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Mark: 1792 WEALTH ADVISORS

1792 Wealth Advisors

US Serial Number: 87905634

Application Filing Date: May 03, 2018

US Registration Number: 5642104

Registration Date: Jan. 01, 2019

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Jan. 01, 2019

Publication Date: Oct. 16, 2018

Mark Information

Mark Literal Elements: 1792 WEALTH ADVISORS

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH ADVISORS"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Banking consultation; Banking and financing services; Credit and financial consultation; Estate planning; Estate trust planning; Financial consultancy; Financial consultation in the field of environmental management, namely, advising business and individuals on issues of portfolio planning and investment planning; Financial consulting; Financial consulting services in the field of planned giving for non-profit and charitable organizations; Financial information provided by electronic means in the field of personal finance and capital market consulting; Financial planning consultation; Financial planning services, namely, developing and managing charitable giving programs on behalf of wealthy individuals or families; Financial planning for retirement; Financial portfolio management; Financial retirement plan consulting services; Financial risk management; Financial risk management consultation; Financial services, namely, administration of transactions involving funds drawn from securities, stocks, funds, equities, bonds, cash, or other types of financial investments in retirement plans using a check or negotiable order of withdrawal over a global data network; Financial services, namely, investment advice, investment management, investment consultation and investment of funds for others, including private and public equity and debt investment services; Financial services, namely, wealth management services; Financial trust planning; Investment advice; Investment advice in the fields of personal finance and capital market consulting; Investment advisory services; Investment management in the fields of personal finance and capital market consulting; Investment of funds; Investment of funds for others in the fields of personal finance; Mutual fund investment; Providing information and advice in the field of finance, financial investments, financial valuations, and the financial aspects of retirement; Trust management accounts

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Aug. 17, 2017

Use in Commerce: Aug. 17, 2017

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No

Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: 1792 Wealth Advisors, LLC

Owner Address: N. Tower, 14th Floor
89 Headquarters Plaza
Morristown, NEW JERSEY 07960
UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: NEW JERSEY

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Lori P. Hager

Docket Number: WLAD 7895

Attorney Primary Email Address: tm@myerswolin.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: LORI P. HAGER
MYERS WOLIN, LLC
100 HEADQUARTERS PLAZA
MORRISTOWN, NEW JERSEY 07960-6834
UNITED STATES

Phone: 973-401-7157

Fax: 866-864-3947

Correspondent e-mail: tm@myerswolin.com carol.stanley@myerswolin.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jan. 01, 2019	REGISTERED-PRINCIPAL REGISTER	
Oct. 16, 2018	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Oct. 16, 2018	PUBLISHED FOR OPPOSITION	
Sep. 26, 2018	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Sep. 10, 2018	ASSIGNED TO LIE	70997
Aug. 28, 2018	APPROVED FOR PUB - PRINCIPAL REGISTER	
Aug. 28, 2018	EXAMINER'S AMENDMENT ENTERED	88888
Aug. 28, 2018	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Aug. 28, 2018	EXAMINERS AMENDMENT E-MAILED	6328
Aug. 28, 2018	EXAMINERS AMENDMENT -WRITTEN	94056
Aug. 27, 2018	ASSIGNED TO EXAMINER	94056
May 12, 2018	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
May 07, 2018	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Jan. 01, 2019

Generated on: This page was generated by TSDR on 2019-08-16 09:32:41 EDT

Mark: 1849 WEALTH CONNECT

1849 WEALTH CONNECT

US Serial Number: 88308368

Application Filing Date: Feb. 20, 2019

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

Status: A non-final Office action has been sent (issued) to the applicant. This is a letter from the examining attorney requiring additional information and/or making an initial refusal. The applicant must respond to this Office action. To view all documents in this file, click on the Trademark Document Retrieval link at the top of this page.

Status Date: May 10, 2019

Mark Information

Mark Literal Elements: 1849 WEALTH CONNECT

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:
- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Providing an internet website portal featuring access to banking services

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No	**Currently Use:** No	**Amended Use:** No
Filed ITU: Yes	**Currently ITU:** Yes	**Amended ITU:** No
Filed 44D: No	**Currently 44D:** No	**Amended 44D:** No
Filed 44E: No	**Currently 44E:** No	**Amended 44E:** No
Filed 66A: No	**Currently 66A:** No	
Filed No Basis: No	**Currently No Basis:** No	

Current Owner(s) Information

Owner Name: Comerica Bank

Owner Address: MC 6506
1717 Main Street
Dallas, TEXAS 75201
UNITED STATES

Legal Entity Type: Texas Banking Association

State or Country Where Organized: TEXAS

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Marinda Cooper

Attorney Primary Email Address: mdcooper@comerica.com

Attorney Email Authorized: No

Correspondent

Correspondent Name/Address: Marinda Cooper
411 W. Lafayette
MC 3391
Detroit, MICHIGAN 48221
UNITED STATES

Phone: 313-222-3843

Correspondent e-mail: trademarks@bodmanlaw.com mdcooper@comerica.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
May 10, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
May 10, 2019	NON-FINAL ACTION E-MAILED	6325
May 10, 2019	NON-FINAL ACTION WRITTEN	94350
May 08, 2019	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
May 08, 2019	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
May 01, 2019	ASSIGNED TO EXAMINER	94350
Mar. 12, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Feb. 23, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: LINERO GUARDA, GERARDO

Law Office Assigned: LAW OFFICE 127

File Location

Current Location: TMO LAW OFFICE 127 - EXAMINING ATTORNEY ASSIGNED

Date in Location: May 10, 2019

Generated on: This page was generated by TSDR on 2019-08-16 09:34:58 EDT

Mark: 3 DIMENSIONAL WEALTH

US Serial Number: 78159607

Application Filing Date: Aug. 30, 2002

US Registration Number: 3007744

Registration Date: Oct. 18, 2005

Register: Principal

Mark Type: Trademark, Service Mark

Status: The registration has been renewed.

Status Date: Dec. 12, 2015

Publication Date: May 11, 2004

Notice of Allowance Date: Aug. 03, 2004

Mark Information

Mark Literal Elements: 3 DIMENSIONAL WEALTH

Standard Character Claim: No

Mark Drawing Type: 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

Disclaimer: "wealth"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: PUBLICATIONS, NAMELY, BOOKS CONCERNING FINANCIAL, ESTATE AND TAX PLANNING, AND INVESTMENT MANAGEMENT

International Class(es): 016 - Primary Class

U.S Class(es): 002, 005, 022, 023, 029, 037, 038, 050

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 30, 2005

Use in Commerce: Jun. 30, 2005

For: TAX CONSULTATION SERVICES

International Class(es): 035 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Mar. 31, 2003

Use in Commerce: Mar. 31, 2003

For: FINANCIAL PLANNING; ESTATE PLANNING; AND INVESTMENT MANAGEMENT AND CONSULTATION SERVICES

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Mar. 31, 2003 **Use in Commerce:** Mar. 31, 2003

Basis Information (Case Level)

Filed Use:	No	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	Yes	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: THE DIEFENDORF FAMILY 2011 DYNASTY TRUST

Composed of: The South Dakota Trust Comany, TRUSTEE, SOUTH DAKOTA

Owner Address: 201 S. PHILLIPS AVE.
SUITE 200
SOUX FALLS, SOUTH DAKOTA 57104
UNITED STATES

Legal Entity Type: TRUST **State or Country Where Organized:** SOUTH DAKOTA

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Anthony E. Bennett, Esq. **Docket Number:** 1185-3

