EXHIBIT B



Reg. No. 5,926,755	SmileDirectClub, LLC (TENNESSEE LIMITED LIABILITY COMPANY) 414 Union Street, 8th Floor	
Registered Dec. 03,	Nashville, TENNESSEE 37219	
2019 Int. Cl.: 9, 14, 25	CLASS 9: Portable selfie lights for use in mobile phone photography; magnetically encoded gift cards; downloadable gift cards for digital delivery	
Trademark	FIRST USE 11-5-2018; IN COMMERCE 11-5-2018	
Principal Register	CLASS 14: Rubber or silicone wristbands in the nature of a bracelet	
	FIRST USE 11-21-2018; IN COMMERCE 11-21-2018	
	CLASS 25: Clothing, namely, T-shirts, sweatshirts and hoodies	
	FIRST USE 11-21-2018; IN COMMERCE 11-21-2018	
	THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR	
	SER. NO. 88-975,564, FILED 03-26-2019	



ndiei and

Director of the United States Patent and Trademark Office



Reg. No. 5,827,012	SmileDirectClub, LLC (TENNESSEE LIMITED LIABILITY COMPANY) 414 Union Street, 8th Floor	
Registered Aug. 06, 2019	Nashville, TENNESSEE 37219	
Int. Cl.: 18	CLASS 18: Tote bags	
Trademark	FIRST USE 10-12-2016; IN COMMERCE 10-12-2016	
Principal Register	THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR	

SER. NO. 88-268,874, FILED 01-20-2019



ndiei Jana

Director of the United States Patent and Trademark Office



Reg. No. 5,866,425	SmileDirectClub, LLC (TENNESSEE LIMITED LIABILITY COMPANY) 414 Union Street, 8th Floor	
Registered Sep. 24, 2019	Nashville, TENNESSEE 37219	
Int. Cl.: 21	CLASS 21: Insulated containers for food or beverage for domestic use; coffee mugs; water bottles sold empty	
Trademark	FIRST USE 10-14-2016; IN COMMERCE 10-14-2016	
Principal Register	THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR	

SER. NO. 88-268,881, FILED 01-20-2019



ndiei Jana

Director of the United States Patent and Trademark Office



Reg. No. 5,848,278	SMILEDIRECTCLUB, LLC (TENNESSEE LIMITED LIABILITY COMPANY) 8th Floor
Registered Sep. 03, 2019	414 Union St. Nashville, TENNESSEE 37219
Int. Cl.: 44	CLASS 44: Consultation services in the field of dentistry and orthodontia; providing
Service Mark	information in the field of orthodontics; orthodontic scanning services; 3D scanning services using dental apparatus for dimensional measurement; dental and orthodontic evaluation and
Principal Register	assessment services; web-based dental and orthodontic assessment services, namely, a series of dental- related questions for response from the user that result in a report providing a recommended course of orthodontic treatment and treatment information; teledentistry services
	FIRST USE 3-29-2016; IN COMMERCE 3-29-2016
	THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

OWNER OF U.S. REG. NO. 5037032, 5324184

SER. NO. 87-909,895, FILED 05-07-2018



ndiei and

Director of the United States Patent and Trademark Office



Reg. No. 5,848,360	SMILEDIRECTCLUB, LLC (TENNESSEE LIMITED LIABILITY COMPANY) 8th Floor 414 Union St. Nashville, TENNESSEE 37219	
Registered Sep. 03, 2019		
Int. Cl.: 40	CLASS 40: Custom manufacture of teeth aligners; custom manufacture of orthodontic retainers	
Service Mark		
Principal Register	FIRST USE 3-29-2016; IN COMMERCE 3-29-2016	
	THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR	

SER. NO. 87-946,696, FILED 06-04-2018



ndiei Jana

Director of the United States Patent and Trademark Office



Reg. No. 5,848,277	SMILEDIRECTCLUB, LLC (TENNESSEE LIMITED LIABILITY COMPANY) 8th Floor
Registered Sep. 03, 2019	414 Union St. Nashville, TENNESSEE 37219
Int. Cl.: 5	CLASS 5: Dental impression materials
Trademark	FIRST USE 3-29-2016; IN COMMERCE 3-29-2016
Principal Register	THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR
	OWNER OF U.S. REG. NO. 5324184
	SER. NO. 87-908,496, FILED 05-04-2018



ndiei and

Director of the United States Patent and Trademark Office







Reg. No. 5,904,249	SMILEDIRECTCLUB, LLC (TENNESSEE LIMITED LIABILITY COMPANY) 8th Floor 414 Union St. Nashville, TENNESSEE 37219	
Registered Nov. 05, 2019		
Int. Cl.: 40	CLASS 40: Custom manufacture of teeth aligners; custom manufacture of orthodontic retainers FIRST USE 10-13-2016; IN COMMERCE 10-13-2016	
Service Mark		
Principal Register	The mark consists of the word "smile" with the words "DIRECT CLUB" in a curve below the word "smile".	

