 19406

CLASS 36: On-line cash account services that allow individuals to fund their accounts via credit, debit, or checking account to a safe secure account that stores funds for use across multiple access points across land-based, mobile and online operations to manage cash transactions; bill payment processing services; Financial services, namely, administration of monetary transactions through a pre-paid debit card and digital wallet that can be used to fund alternative credit lending, namely, electronic transfer of funds; arranging and provision of alternative credit and loans to consumers through an online loan management system, namely, arranging and provision of alternative credit and loans, digital wallets and technologies that facilitate payments, compliance, credit, credit reporting, risk management, and loan management, namely, electronic transfer of funds

FIRST USE 5-8-2017; IN COMMERCE 5-8-2017

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

SER. NO. 86-037,423, FILED 08-14-2013



Joseph Matol

Performing the Functions and Duties of the
Under Secretary of Commerce for
Intellectual Property and Director of the
United States Patent and Trademark Office

REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.

Requirements in the First Ten Years*

What and When to File:

- **First Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.
- **Second Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods*

What and When to File:

- You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

Grace Period Filings*

The above documents will be accepted as timely if filed within six months after the deadlines listed above with the payment of an additional fee.

***ATTENTION MADRID PROTOCOL REGISTRANTS:** The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the United States Patent and Trademark Office (USPTO). The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see <http://www.wipo.int/madrid/en/>.

NOTE: Fees and requirements for maintaining registrations are subject to change. Please check the USPTO website for further information. With the exception of renewal applications for registered extensions of protection, you can file the registration maintenance documents referenced above online at <http://www.uspto.gov>.

NOTE: A courtesy e-mail reminder of USPTO maintenance filing deadlines will be sent to trademark owners/holders who authorize e-mail communication and maintain a current e-mail address with the USPTO. To ensure that e-mail is authorized and your address is current, please use the Trademark Electronic Application System (TEAS) Correspondence Address and Change of Owner Address Forms available at <http://www.uspto.gov>.

Welcome To finpay

We take the stress out of managing
and making your medical payments

- More Options
- More Transparency
- More Freedom

Let's Get Started!

PATIENT LOGIN



We Give You Options

At FinPay we recognize that healthcare expenses can financially burden any household. That is why we work with your provider to offer you multiple payment alternatives:

1. Single Payments: Checks, Credit Cards, HSA Cards, Cash
2. Installment Plans
3. Consumer Medical Loans
4. Community Care Foundation Financial Assistance Grants

Be sure to ask your FinPay Payment Specialist which option is right for you.

Your provider may also recommend charity care and other financial assistance programs, such as:

Medicare

<https://www.medicare.gov/>

Medicaid

<https://www.medicaid.gov/>



Offer more payment options: FinPay's payment platform provides patients with more compliant options to pay, including single payments (credit/debit/HSA/check), Community Care Foundation Grants, automated installment plans, and origination of consumer medical loans. FinPay's platform provides "set-it & forget-it" automation for all payment methods.



Step 5: Compliance Services

Our Tools