Attorney Primary Email Address: aebdocket@hbiplaw.com **Attorney Email Authorized:** Yes

Correspondent

Correspondent Name/Address: Anthony E. Bennett, Esq.
HOFFMANN & BARON, LLP
6900 JERICHO TPKE
SYOSSET, NEW YORK 11791
UNITED STATES

Phone: (516) 822-3550 **Fax:** (516) 822-3582

Correspondent e-mail: aebdocket@hbiplaw.com **Correspondent e-mail Authorized:** Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Dec. 12, 2015	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Dec. 12, 2015	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	68973
Dec. 12, 2015	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	68973
Dec. 12, 2015	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	68973
Oct. 21, 2015	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Oct. 16, 2015	TEAS SECTION 8 & 9 RECEIVED	
Nov. 16, 2011	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Nov. 16, 2011	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	67723
Nov. 16, 2011	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	67723
Oct. 17, 2011	TEAS SECTION 8 & 15 RECEIVED	
Oct. 18, 2005	REGISTERED-PRINCIPAL REGISTER	
Sep. 07, 2005	LAW OFFICE REGISTRATION REVIEW COMPLETED	76984
Aug. 30, 2005	ASSIGNED TO LIE	76984
Aug. 22, 2005	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	

Aug. 16, 2005	STATEMENT OF USE PROCESSING COMPLETE	64657
Aug. 03, 2005	USE AMENDMENT FILED	64657
Aug. 03, 2005	PAPER RECEIVED	
Feb. 17, 2005	EXTENSION 1 GRANTED	76569
Feb. 02, 2005	EXTENSION 1 FILED	76569
Feb. 02, 2005	TEAS EXTENSION RECEIVED	
Aug. 03, 2004	NOA MAILED - SOU REQUIRED FROM APPLICANT	
May 11, 2004	PUBLISHED FOR OPPOSITION	
Apr. 21, 2004	NOTICE OF PUBLICATION	
Mar. 04, 2004	APPROVED FOR PUB - PRINCIPAL REGISTER	
Feb. 11, 2004	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Feb. 11, 2004	PAPER RECEIVED	
Aug. 18, 2003	FINAL REFUSAL E-MAILED	
Jul. 11, 2003	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Aug. 01, 2003	CASE FILE IN TICRS	
Jul. 11, 2003	PAPER RECEIVED	
Feb. 20, 2003	NON-FINAL ACTION E-MAILED	
Feb. 06, 2003	ASSIGNED TO EXAMINER	76144

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

Renewal Date: Oct. 18, 2015

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE **Date in Location:** Dec. 12, 2015

Assignment Abstract Of Title Information

Summary

Total Assignments: 1 **Registrant:** Foundation for the Encouragement & Preservation of Family Values, LLC, The

Assignment 1 of 1

Conveyance: ASSIGNS THE ENTIRE INTEREST

Reel/Frame: 5645/0401 **Pages:** 3

Date Recorded: Oct. 14, 2015

Supporting Documents: assignment-tm-5645-0401.pdf

Assignor

Name: FOUNDATION FOR THE ENCOURAGEMENT & PRESERVATION OF FAMILY VALUES, LLC **Execution Date:** Oct. 09, 2015

Legal Entity Type: CORPORATION **State or Country Where Organized:** NEW YORK

Assignee

Name: THE DIEFENDORF FAMILY 2011 DYNASTY TRUST

Legal Entity Type: TRUST **State or Country Where Organized:** SOUTH DAKOTA

Address: 201 S. PHILLIPS AVE.
SUITE 200
SOUX FALLS, SOUTH DAKOTA 57104

Composed Of: COMPOSED OF The South Dakota Trust

Comany

Correspondent

Correspondent Name:	HOFFMAN & BARON, LLP
Correspondent Address:	6900 JERICHO TURNPIKE SYOSSET, NY 11791

Domestic Representative - Not Found

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Mark: 360 WEALTH RESOURCES

360 Wealth Resources

US Serial Number:	86408803	**Application Filing Date:**	Sep. 29, 2014
US Registration Number:	4751290	**Registration Date:**	Jun. 09, 2015
Filed as TEAS Plus:	Yes	**Currently TEAS Plus:**	Yes
Register:	Principal		
Mark Type:	Service Mark		
Status:	Registered. The registration date is used to determine when post-registration maintenance documents are due.		
Status Date:	Jun. 09, 2015		
Publication Date:	Mar. 24, 2015		

Mark Information

Mark Literal Elements: 360 WEALTH RESOURCES

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH RESOURCES"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For:	Financial advice and consultancy services		
International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Jun. 01, 2012	**Use in Commerce:**	Sep. 30, 2012

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: Timothy Brown 360 Wealth Resources

Owner Address: 4435 Alla Road #4
Marina Del Rey, CALIFORNIA 90292

UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: CALIFORNIA

Attorney/Correspondence Information

Attorney of Record - None

Correspondent

Correspondent Name/Address: TIMOTHY BROWN
360 WEALTH RESOURCES
4435 ALLA RD UNIT 4
MARINA DEL REY, CALIFORNIA 90292-6329
UNITED STATES

Phone: 310-821-2255

Fax: 310-388-5401

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 09, 2015	REGISTERED-PRINCIPAL REGISTER	
Mar. 24, 2015	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Mar. 24, 2015	PUBLISHED FOR OPPOSITION	
Mar. 04, 2015	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Feb. 16, 2015	LAW OFFICE PUBLICATION REVIEW COMPLETED	70138
Feb. 14, 2015	ASSIGNED TO LIE	70138
Jan. 27, 2015	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jan. 21, 2015	EXAMINER'S AMENDMENT ENTERED	88888
Jan. 21, 2015	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Jan. 21, 2015	EXAMINERS AMENDMENT E-MAILED	6328
Jan. 21, 2015	EXAMINERS AMENDMENT -WRITTEN	74781
Jan. 16, 2015	ASSIGNED TO EXAMINER	74781
Oct. 06, 2014	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Oct. 02, 2014	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Jun. 09, 2015

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Mark: 3D WEALTH

3D WEALTH

US Serial Number:	77260385	**Application Filing Date:**	Aug. 21, 2007
US Registration Number:	3422330	**Registration Date:**	May 06, 2008
Filed as TEAS Plus:	Yes	**Currently TEAS Plus:**	Yes
Register:	Principal		
Mark Type:	Service Mark		
Status:	The registration has been renewed.		
Status Date:	Jun. 25, 2017		
Publication Date:	Feb. 19, 2008		

Mark Information

Mark Literal Elements: 3D WEALTH

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:
- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For:	Financial planning; Insurance brokerage		
International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Jun. 01, 2007	**Use in Commerce:**	Jun. 01, 2007

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: SILBERT, SCOTT

Owner Address: 175 WEST 93 STREET APT 8F
NEW YORK, NEW YORK 10025

UNITED STATES

Legal Entity Type: INDIVIDUAL **Citizenship:** UNITED STATES

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JEFFREY GREENER

Attorney Primary Email Address: JEFFREY.GREENER@RIVKIN.COM **Attorney Email Authorized:** Yes

Correspondent

Correspondent Name/Address: JEFFREY GREENER
RIVKIN RADLER LLP
926 RXR PLAZA
UNIONDALE, NEW YORK 11556
UNITED STATES