SER. NO. 87-946,706, FILED 06-04-2018



ndiei and

Director of the United States Patent and Trademark Office







Reg. No. 5,866,424	SmileDirectClub, LLC (TENNESSEE LIMITED LIABILITY COMPANY) 414 Union Street, 8th Floor
Registered Sep. 24, 2019	Nashville, TENNESSEE 37219
Int. Cl.: 21	CLASS 21: Insulated containers for food or beverage for domestic use; coffee mugs; water bottles sold empty
Trademark	FIRST USE 10-14-2016; IN COMMERCE 10-14-2016
Principal Register	The mark consists of the word "smile" with the words "DIRECT CLUB" in a curve below the word "smile".

SER. NO. 88-268,880, FILED 01-20-2019



ndiei and

Director of the United States Patent and Trademark Office



Smile

Reg. No. 5,904,213 Registered Nov. 05, 2019 Int. Cl.: 44 Service Mark Principal Register SMILEDIRECTCLUB, LLC (TENNESSEE LIMITED LIABILITY COMPANY) 8th Floor 414 Union St. Nashville, TENNESSEE 37219

CLASS 44: Consultation services in the field of dentistry and orthodontia; providing information in the field of orthodontics; orthodontic scanning services; 3D scanning services using dental apparatus for dimensional measurement; dental and orthodontic evaluation and assessment services; web-based dental and orthodontic assessment services, namely, a series of dental- related questions for response from the user that result in a report providing a recommended course of orthodontic treatment and treatment information; teledentistry services

FIRST USE 10-13-2016; IN COMMERCE 10-13-2016

The mark consists of the word "smile" with the words "DIRECT CLUB" in a curve below the word "smile".

OWNER OF U.S. REG. NO. 5037032, 5324184

SER. NO. 87-927,047, FILED 05-18-2018



Andrei Jane

Director of the United States Patent and Trademark Office







Reg. No. 5,904,212	SMILEDIRECTCLUB, LLC (TENNESSEE LIMITED LIABILITY COMPANY) 8th Floor
Registered Nov. 05, 2019	414 Union St. Nashville, TENNESSEE 37219
Int. Cl.: 5	CLASS 5: Dental impression materials
Trademark	FIRST USE 10-5-2016; IN COMMERCE 10-5-2016
Principal Register	The mark consists of the word "smile" with the words "DIRECT CLUB" in a curve below the word "smile".
	OWNER OF U.S. REG. NO. 5324184

SER. NO. 87-927,012, FILED 05-18-2018



ndiei and

Director of the United States Patent and Trademark Office







Reg. No. 5,827,013 Registered Aug. 06, 2019 Int. Cl.: 18 Trademark Principal Register

,013SmileDirectClub, LLC (TENNESSEE LIMITED LIABILITY COMPANY)
414 Union Street, 8th Floor
Nashville, TENNESSEE 37219
CLASS 18: Tote bags
FIRST USE 10-12-2016; IN COMMERCE 10-12-2016
The mark consists of the word "smile" with the words "DIRECT CLUB" in a curve below the
word "smile".

SER. NO. 88-268,877, FILED 01-20-2019



ndiei av

Director of the United States Patent and Trademark Office







Reg. No. 5,926,756 Registered Dec. 03,	SmileDirectClub, LLC (TENNESSEE LIMITED LIABILITY COMPANY) 414 Union Street, 8th Floor Nashville, TENNESSEE 37219	
2019 Int. Cl.: 9, 14, 25	CLASS 9: Portable selfie lights for use in mobile phone photography; magnetically encoded gift cards; downloadable gift cards for digital delivery FIRST USE 11-5-2018; IN COMMERCE 11-5-2018 CLASS 14: Rubber or silicone wristbands in the nature of a bracelet	
Trademark		
Principal Register		
	FIRST USE 11-21-2018; IN COMMERCE 11-21-2018	
	CLASS 25: Clothing, namely, T-shirts, sweatshirts and hoodies	
	FIRST USE 11-21-2018; IN COMMERCE 11-21-2018	

The mark consists of the word "smile" with the words "DIRECT CLUB" in a curve below the word "smile".

SER. NO. 88-975,565, FILED 03-26-2019



ndrei and

Director of the United States Patent and Trademark Office

Generated on: This page was generated by TSDR on 2019-12-06 16:55:29 EST Mark: SMILE DIRECT CLUB

SMILE DIRECT CLUB

US Serial Number: 88268864

Filed as TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



Application Filing Jan. 20, 2019 Date:

Currently TEAS RF: Yes

LIVE/APPLICATION/Published for Opposition

A pending trademark application has been examined by the Office and has been published in a way that provides an opportunity for the public to oppose its registration.

Status: Application has been published for opposition. The opposition period begins on the date of publication.

Status Date: Dec. 03, 2019

Publication Date: Dec. 03, 2019

Mark Information

Mark Literal SMILE DIRECT CLUB Elements:

Elementer

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color. Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Related Properties Information I n I R t a s t r а i ο n Ν u m International A0087838 Application(s) /Registration(s) Based on this Property: Claimed Ownership 5324184 of US **Registrations:**

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

Brackets [..] indicate deleted goods/services;

Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: Providing a website featuring blogs, non-downloadable videos and non-downloadable publications in the nature of articles and posts in the fields of lifestyle, beauty, health, diet, wellness, orthodontia and oral health; training and educational services, namely, providing classes, online non-downloadable educational videos, and in-person training programs in the fields of dentistry and orthodontia and distributing educational materials in connection therewith

International 041 - Primary Class Class(es): U.S Class(es): 100, 101, 107

Class Status: ACTIVE

Basis: 1(a)

First Use: Mar. 29, 2016

Use in Commerce: Mar. 29, 2016

Basis Information (Case Level)

Filed Use: Yes Filed ITU: No Filed 44D: No Filed 44E: No

Filed 66A: No

Filed No Basis: No

Currently Use: Yes Currently ITU: No Currently 44E: No Currently 66A: No Currently No Basis: No

Current Owner(s) Information

Owner Name: SMILEDIRECTCLUB, LLC

Owner Address: 414 Union Street, 8th Floor Nashville, TENNESSEE UNITED STATES 37219

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country TENNESSEE Where Organized:

Attorney/Correspondence Information

Attorney Name: Jami A. Gekas

Attorney of Record

Docket Number: 116515-0121

Attorney Primary ipdocketing@foley.com Email Address:

Attorney Email Yes Authorized:

Correspondent

Correspondent JAMI A. GEKAS Name/Address: FOLEY & LARDNER LLP 321 NORTH CLARK STREET, SUITE 2800 CHICAGO, ILLINOIS UNITED STATES 60654-5313

Phone: 312-832-4500

Fax: 312-832-4700

Correspondent e ipdocketing@foley.com jgekas@foley.com mail: lvalenti-jordan@foley.com jrodriguez@foley.com s munoz@foley.com **Domestic Representative - Not Found**

Correspondent e Yes mail Authorized:

Prosecution History

Date	Description	Proceeding Number
Dec. 03, 2019	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Dec. 03, 2019	PUBLISHED FOR OPPOSITION	
Nov. 13, 2019	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Oct. 25, 2019	ASSIGNED TO LIE	73296
Oct. 21, 2019	APPROVED FOR PUB - PRINCIPAL REGISTER	
Oct. 04, 2019	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Oct. 02, 2019	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Oct. 02, 2019	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Apr. 05, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Apr. 05, 2019	NON-FINAL ACTION E-MAILED	6325
Apr. 05, 2019	NON-FINAL ACTION WRITTEN	94484
Apr. 01, 2019	ASSIGNED TO EXAMINER	94484
Feb. 12, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jan. 23, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: OAKES, JEFFREY ALLEN

Law Office LAW OFFICE 128 Assigned:

Current Location: PUBLICATION AND ISSUE SECTION

File Location

Date in Location: Oct. 30, 2019

Assignment Abstract Of Title Information

Summary	
Total Assignments: 1	Applicant: SMILEDIRECTCLUB, LLC
	Assignment 1 of 1
	-
Conveyance: SECURITY INTEREST	
Reel/Frame: <u>6675/0287</u>	Pages: 22
Date Recorded: Jun. 17, 2019	
Supporting assignment-tm-6675-0287.pdf Documents:	
	Assignor
Name: SMILEDIRECTCLUB, LLC	Execution Date: Jun. 14, 2019
Legal Entity Type: CORPORATION	State or Country TENNESSEE Where Organized:
	Assignee
Name: JPMORGAN CHASE BANK, N.A.	
Legal Entity Type: NATIONAL BANKING ASSOCIATION	State or Country UNITED STATES Where Organized:
Address: 10 S. DEARBORN ST. FLOOR L2, SUITE IL1-0480 CHICAGO, ILLINOIS 60603	
	Correspondent
Correspondent JONATHAN BROWN, MAYER BROV Name:	VN LLP
Correspondent 71 S. WACKER DR. Address: FLOOR 42 CHICAGO, IL 60606	
	Domestic Representative - Not Found

Generated on: This page was generated by TSDR on 2019-12-06 16:55:57 EST Mark: SMILE DIRECT CLUB

SMILE DIRECT CLUB

US Serial Number: 87908507

Filed as TEAS Yes Plus:

> Register: Principal Mark Type: Trademark

TM5 Common Status Descriptor:



Application Filing May 04, 2018 Date:

Currently TEAS Yes Plus:

LIVE/APPLICATION/Published for Opposition

A pending trademark application has been examined by the Office and has been published in a way that provides an opportunity for the public to oppose its registration.

Status: Notice of Allowance (NOA) sent (issued) to the applicant. Applicant must file a Statement of Use or Extension Request within six months of the NOA issuance date.

Status Date: Aug. 13, 2019

Publication Date: Jun. 18, 2019

Notice of Aug. 13, 2019 Allowance Date:

Mark Information

Mark Literal SMILE DIRECT CLUB Elements:

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color. Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks * .. * identify additional (new) wording in the goods/services.

For: Downloadable software in the nature of a mobile application for collecting and tracking patient data, interacting with patients, scheduling appointments for orthodontic treatment and assessment, and providing patient account details, treatment plans and information related to courses of orthodontic treatment; Downloadable software in the nature of a mobile application for photo editing and providing customized previews of results to be expected from orthodontic treatment plans

U.S Class(es): 021, 023, 026, 036, 038

Currently Use: No

Currently ITU: Yes

Currently 44E: No

Currently 66A: No

Currently No Basis: No

International 009 - Primary Class

Class(es):

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Filed ITU: Yes Filed 44D: No Filed 44E: No Filed 66A: No Filed No Basis: No

Owner Name: SMILEDIRECTCLUB, LLC

Owner Address: 8th Floor 414 Union St. Nashville, TENNESSEE UNITED STATES 37219

Nashville, TENNEOGEE ONTED OTATEO 372

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country TENNESSEE Where Organized:

Attorney/Correspondence Information

Attorney of Record

Docket Number: 116515-5011

Attorney Primary ipdocketing@foley.com Email Address:

Attorney Name: Jami A. Gekas

Attorney Email Yes Authorized:

Correspondent

Correspondent JAMI A. GEKAS Name/Address: FOLEY & LARDNER LLP 321 NORTH CLARK STREET SUITE 2800 CHICAGO, ILLINOIS UNITED STATES 60654-5313

Phone: 312-832-4500

Fax: 312 832-4700

 Correspondent e ipdocketing@foley.com igekas@foley.com irodrig
 Correspondent e Yes

 mail: uez@foley.com smunoz@foley.com
 mail Authorized:

_____mail Authorized: Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Aug. 13, 2019	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jun. 18, 2019	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jun. 18, 2019	PUBLISHED FOR OPPOSITION	
May 29, 2019	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
May 14, 2019	APPROVED FOR PUB - PRINCIPAL REGISTER	
Apr. 30, 2019	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Apr. 29, 2019	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Apr. 29, 2019	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Oct. 29, 2018	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Oct. 29, 2018	NON-FINAL ACTION E-MAILED	6325
Oct. 29, 2018	NON-FINAL ACTION WRITTEN	82095
Oct. 23, 2018	TEAS/EMAIL CORRESPONDENCE ENTERED	66121
Oct. 23, 2018	CORRESPONDENCE RECEIVED IN LAW OFFICE	66121
Oct. 17, 2018	ASSIGNED TO LIE	66121
Oct. 04, 2018	PAPER RECEIVED	
Oct. 02, 2018	PREVIOUS ALLOWANCE COUNT WITHDRAWN	
Oct. 02, 2018	WITHDRAWN FROM PUB - SENIOR ATTORNEY REQUEST	82095
Sep. 15, 2018	LETTER OF PROTEST EVIDENCE REVIEWED-NO FURTHER ACTION TAKEN	82095
Sep. 08, 2018	LETTER OF PROTEST ACCEPTED	
Aug. 29, 2018	APPROVED FOR PUB - PRINCIPAL REGISTER	
Aug. 27, 2018	ASSIGNED TO EXAMINER	82095
May 15, 2018	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
May 08, 2018	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff information
TM Attorney: ROSSMAN, WILLIAM M
Law Office LAW OFFICE 109
Assigned:
File Location

Assignment Abstract Of Title Information

Summary		
Total Assignments: 1	Applicant: SMILEDIRECTCLUB, LLC	
	Assignment 1 of 1	
Conveyance: SECURITY INTEREST		
Reel/Frame: 6675/0287	Pages: 22	
Date Recorded: Jun. 17, 2019		
Supporting <u>assignment-tm-6675-0287.pdf</u> Documents:		
	Assignor	
Name: SMILEDIRECTCLUB, LLC	Execution Date: Jun. 14, 2019	
Legal Entity Type: CORPORATION	State or Country TENNESSEE Where Organized:	
	Assignee	
Name: JPMORGAN CHASE BANK, N.A.		
Legal Entity Type: NATIONAL BANKING ASSOCIATION	State or Country UNITED STATES Where Organized:	
Address: 10 S. DEARBORN ST. FLOOR L2, SUITE IL1-0480 CHICAGO, ILLINOIS 60603		
	Correspondent	
Correspondent JONATHAN BROWN, MAYER BROWN Name:	I LLP	
Correspondent 71 S. WACKER DR. Address: FLOOR 42 CHICAGO, IL 60606		
	Domestic Representative - Not Found	

Generated on: This page was generated by TSDR on 2019-12-06 16:56:16 EST Mark: SMILE DIRECT CLUB



US Serial Number: 88270716

Filed as TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



Application Filing Jan. 22, 2019 Date:

Currently TEAS RF: Yes

LIVE/APPLICATION/Published for Opposition

A pending trademark application has been examined by the Office and has been published in a way that provides an opportunity for the public to oppose its registration.

Status: Application has been published for opposition. The opposition period begins on the date of publication.

Status Date: Dec. 03, 2019

Publication Date: Dec. 03, 2019

Mark Information

Mark Literal SMILE DIRECT CLUB Elements:

Standard Character No Claim:

Mark Drawing 5 - AN ILLUSTRATION DRAWING WITH WORD(S) /LETTER(S)/ NUMBER(S) INSTYLIZED FORM Type:

Description of The mark consists of the word "SMILE" with the words "DIRECT CLUB" in a curve below the word "SMILE".

Mark:

Color(s) Claimed: Color is not claimed as a feature of the mark.

Related Properties Information

International Registration Number:

International A0087839 Application(s) /Registration(s) Based on this Property:

Claimed Ownership 5324184 of US Registrations:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

• Asterisks *..* identify additional (new) wording in the goods/services.

For: Providing a website featuring blogs, non-downloadable videos and non-downloadable publications in the nature of articles and posts in the fields of lifestyle, beauty, health, diet, wellness, orthodontia and oral health; training and educational services, namely, providing classes, online non-downloadable educational videos, and in-person training programs in the fields of dentistry and orthodontia and distributing educational materials in connection therewith

International 041 - Primary Class Class(es): U.S Class(es): 100, 101, 107

Class Status: ACTIVE Basis: 1(a)

First Use: Mar. 29, 2016

Use in Commerce: Mar. 29, 2016

Currently Use: Yes

Currently ITU: No

Currently 44E: No

Currently 66A: No

Currently No Basis: No

Basis Information (Case Level)

Filed Use: Yes Filed ITU: No Filed 44D: No

Filed 44E: No

Filed 66A: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: SMILEDIRECTCLUB, LLC