Phone: 516 357 3177 **Fax:** 516 357 3333

Correspondent e-mail: JEFFREY.GREENER@RIVKIN.COM MICHAEL.CANNATA@RIVKIN.COM trademark@rivkin.com **Correspondent e-mail Authorized:** Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 25, 2017	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Jun. 25, 2017	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	76293
Jun. 25, 2017	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	76293
Jun. 25, 2017	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	76293
May 12, 2017	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
May 12, 2017	TEAS SECTION 8 & 9 RECEIVED	
May 06, 2017	COURTESY REMINDER - SEC. 8 (10-YR)/SEC. 9 E-MAILED	
Jun. 11, 2013	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Jun. 11, 2013	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	67723
Jun. 11, 2013	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	67723
May 23, 2013	TEAS SECTION 8 & 15 RECEIVED	
May 06, 2008	REGISTERED-PRINCIPAL REGISTER	
Feb. 19, 2008	PUBLISHED FOR OPPOSITION	
Jan. 30, 2008	NOTICE OF PUBLICATION	
Jan. 14, 2008	LAW OFFICE PUBLICATION REVIEW COMPLETED	77075
Jan. 14, 2008	ASSIGNED TO LIE	77075
Nov. 29, 2007	APPROVED FOR PUB - PRINCIPAL REGISTER	
Nov. 29, 2007	EXAMINER'S AMENDMENT ENTERED	88888
Nov. 29, 2007	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Nov. 29, 2007	EXAMINERS AMENDMENT E-MAILED	6328
Nov. 29, 2007	EXAMINERS AMENDMENT -WRITTEN	80801
Nov. 29, 2007	ASSIGNED TO EXAMINER	80801
Aug. 25, 2007	NOTICE OF PSEUDO MARK MAILED	
Aug. 24, 2007	NEW APPLICATION ENTERED IN TRAM	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

Renewal Date: May 06, 2018

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE **Date in Location:** Jun. 25, 2017

Generated on: This page was generated by TSDR on 2019-08-16 09:36:41 EDT

Mark: A BETTER WEALTH EXPERIENCE

A BETTER WEALTH EXPERIENCE

US Serial Number: 88029473

Application Filing Date: Jul. 09, 2018

US Registration Number: 5735505

Registration Date: Apr. 23, 2019

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Apr. 23, 2019

Publication Date: Dec. 11, 2018

Notice of Allowance Date: Feb. 05, 2019

Mark Information

Mark Literal Elements: A BETTER WEALTH EXPERIENCE

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:
- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial services, namely, wealth management services, retirement planning, financial strategies for tax management, investment management, and financial asset protection

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 01, 2018

Use in Commerce: Jun. 01, 2018

Basis Information (Case Level)

Filed Use:	No	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	Yes	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: Odyssey Wealth Management, LLC

Owner Address: Suite 496
2500 N. Dallas Parkway
Plano, TEXAS 75093

	UNITED STATES		
Legal Entity Type:	LIMITED LIABILITY COMPANY	**State or Country Where Organized:**	TEXAS

Attorney/Correspondence Information

Attorney of Record

Attorney Name:	Eric T. Fingerhut	**Docket Number:**	115595.0005
Attorney Primary Email Address:	tm@dykema.com	**Attorney Email Authorized:**	Yes

Correspondent

Correspondent Name/Address: ERIC T. FINGERHUT
DYKEMA GOSSETT PLLC
1301 K STREET, N.W.
SUITE 1100 WEST
WASHINGTON, DISTRICT OF COLUMBIA 20005
UNITED STATES

Correspondent e-mail:	tm@dykema.com	**Correspondent e-mail Authorized:**	Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Apr. 23, 2019	REGISTERED-PRINCIPAL REGISTER	
Mar. 20, 2019	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Mar. 19, 2019	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Feb. 28, 2019	STATEMENT OF USE PROCESSING COMPLETE	66530
Feb. 07, 2019	USE AMENDMENT FILED	66530
Feb. 27, 2019	CASE ASSIGNED TO INTENT TO USE PARALEGAL	66530
Feb. 07, 2019	TEAS STATEMENT OF USE RECEIVED	
Feb. 05, 2019	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Dec. 11, 2018	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Dec. 11, 2018	PUBLISHED FOR OPPOSITION	
Nov. 21, 2018	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Oct. 28, 2018	APPROVED FOR PUB - PRINCIPAL REGISTER	
Oct. 27, 2018	ASSIGNED TO EXAMINER	73703
Jul. 13, 2018	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jul. 12, 2018	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location:	PUBLICATION AND ISSUE SECTION	**Date in Location:**	Mar. 19, 2019

Generated on: This page was generated by TSDR on 2019-08-16 09:37:21 EDT

Mark: A WEALTH OF SERVICE

A WEALTH OF SERVICE

US Serial Number: 85625300

Application Filing Date: May 15, 2012

US Registration Number: 4268115

Registration Date: Jan. 01, 2013

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

Status: A Sections 8 and 15 combined declaration has been accepted and acknowledged.

Status Date: Mar. 27, 2018

Publication Date: Oct. 16, 2012

Mark Information

Mark Literal Elements: A WEALTH OF SERVICE

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial administration of retirement plans; Financial planning; Financial planning for retirement; Financial trust planning; Investment management; Trust services, namely, investment and trust company services

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: May 06, 2012

Use in Commerce: May 06, 2012

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: SVA Plumb Financial, LLC

Owner Address: 1221 John Q. Hammons Drive
Madison, WISCONSIN 53717
UNITED STATES

Legal Entity Type:	LIMITED LIABILITY COMPANY	**State or Country Where Organized:**	WISCONSIN

Attorney/Correspondence Information

Attorney of Record

Attorney Name:	Josh Gerben, Esq.	**Docket Number:**	05635-0004
Attorney Primary Email Address:	jgerben@gerbenlawfirm.com	**Attorney Email Authorized:**	Yes

Correspondent

Correspondent Name/Address: Josh Gerben, Esq.
Gerben Law Firm, PLLC
1050 Connecticut Ave. NW
Suite 500
Washington, DISTRICT OF COLUMBIA 20036-5334
UNITED STATES

Phone: 202-294-2287

Correspondent e-mail:	jgerben@gerbenlawfirm.com eperrott@gerbenlawfirm.com	**Correspondent e-mail Authorized:**	Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Mar. 27, 2018	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Mar. 27, 2018	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	76985
Mar. 27, 2018	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	76985
Mar. 14, 2018	TEAS SECTION 8 & 15 RECEIVED	
Jan. 01, 2018	COURTESY REMINDER - SEC. 8 (6-YR) E-MAILED	
Feb. 25, 2016	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Jan. 01, 2013	REGISTERED-PRINCIPAL REGISTER	
Nov. 09, 2012	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Oct. 16, 2012	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Oct. 16, 2012	PUBLISHED FOR OPPOSITION	
Sep. 26, 2012	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Sep. 06, 2012	APPROVED FOR PUB - PRINCIPAL REGISTER	
Sep. 06, 2012	ASSIGNED TO EXAMINER	81088
May 18, 2012	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
May 18, 2012	NEW APPLICATION ENTERED IN TRAM	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location:	TMEG LAW OFFICE 101	**Date in Location:**	Mar. 27, 2018