Owner Address: 414 Union Street, 8th Floor Nashville, TENNESSEE UNITED STATES 37219

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country TENNESSEE Where Organized:

Docket Number: 116515-0121 Attorney Email Yes

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jami A. Gekas

Attorney Primary ipdocketing@foley.com Email Address:

Authorized: Correspondent

Correspondent Jami A. Gekas Name/Address: FOLEY & LARDNER LLP 321 NORTH CLARK STREET, SUITE 2800 CHICAGO, ILLINOIS UNITED STATES 60654-5313

Phone: 312-832-4500

Correspondent e ipdocketing@foley.com mail: Fax: 312-832-4700

Correspondent e Yes

mail Authorized: Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Dec. 03, 2019	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Dec. 03, 2019	PUBLISHED FOR OPPOSITION	
Nov. 13, 2019	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Oct. 25, 2019	ASSIGNED TO LIE	73296
Oct. 21, 2019	APPROVED FOR PUB - PRINCIPAL REGISTER	
Oct. 04, 2019	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Oct. 02, 2019	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Oct. 02, 2019	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Apr. 05, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Apr. 05, 2019	NON-FINAL ACTION E-MAILED	6325
Apr. 05, 2019	NON-FINAL ACTION WRITTEN	94484
Apr. 01, 2019	ASSIGNED TO EXAMINER	94484
Apr. 01, 2019	ASSIGNED TO EXAMINER	91173
Feb. 13, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jan. 25, 2019	NEW APPLICATION ENTERED IN TRAM	
20 20, 2010	TM Staff and L agation Information	

TM Staff and Location Information

Name:

Correspondent 71 S. WACKER DR. Address: FLOOR 42 CHICAGO, IL 60606

Domestic Representative - Not Found

TM Attorney: OAKES, JEFFREY ALLEN

TM Staff Information

Law Office LAW OFFICE 128 Assigned:

File Location

Date in Location: Oct. 30, 2019

Current Location: PUBLICATION AND ISSUE SECTION **Assignment Abstract Of Title Information** Summary Total Assignments: 1 Applicant: SMILEDIRECTCLUB, LLC Assignment 1 of 1 Conveyance: SECURITY INTEREST Reel/Frame: 6675/0287 Pages: 22 Date Recorded: Jun. 17, 2019 Supporting assignment-tm-6675-0287.pdf Documents: Assignor Name: SMILEDIRECTCLUB, LLC Execution Date: Jun. 14, 2019 Legal Entity Type: CORPORATION State or Country TENNESSEE Where Organized: Assignee Name: JPMORGAN CHASE BANK, N.A. Legal Entity Type: NATIONAL BANKING ASSOCIATION State or Country UNITED STATES Where Organized: Address: 10 S. DEARBORN ST. FLOOR L2, SUITE IL1-0480 CHICAGO, ILLINOIS 60603 Correspondent Correspondent JONATHAN BROWN, MAYER BROWN LLP

Generated on: This page was generated by TSDR on 2019-12-06 16:56:42 EST Mark: SMILE DIRECT CLUB



US Serial Number: 87927042

Filed as TEAS Yes Plus:

> Register: Principal Mark Type: Trademark

TM5 Common Status Descriptor:



Application Filing May 18, 2018 Date:

> Currently TEAS Yes Plus:

LIVE/APPLICATION/Published for Opposition

A pending trademark application has been examined by the Office and has been published in a way that provides an opportunity for the public to oppose its registration.

Status: Notice of Allowance (NOA) sent (issued) to the applicant. Applicant must file a Statement of Use or Extension Request within six months of the NOA issuance date.

Status Date: Aug. 13, 2019

Publication Date: Jun. 18, 2019

Notice of Aug. 13, 2019 Allowance Date:

Mark Information

Mark Literal SMILE DIRECT CLUB Elements:

. . . .

Standard Character No Claim:

Mark Drawing 5 - AN ILLUSTRATION DRAWING WITH WORD(S) /LETTER(S)/ NUMBER(S) INSTYLIZED FORM Type:

Description of The mark consists of the word "smile" with the words "DIRECT CLUB" in a curve below the word "smile". Mark:

Color(s) Claimed: Color is not claimed as a feature of the mark.