Generated on: This page was generated by TSDR on 2019-08-16 09:37:58 EDT

Mark: ACCELERATED WEALTH

Accelerated Wealth

US Serial Number: 86283434

Application Filing Date: May 16, 2014

US Registration Number: 4670575

Registration Date: Jan. 13, 2015

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Jan. 13, 2015

Publication Date: Oct. 28, 2014

Mark Information

Mark Literal Elements: ACCELERATED WEALTH

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH"

Acquired Distinctiveness Claim: In whole

Related Properties Information

Claimed Ownership of US Registrations: 4381422, 4424332

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Advice relating to investments; Annuity services, namely, account and investment administration; Annuity services, namely, account and investment administration and the investment and distribution of annuity funds; Consulting and information concerning insurance; Credit and financial consultation; Financial advice; Financial advice and consultancy services; Financial advice, namely, budget planning; Financial advisory and consultancy services; Financial analysis and consultation; Financial and investment services, namely, asset and investment acquisition, consultation, advisory and development; Financial and investment services, namely, management and brokerage in the fields of stocks, bonds, options, commodities, futures and other securities, and the investment of funds of others; Financial asset management; Financial consultancy; Financial consultancy and insurance consultancy; Financial consultation; Financial consulting; Financial consulting services in the field of planned giving for non-profit and charitable organizations; Financial evaluation for insurance purposes; Financial information; Financial information and advisory services; Financial information and evaluations; Financial investment brokerage; Financial investment brokerage services; Financial management; Financial planning and investment advisory services; Financial planning consultation; Financial planning for retirement; Financial portfolio analysis services; Financial risk management; Financial risk management consultation; Financial services, namely, a total portfolio offering for high net worth clients consisting of both separate accounts and mutual funds for equity and fixed income investments; Financial services, namely, coordination, within a single account, of an investment portfolio's maintenance, trading, rebalancing, and tax management needs; Financial services, namely, providing an investment option available for variable annuity and variable life insurance products; Financial services, namely, wealth management services; Insurance agency and brokerage; Insurance and financial information and consultancy services; Insurance consultancy; Insurance consultation; Insurance information; Insurance information and consultancy; Investment advice; Investment management of and distribution of annuities; Investment management of and distribution of variable annuities; Providing information and advice in the field of finance, financial investments, financial valuations, and the financial aspects of retirement; Providing information in insurance matters; Providing investors with financial information; Provision of financial information; Strategic financial advisory services

International Class(es): 036 - Primary Class | **U.S Class(es):** 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 01, 2008 | **Use in Commerce:** Apr. 01, 2009

Basis Information (Case Level)

Filed Use: Yes | **Currently Use:** Yes | **Amended Use:** No

Filed ITU: No | **Currently ITU:** No | **Amended ITU:** No

Filed 44D: No | **Currently 44D:** No | **Amended 44D:** No

Filed 44E: No | **Currently 44E:** No | **Amended 44E:** No

Filed 66A: No | **Currently 66A:** No

Filed No Basis: No | **Currently No Basis:** No

Current Owner(s) Information

Owner Name: Accelerated Wealth, LLC

Owner Address: 13570 Meadowgrass Drive, Ste. 100
Colorado Springs, COLORADO 80921
UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY | **State or Country Where Organized:** COLORADO

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Danette Lilja | **Docket Number:** 2021-007

Attorney Primary Email Address: trademarks@blspeer.com | **Attorney Email Authorized:** Yes

Correspondent

Correspondent Name/Address: DANETTE LILJA
BL SPEER & ASSOCIATES
104 S. Cascade Ave., Ste. 200C
COLORADO SPRINGS, COLORADO 80903-3915
UNITED STATES

Phone: 7193811708 | **Fax:** 7194668098

Correspondent e-mail: trademarks@blspeer.com danette@blspeer.com | **Correspondent e-mail Authorized:** Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Oct. 04, 2018	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Jan. 13, 2015	REGISTERED-PRINCIPAL REGISTER	
Oct. 28, 2014	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Oct. 28, 2014	PUBLISHED FOR OPPOSITION	
Oct. 08, 2014	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Sep. 23, 2014	LAW OFFICE PUBLICATION REVIEW COMPLETED	70633
Sep. 16, 2014	ASSIGNED TO LIE	70633
Sep. 03, 2014	APPROVED FOR PUB - PRINCIPAL REGISTER	
Sep. 03, 2014	EXAMINER'S AMENDMENT ENTERED	88888
Sep. 03, 2014	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Sep. 03, 2014	EXAMINERS AMENDMENT E-MAILED	6328
Sep. 03, 2014	EXAMINERS AMENDMENT -WRITTEN	82419

Sep. 02, 2014	ASSIGNED TO EXAMINER	82419
May 30, 2014	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
May 20, 2014	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION **Date in Location:** Jan. 13, 2015

Generated on: This page was generated by TSDR on 2019-08-16 09:40:29 EDT

Mark: ACADEMY WEALTH MANAGEMENT

Academy Wealth Management

US Serial Number: 88168735

Application Filing Date: Oct. 25, 2018

US Registration Number: 5823417

Registration Date: Jul. 30, 2019

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Jul. 30, 2019

Publication Date: Apr. 09, 2019

Notice of Allowance Date: Jun. 04, 2019

Mark Information

Mark Literal Elements: ACADEMY WEALTH MANAGEMENT

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH MANAGEMENT"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:
- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial advising and consulting services

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: May 01, 2019

Use in Commerce: May 01, 2019

Basis Information (Case Level)

Filed Use: Yes	**Currently Use:** Yes	**Amended Use:** No
Filed ITU: No	**Currently ITU:** No	**Amended ITU:** Yes
Filed 44D: No	**Currently 44D:** No	**Amended 44D:** No
Filed 44E: No	**Currently 44E:** No	**Amended 44E:** No
Filed 66A: No	**Currently 66A:** No	
Filed No Basis: No	**Currently No Basis:** No	

Current Owner(s) Information

Owner Name: Academy Wealth Management, LLC

Owner Address: 2956 East Paris Ave SE

Kentwood, MICHIGAN 49512
UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: MICHIGAN

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Nichole A. Sadek

Attorney Primary Email Address: Nichole.sadek@gmail.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: NICHOLE A. SADEK
2956 EAST PARIS AVE SE
KENTWOOD, MICHIGAN 49512
UNITED STATES

Correspondent e-mail: Nichole.sadek@gmail.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jul. 30, 2019	REGISTERED-PRINCIPAL REGISTER	
Jun. 22, 2019	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Jun. 21, 2019	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Jun. 20, 2019	STATEMENT OF USE PROCESSING COMPLETE	71906
Jun. 10, 2019	USE AMENDMENT FILED	71906
Jun. 20, 2019	CASE ASSIGNED TO INTENT TO USE PARALEGAL	71906
Jun. 10, 2019	TEAS STATEMENT OF USE RECEIVED	
Jun. 04, 2019	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Apr. 09, 2019	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Apr. 09, 2019	PUBLISHED FOR OPPOSITION	
Mar. 20, 2019	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Feb. 28, 2019	APPROVED FOR PUB - PRINCIPAL REGISTER	
Feb. 28, 2019	EXAMINER'S AMENDMENT ENTERED	88888
Feb. 28, 2019	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Feb. 28, 2019	EXAMINERS AMENDMENT E-MAILED	6328
Feb. 28, 2019	EXAMINERS AMENDMENT -WRITTEN	93416
Feb. 25, 2019	TEAS/EMAIL CORRESPONDENCE ENTERED	68123
Feb. 25, 2019	CORRESPONDENCE RECEIVED IN LAW OFFICE	68123
Feb. 11, 2019	ASSIGNED TO LIE	68123
Feb. 06, 2019	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Feb. 06, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Feb. 06, 2019	NON-FINAL ACTION E-MAILED	6325
Feb. 06, 2019	NON-FINAL ACTION WRITTEN	93416
Feb. 01, 2019	ASSIGNED TO EXAMINER	93416
Dec. 25, 2018	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Dec. 25, 2018	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Dec. 25, 2018	TEAS VOLUNTARY AMENDMENT RECEIVED	
Nov. 07, 2018	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Oct. 29, 2018	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Jun. 21, 2019

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Mark: ACCUMULATION WEALTH PARTNERS

ACCUMULATION WEALTH
PARTNERS

US Serial Number:	88083191	**Application Filing Date:**	Aug. 17, 2018
Filed as TEAS Plus:	Yes	**Currently TEAS Plus:**	Yes
Register:	Principal		
Mark Type:	Service Mark		
Status:	Review prior to publication completed.		
Status Date:	Jul. 25, 2019		
Publication Date:	Aug. 27, 2019		

Mark Information

Mark Literal Elements: ACCUMULATION WEALTH PARTNERS

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH PARTNERS"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Investment advice; Investment advisory services; Investment consultancy; Financial services, namely, wealth management services

International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Oct. 2015	**Use in Commerce:**	Oct. 2015

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: M WEALTH MANAGEMENT, LLC

Owner Address: 13520 Evening Creek Dr. North; Ste. 130
San Diego, CALIFORNIA 92128
UNITED STATES

Legal Entity Type:	LIMITED LIABILITY COMPANY	**State or Country**	CALIFORNIA

Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Erik M. Pelton

Attorney Primary Email Address: uspto@tm4smallbiz.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: ERIK M. PELTON
ERIK M. PELTON & ASSOCIATES, PLLC
PO BOX 100637
ARLINGTON, VIRGINIA 22210
UNITED STATES

Phone: 703-525-8009

Correspondent e-mail: uspto@tm4smallbiz.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Aug. 07, 2019	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jul. 22, 2019	ASSIGNED TO LIE	70633
Jul. 16, 2019	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jul. 16, 2019	EXAMINER'S AMENDMENT ENTERED	88888
Jul. 16, 2019	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Jul. 16, 2019	EXAMINERS AMENDMENT E-MAILED	6328
Jul. 16, 2019	EXAMINERS AMENDMENT -WRITTEN	93681
Jun. 11, 2019	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Jun. 10, 2019	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Jun. 10, 2019	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Dec. 10, 2018	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Dec. 10, 2018	NON-FINAL ACTION E-MAILED	6325
Dec. 10, 2018	NON-FINAL ACTION WRITTEN	93681
Dec. 04, 2018	ASSIGNED TO EXAMINER	93681
Aug. 27, 2018	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 21, 2018	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: WRIGHT, LAURA M

Law Office Assigned: LAW OFFICE 125

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Jul. 25, 2019

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Mark: ACUITY WEALTH MANAGEMENT

ACUITY WEALTH MANAGEMENT

US Serial Number:	86069494	**Application Filing Date:**	Sep. 19, 2013
US Registration Number:	4591697	**Registration Date:**	Aug. 26, 2014

Register: Principal

Mark Type: Service Mark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Aug. 26, 2014

Publication Date: Mar. 04, 2014

Mark Information

Mark Literal Elements: ACUITY WEALTH MANAGEMENT

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH MANAGEMENT"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:
- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial services, namely, investment advice, investment management, investment consultation and investment of funds for others, including private and public equity and debt investment services

International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use:	Sep. 04, 2013	**Use in Commerce:**	Sep. 04, 2013

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: Acuity Wealth Management, LLC

Owner Address: 10 East Broad Street
Hopewell, NEW JERSEY 08525
UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: NEW JERSEY

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Eric J. Kaiser

Attorney Primary Email Address: kaiser@erickaiserlaw.com

Attorney Email Authorized: No

Correspondent

Correspondent Name/Address: ERIC J. KAISER
ERIC J. KAISER
41 E MILL RD
LONG VALLEY, NEW JERSEY 07853-3193
UNITED STATES

Phone: 908-876-1823

Correspondent e-mail: kaiser@erickaiserlaw.com

Correspondent e-mail Authorized: No

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Aug. 26, 2014	REGISTERED-PRINCIPAL REGISTER	
Jul. 18, 2014	EXTENSION OF TIME TO OPPOSE PROCESS - TERMINATED	
Mar. 25, 2014	EXTENSION OF TIME TO OPPOSE RECEIVED	
Mar. 04, 2014	PUBLISHED FOR OPPOSITION	
Feb. 12, 2014	NOTICE OF PUBLICATION	
Jan. 29, 2014	LAW OFFICE PUBLICATION REVIEW COMPLETED	68552
Jan. 24, 2014	ASSIGNED TO LIE	68552
Dec. 31, 2013	APPROVED FOR PUB - PRINCIPAL REGISTER	
Dec. 31, 2013	EXAMINER'S AMENDMENT MAILED	
Dec. 30, 2013	EXAMINER'S AMENDMENT ENTERED	88888
Dec. 30, 2013	EXAMINERS AMENDMENT -WRITTEN	83285
Dec. 30, 2013	ASSIGNED TO EXAMINER	83285
Sep. 26, 2013	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Sep. 23, 2013	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Aug. 26, 2014

Proceedings

Summary

Number of Proceedings: 1

Type of Proceeding: Extension of Time

Proceeding Number: 86069494

Filing Date: Mar 25, 2014

Status: Terminated

Status Date: Jul 18, 2014

Interlocutory Attorney:

Defendant

Name: Acuity Wealth Management, LLC

Correspondent Address: ERIC J. KAISER
ERIC J. KAISER
41 E MILL RD
LONG VALLEY NJ , 07853-3193

Associated marks

Mark	Application Status	Serial Number	Registration Number
ACUITY WEALTH MANAGEMENT	Registered	86069494	4591697

Potential Opposer(s)

Name: Accuity Inc.

Correspondent Address: Renee Simonton
Vice President
1105 North Market Street
Wilmington DE , 19801
UNITED STATES

Correspondent e-mail: jacqueline.gregorski@reedelsevier.com

Prosecution History

Entry Number	History Text	Date	Due Date
1	INCOMING - EXT TIME TO OPPOSE FILED	Mar 25, 2014	
2	EXTENSION OF TIME GRANTED	Mar 25, 2014	

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Mark: ACUMEN WEALTH ADVISORS

ACUMEN WEALTH ADVISORS

US Serial Number: 86864259

Application Filing Date: Jan. 04, 2016

US Registration Number: 5039674

Registration Date: Sep. 13, 2016

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Sep. 13, 2016

Publication Date: Jun. 28, 2016

Mark Information

Mark Literal Elements: ACUMEN WEALTH ADVISORS

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH ADVISORS"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial advisory and consultancy services, namely, research, analysis, consultation, planning and management as the same for the portfolios of individuals excluding philanthropic or social investments; financial investment and risk management for the portfolios of individuals excluding philanthropic or social investments

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 01, 2012

Use in Commerce: Jan. 01, 2012

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: Acumen Wealth Advisors, LLC