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

Brackets [..] indicate deleted goods/services;

Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: Downloadable software in the nature of a mobile application for collecting and tracking patient data, interacting with patients, scheduling appointments for orthodontic treatment and assessment, and providing patient account details, treatment plans and information related to courses of orthodontic treatment; Downloadable software in the nature of a mobile application for photo editing and providing customized previews of results to be expected from orthodontic treatment plans

International 009 - Primary Class Class(es):

U.S Class(es): 021, 023, 026, 036, 038

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Filed ITU: Yes Filed 44D: No Filed 44E: No Currently Use: No Currently ITU: Yes Currently 44E: No Currently 66A: No Filed 66A: No Filed No Basis: No

Current Owner(s) Information

Owner Name: SMILEDIRECTCLUB, LLC

Owner Address: 8th Floor

414 Union St. Nashville, TENNESSEE UNITED STATES 37219

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country TENNESSEE Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jami A. Gekas	

Attorney Primary <u>ipdocketing@foley.com</u> Email Address: Docket Number: 116515-5021

Attorney Email Yes Authorized:

Correspondent

Correspondent JAMI A. GEKAS Name/Address: FOLEY & LARDNER LLP 321 NORTH CLARK STREET SUITE 2800 CHICAGO, ILLINOIS UNITED STATES 60654-5313

Phone: 312-832-4500

Fax: 312 832-4700

Correspondent e ipdocketing@foley.com jgekas@foley.com jrodrig C mail: uez@foley.com smunoz@foley.com

Correspondent e Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Aug. 13, 2019	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jun. 18, 2019	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jun. 18, 2019	PUBLISHED FOR OPPOSITION	
May 29, 2019	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
May 14, 2019	APPROVED FOR PUB - PRINCIPAL REGISTER	
May 01, 2019	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Apr. 30, 2019	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Apr. 30, 2019	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Oct. 31, 2018	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Oct. 31, 2018	NON-FINAL ACTION E-MAILED	6325
Oct. 31, 2018	NON-FINAL ACTION WRITTEN	82095
Oct. 30, 2018	TEAS/EMAIL CORRESPONDENCE ENTERED	66121
Oct. 30, 2018	CORRESPONDENCE RECEIVED IN LAW OFFICE	66121
Oct. 17, 2018	ASSIGNED TO LIE	66121
Oct. 04, 2018	PAPER RECEIVED	
Oct. 02, 2018	PREVIOUS ALLOWANCE COUNT WITHDRAWN	
Oct. 02, 2018	WITHDRAWN FROM PUB - SENIOR ATTORNEY REQUEST	82095
Sep. 15, 2018	LETTER OF PROTEST EVIDENCE REVIEWED-NO FURTHER ACTION TAKEN	82095
Sep. 08, 2018	LETTER OF PROTEST ACCEPTED	
Aug. 29, 2018	APPROVED FOR PUB - PRINCIPAL REGISTER	
Aug. 27, 2018	ASSIGNED TO EXAMINER	82095
May 25, 2018	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
May 22, 2018	NEW APPLICATION ENTERED IN TRAM	
	TM Staff and Location Information	

TM Staff and Location Information

	TM Staff Information
TM Attorney: ROSSMAN, WILLIAM M	Law Office LAW OFFICE 109 Assigned:
	File Location
Current Location: INTENT TO USE SECTION	Date in Location: Aug. 13, 2019
Assignme	nt Abstract Of Title Information
Summary	
Total Assignments: 1	Applicant: SMILEDIRECTCLUB, LLC
	Assignment 1 of 1
Conveyance: SECURITY INTEREST	
Reel/Frame: <u>6675/0287</u>	Pages: 22
Date Recorded: Jun. 17, 2019	
Supporting <u>assignment-tm-6675-0287.pdf</u> Documents:	
	Assignor
Name: <u>SMILEDIRECTCLUB, LLC</u>	Execution Date: Jun. 14, 2019
Legal Entity Type: CORPORATION	State or Country TENNESSEE Where Organized:
	Assignee
Name: JPMORGAN CHASE BANK, N.A.	
Legal Entity Type: NATIONAL BANKING ASSOCIATION	State or Country UNITED STATES Where Organized:
Address: 10 S. DEARBORN ST. FLOOR L2, SUITE IL1-0480 CHICAGO, ILLINOIS 60603	
	Correspondent
Correspondent JONATHAN BROWN, MAYER BROWN Name:	N LLP
Correspondent 71 S. WACKER DR. Address: FLOOR 42 CHICAGO, IL 60606	
	Domestic Representative - Not Found