Owner Address: Suite 202
535 Chestnut Street
Chattanooga, TENNESSEE 37402
UNITED STATES

Legal Entity Type:	LIMITED LIABILITY COMPANY	**State or Country Where Organized:**	TENNESSEE

Attorney/Correspondence Information

Attorney of Record

Attorney Name:	Stephen J. Stark	**Docket Number:**	Acumen-blm
Attorney Primary Email Address:	trademarknotices@millermartin.com	**Attorney Email Authorized:**	Yes

Correspondent

Correspondent Name/Address:	STEPHEN J. STARK Miller & Martin PLLC 832 Georgia Avenue Suite 1200 Volunteer Building Chattanooga, TENNESSEE 37402-2289 UNITED STATES		
Phone:	423-756-6600	**Fax:**	423-785-8480
Correspondent e-mail:	trademarknotices@millermartin.com stephen.stark@millermartin.com	**Correspondent e-mail Authorized:**	Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Sep. 13, 2016	REGISTERED-PRINCIPAL REGISTER	
Jun. 28, 2016	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jun. 28, 2016	PUBLISHED FOR OPPOSITION	
Jun. 08, 2016	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
May 25, 2016	APPROVED FOR PUB - PRINCIPAL REGISTER	
May 06, 2016	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
May 05, 2016	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
May 05, 2016	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
May 05, 2016	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Apr. 25, 2016	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Apr. 25, 2016	NON-FINAL ACTION E-MAILED	6325
Apr. 25, 2016	NON-FINAL ACTION WRITTEN	74786
Apr. 20, 2016	ASSIGNED TO EXAMINER	74786
Jan. 12, 2016	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jan. 07, 2016	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location:	PUBLICATION AND ISSUE SECTION	**Date in Location:**	Sep. 13, 2016

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Mark: ADAPTIVE WEALTH STRATEGIES

ADAPTIVE WEALTH STRATEGIES

US Serial Number:	88068585	**Application Filing Date:**	Aug. 07, 2018
US Registration Number:	5693832	**Registration Date:**	Mar. 05, 2019
Filed as TEAS RF:	Yes	**Currently TEAS RF:**	Yes
Register:	Supplemental		
Mark Type:	Service Mark		
TM5 Common Status Descriptor:		LIVE/REGISTRATION/Issued and Active The trademark application has been registered with the Office.	
Amended to Principal Register:	No	**Date Amended to Current Register:**	Dec. 17, 2018
Status:	Registered. The registration date is used to determine when post-registration maintenance documents are due.		
Status Date:	Mar. 05, 2019		

Mark Information

Mark Literal Elements: ADAPTIVE WEALTH STRATEGIES

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH STRATEGIES"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For:	Financial planning and investment management strategies		
International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Jan. 02, 2018	**Use in Commerce:**	Jan. 02, 2018

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes
Filed ITU:	No	**Currently ITU:**	No
Filed 44D:	No	**Currently 44E:**	No
Filed 44E:	No	**Currently 66A:**	No
Filed 66A:	No	**Currently No Basis:**	No
Filed No Basis:	No		

Current Owner(s) Information

Owner Name: Carroll Financial Associates, Inc.

Owner Address: 4201 Congress Street, Suite 210
Charlotte, NORTH CAROLINA UNITED STATES 28209

Legal Entity Type: CORPORATION

State or Country Where Organized: NORTH CAROLINA

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Janet P. Peyton

Attorney Primary Email Address: trademarks@mcguirewoods.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: JANET P. PEYTON
MCGUIREWOODS LLP
800 EAST CANAL STREET
RICHMOND, VIRGINIA UNITED STATES 23219

Phone: 804-775-1166

Fax: 804-698-2230

Correspondent e-mail: trademarks@mcguirewoods.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
May 01, 2019	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Mar. 05, 2019	REGISTERED-SUPPLEMENTAL REGISTER	
Jan. 29, 2019	LAW OFFICE PUBLICATION REVIEW COMPLETED	74221
Jan. 19, 2019	ASSIGNED TO LIE	74221
Jan. 04, 2019	APPROVED FOR REGISTRATION SUPPLEMENTAL REGISTER	
Dec. 18, 2018	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Dec. 17, 2018	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Dec. 17, 2018	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Dec. 03, 2018	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Dec. 03, 2018	NON-FINAL ACTION E-MAILED	6325
Dec. 03, 2018	NON-FINAL ACTION WRITTEN	74309
Nov. 26, 2018	ASSIGNED TO EXAMINER	74309
Aug. 14, 2018	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 10, 2018	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Mar. 05, 2019

Assignment Abstract Of Title Information

Summary

Total Assignments: 1

Registrant: Carroll Financial Associates, Inc.

Assignment 1 of 1

Conveyance: NOTICE OF GRANT OF SECURITY INTEREST IN TRADEMARKS

Reel/Frame: 6627/0930

Pages: 6

Date Recorded: Apr. 24, 2019

Supporting Documents: assignment-tm-6627-0930.pdf

Assignor			
Name:	CARROLL FINANCIAL ASSOCIATES, INC.	**Execution Date:**	Apr. 19, 2019
Legal Entity Type:	CORPORATION	**State or Country Where Organized:**	NORTH CAROLINA

Assignee			
Name:	SOUTH STATE BANK, AS LENDER		
Legal Entity Type:	CORPORATION	**State or Country Where Organized:**	SOUTH CAROLINA
Address:	6525 MORRISON BOULEVARD SUITE 500 CHARLOTTE, NORTH CAROLINA 28211		

Correspondent	
Correspondent Name:	MOORE & VAN ALLEN PLLC
Correspondent Address:	100 NORTH TRYON STREET SUITE 4700, ATTN: IP DEPARTMENT CHARLOTTE, NC 28202

Domestic Representative - Not Found

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Mark: ADVANCED WEALTH ADVISORS

ADVANCED WEALTH ADVISORS

US Serial Number: 86044475

Application Filing Date: Aug. 21, 2013

US Registration Number: 4525007

Registration Date: May 06, 2014

Register: Principal

Mark Type: Service Mark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: May 06, 2014

Publication Date: Feb. 18, 2014

Mark Information

Mark Literal Elements: ADVANCED WEALTH ADVISORS

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH ADVISORS"

Related Properties Information

Claimed Ownership of US Registrations: 4191372, 4191391

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Estate planning; estate trust planning; financial consultancy and insurance consultancy; financial planning and investment advisory services; financial services, namely, broker/dealer services on securities exchanges and over-the-counter markets; insurance brokerage; insurance brokerage in the field of life insurance, health insurance, and property and casualty insurance; insurance consultancy; providing stock/securities market information; security brokerage

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 01, 2013

Use in Commerce: Jan. 01, 2013

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: Advanced Wealth Advisers, LLC

Owner Address: 5150 North Tamiami Trail, Suite 600
Naples, FLORIDA 34103
UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: FLORIDA

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jeanne L. Seewald

Attorney Primary Email Address: trademarks@hahnlaw.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: JEANNE L. SEEWALD
Hahn Loeser & Parks LLP
5811 Pelican Bay Boulevard, Suite 650
Naples, FLORIDA 34108
UNITED STATES

Phone: (239) 254-2905

Fax: (239) 254-2942

Correspondent e-mail: trademarks@hahnlaw.com jseewald@hahnlaw.com cfoote@hahnlaw.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
May 06, 2019	COURTESY REMINDER - SEC. 8 (6-YR) E-MAILED	
Jul. 07, 2014	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
May 06, 2014	REGISTERED-PRINCIPAL REGISTER	
Feb. 18, 2014	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Feb. 18, 2014	PUBLISHED FOR OPPOSITION	
Jan. 29, 2014	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jan. 11, 2014	LAW OFFICE PUBLICATION REVIEW COMPLETED	73296
Jan. 10, 2014	ASSIGNED TO LIE	73296
Dec. 12, 2013	APPROVED FOR PUB - PRINCIPAL REGISTER	
Dec. 12, 2013	EXAMINER'S AMENDMENT ENTERED	88888
Dec. 12, 2013	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Dec. 12, 2013	EXAMINERS AMENDMENT E-MAILED	6328
Dec. 12, 2013	EXAMINERS AMENDMENT -WRITTEN	77986
Dec. 05, 2013	ASSIGNED TO EXAMINER	77986
Aug. 29, 2013	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 24, 2013	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: May 06, 2014

Generated on: This page was generated by TSDR on 2019-08-16 09:44:41 EDT

Mark: ADVANCED WEALTH ASSET MANAGEMENT

US Serial Number: 87591757

Application Filing Date: Aug. 31, 2017

US Registration Number: 5531737

Registration Date: Jul. 31, 2018

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Jul. 31, 2018

Publication Date: Feb. 06, 2018

Notice of Allowance Date: Apr. 03, 2018

Mark Information

Mark Literal Elements: ADVANCED WEALTH ASSET MANAGEMENT

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of a globe map showing North America in the upper left and South America in the lower right with a thin crescent shading on the right side of the globe, inside a thin crescent surrounding the upper half and left side of the globe, all inside a thin darker crescent surrounding the right side and lower half of the globe, above the centered words "ADVANCED WEALTH", said words being above the words "ASSET MANAGEMENT" which words are between pointed lines on either side with the points facing outward.

Color(s) Claimed: Color is not claimed as a feature of the mark.

Disclaimer: "WEALTH" OR "ASSET MANAGEMENT"

Design Search Code(s): 01.11.02 - Moons, half; Moons, crescent; Partial moons, including half moons and crescent moons (not a moon with craters)
26.17.01 - Bars, straight; Bands, straight; Lines, straight; Straight line(s), band(s) or bar(s)
26.17.05 - Bands, horizontal; Bars, horizontal; Horizontal line(s), band(s) or bar(s); Lines, horizontal

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:
- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Investment advisory services; financial consultancy; financial planning services; financial asset management services

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Aug. 31, 2017

Use in Commerce: Aug. 31, 2017

Basis Information (Case Level)

Filed Use:	No	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	Yes	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No

Filed 66A:	No	**Currently 66A:**	No
Filed No Basis:	No	**Currently No Basis:**	No

Current Owner(s) Information

Owner Name: Advanced Wealth Asset Management, LLC

Owner Address: 5150 Tamiami Trail North, Suite 600
Naples, FLORIDA 34103
UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: FLORIDA

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jeanne L. Seewald

Attorney Primary Email Address: trademarks@hahnlaw.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: JEANNE L. SEEWALD
HAHN LOESER & PARKS LLP
5811 PELICAN BAY BLVD., SUITE 650
NAPLES, FLORIDA 34108
UNITED STATES

Phone: 239-254-2905

Fax: (239) 254-2942

Correspondent e-mail: trademarks@hahnlaw.com jseewald@hahnlaw.com cfoote@hahnlaw.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jul. 31, 2018	REGISTERED-PRINCIPAL REGISTER	
Jun. 26, 2018	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Jun. 23, 2018	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
May 31, 2018	STATEMENT OF USE PROCESSING COMPLETE	66230
May 07, 2018	USE AMENDMENT FILED	66230
May 31, 2018	CASE ASSIGNED TO INTENT TO USE PARALEGAL	66230
May 07, 2018	TEAS STATEMENT OF USE RECEIVED	
Apr. 03, 2018	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Feb. 06, 2018	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Feb. 06, 2018	PUBLISHED FOR OPPOSITION	
Jan. 17, 2018	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Dec. 26, 2017	ASSIGNED TO LIE	70884
Dec. 09, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Dec. 09, 2017	EXAMINER'S AMENDMENT ENTERED	88888
Dec. 09, 2017	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Dec. 09, 2017	EXAMINERS AMENDMENT E-MAILED	6328
Dec. 09, 2017	EXAMINERS AMENDMENT -WRITTEN	77765
Dec. 09, 2017	PREVIOUS ALLOWANCE COUNT WITHDRAWN	
Dec. 08, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Dec. 08, 2017	EXAMINER'S AMENDMENT ENTERED	88888
Dec. 08, 2017	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Dec. 08, 2017	EXAMINERS AMENDMENT E-MAILED	6328
Dec. 08, 2017	EXAMINERS AMENDMENT -WRITTEN	77765
Dec. 06, 2017	ASSIGNED TO EXAMINER	77765
Sep. 09, 2017	NOTICE OF DESIGN SEARCH CODE E-MAILED	
Sep. 08, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	

Sep. 04, 2017	NEW APPLICATION ENTERED IN TRAM

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION **Date in Location:** Jun. 23, 2018

Generated on: This page was generated by TSDR on 2019-08-16 09:45:29 EDT

Mark: AE WEALTH MANAGEMENT

US Serial Number: 86897902

Application Filing Date: Feb. 04, 2016

US Registration Number: 5375851

Registration Date: Jan. 09, 2018

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Jan. 09, 2018

Publication Date: Aug. 15, 2017

Notice of Allowance Date: Oct. 10, 2017

Mark Information

Mark Literal Elements: AE WEALTH MANAGEMENT

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of a white triangular design centered inside a square design to the left of the words "AE WEALTH" which is above the word "MANAGEMENT".

Color(s) Claimed: Color is not claimed as a feature of the mark.

Disclaimer: "WEALTH MANAGEMENT"

Design Search Code(s): 26.05.03 - Incomplete triangles (must have two angles); Triangle, incomplete (two angles)
26.05.28 - Miscellaneous designs with overall triangular shape; Triangular shape (miscellaneous overall shape)
26.09.21 - Squares that are completely or partially shaded

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial services, namely, financial planning, investment advisory and wealth management services

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 11, 2015

Use in Commerce: Apr. 2016

Basis Information (Case Level)

Filed Use:	No	Currently Use:	Yes	Amended Use:	No
Filed ITU:	Yes	Currently ITU:	No	Amended ITU:	No
Filed 44D:	No	Currently 44D:	No	Amended 44D:	No
Filed 44E:	No	Currently 44E:	No	Amended 44E:	No
Filed 66A:	No	Currently 66A:	No		
Filed No Basis:	No	Currently No Basis:	No		

Current Owner(s) Information

Owner Name: AE Wealth Management, LLC

Owner Address: 2950 SW McClure Road
Topeka, KANSAS 66614
UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: KANSAS

Attorney/Correspondence Information

Attorney of Record

Attorney Name: David Wolfe

Attorney Primary Email Address: david.wolfe@advisorsexcel.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: David Wolfe
2950 SW McClure Road
Topeka, KANSAS 66614
UNITED STATES

Phone: 866-363-9595

Fax: 785-271-1448

Correspondent e-mail: david.wolfe@advisorsexcel.com melissa.oconnor@advisorsexcel.com leigh.burke@advisorsexcel.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jan. 09, 2018	REGISTERED-PRINCIPAL REGISTER	
Dec. 06, 2017	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Dec. 05, 2017	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Nov. 14, 2017	STATEMENT OF USE PROCESSING COMPLETE	66530
Oct. 27, 2017	USE AMENDMENT FILED	66530
Nov. 13, 2017	CASE ASSIGNED TO INTENT TO USE PARALEGAL	66530
Oct. 27, 2017	TEAS STATEMENT OF USE RECEIVED	
Oct. 10, 2017	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Aug. 15, 2017	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Aug. 15, 2017	PUBLISHED FOR OPPOSITION	
Jul. 26, 2017	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jul. 07, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 16, 2017	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Jun. 16, 2017	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Feb. 28, 2017	NOTIFICATION OF LETTER OF SUSPENSION E-MAILED	6332
Feb. 28, 2017	LETTER OF SUSPENSION E-MAILED	6332
Feb. 28, 2017	SUSPENSION LETTER WRITTEN	83694
Feb. 16, 2017	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Feb. 15, 2017	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Feb. 15, 2017	TEAS REQUEST FOR RECONSIDERATION RECEIVED	
Dec. 23, 2016	NOTIFICATION OF FINAL REFUSAL EMAILED	
Dec. 23, 2016	FINAL REFUSAL E-MAILED	
Dec. 23, 2016	FINAL REFUSAL WRITTEN	83694
Nov. 29, 2016	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Nov. 28, 2016	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Nov. 28, 2016	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Nov. 28, 2016	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Nov. 28, 2016	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
May 27, 2016	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325

May 27, 2016	NON-FINAL ACTION E-MAILED	6325
May 27, 2016	NON-FINAL ACTION WRITTEN	83694
May 20, 2016	ASSIGNED TO EXAMINER	83694
Feb. 11, 2016	NOTICE OF DESIGN SEARCH CODE E-MAILED	
Feb. 10, 2016	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Feb. 08, 2016	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION **Date in Location:** Dec. 05, 2017

Proceedings

Summary

Number of Proceedings: 1

Type of Proceeding: Cancellation

Proceeding Number: 92065407 **Filing Date:** Feb 08, 2017

Status: Terminated **Status Date:** Jun 06, 2017

Interlocutory Attorney: CHRISTEN M ENGLISH

Defendant

Name: Aequitas Capital Management, Inc.

Correspondent Address: AEQUITAS CAPITAL MANAGEMENT INC
5300 MEADOWS RD STE 400
LAKE OSWEGO OR , 97035
UNITED STATES

Associated marks

Mark	Application Status	Serial Number	Registration Number
AE	Cancelled - Section 18	85526588	4311632

Plaintiff(s)

Name: AE Wealth Management, LLC

Correspondent Address: GLENN K ROBBINS II
SPENCER FANE LLP
1 NORTH BRENTWOOD BLVD STE 1000
ST LOUIS MO , 63105
UNITED STATES

Correspondent e-mail: sfaction@spencerfane.com

Associated marks

Mark	Application Status	Serial Number	Registration Number
AE WEALTH MANAGEMENT	Registered	86897887	5375850
AE WEALTH MANAGEMENT	Registered	86897902	5375851
AE WEALTH MANAGEMENT	Registered	86897932	5375852

Prosecution History

Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Feb 08, 2017	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Feb 14, 2017	Mar 26, 2017
3	PENDING, INSTITUTED	Feb 14, 2017	
4	NOTICE OF DEFAULT	Apr 05, 2017	
5	D UNDELIVERABLE MAIL	Apr 17, 2017	
6	RESPONSE DUE 30 DAYS (DUE DATE)	Apr 24, 2017	May 24, 2017
7	BD DECISION: GRANTED	Jun 05, 2017	

8	COMMR ORDER CANCELLING REG	Jun 06, 2017
9	TERMINATED	Jun 06, 2017

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Mark: AIM WEALTH PARTNERS

US Serial Number: 87612310

Application Filing Date: Sep. 18, 2017

US Registration Number: 5433520

Registration Date: Mar. 27, 2018

Register: Principal

Mark Type: Service Mark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Mar. 27, 2018

Publication Date: Jan. 09, 2018

Mark Information

Mark Literal Elements: AIM WEALTH PARTNERS

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of the following: the depiction of a mountain range is depicted in the colors white and blue with a yellow semicircle like design above the mountain range. The word "AIM" is depicted in the color yellow and the wording "WEALTH PARTNERS" is depicted in the color blue.

Color Drawing: Yes

Color(s) Claimed: The color(s) yellow, white and blue is/are claimed as a feature of the mark.

Disclaimer: "WEALTH PARTNERS"

Design Search Code(s): 06.01.04 - Mountains (landscapes); Scenery with mountains
26.01.21 - Circles that are totally or partially shaded.

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:
- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial planning; Financial planning and investment advisory services; Financial planning consultation; Financial planning for retirement; Financial planning services, namely, developing and managing charitable giving programs on behalf of wealthy individuals or families; Financial planning, namely, the creation of personalized strategies to achieve financial independence; Financial planning, namely, Individual Retail; Financial planning, namely, Groups; Financial administration of employee pension plans; Financial administration of retirement plans; Financial advice, namely, budget planning; Financial consultation in the field of environmental management, namely, advising business and individuals on issues of portfolio planning and investment planning; Financial consulting services in the field of planned giving for non-profit and charitable organizations; Financial management of employee pension plans; Financial retirement plan consulting services; Financial trust planning; Providing information in the field of employee financial benefit plans

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Aug. 28, 2017

Use in Commerce: Sep. 25, 2017

Basis Information (Case Level)

Filed Use: No

Currently Use: Yes

Amended Use: No

Filed ITU: No

Currently ITU: No

Amended ITU: No

Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	Yes	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: Alonzo Financial Services, Inc.

Owner Address: 5941 E. Miramar Dr.
Tucson, ARIZONA 85715
UNITED STATES

Legal Entity Type: CORPORATION **State or Country Where Organized:** ARIZONA

Attorney/Correspondence Information

Attorney of Record - None

Correspondent

Correspondent Name/Address: ALONZO FINANCIAL SERVICES, INC.
ALONZO FINANCIAL SERVICES, INC.
5941 E. MIRAMAR DR.
TUCSON, ARIZONA 85715
UNITED STATES

Phone: 520-514-5143 **Fax:** 520-512-5111

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Mar. 27, 2018	REGISTERED-PRINCIPAL REGISTER	
Jan. 09, 2018	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jan. 09, 2018	PUBLISHED FOR OPPOSITION	
Dec. 20, 2017	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Dec. 05, 2017	ELECTRONIC RECORD REVIEW COMPLETE	76572
Nov. 24, 2017	ON HOLD - ELECTRONIC RECORD REVIEW REQUIRED	
Nov. 21, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Nov. 17, 2017	DATA MODIFICATION COMPLETED	59554
Nov. 14, 2017	TEAS/EMAIL CORRESPONDENCE ENTERED	59554
Nov. 14, 2017	CORRESPONDENCE RECEIVED IN LAW OFFICE	59554
Nov. 07, 2017	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Nov. 07, 2017	COMBINED EXAMINER'S AMENDMENT/PRIORITY ACTION ENTERED	59554
Nov. 07, 2017	ASSIGNED TO LIE	59554
Nov. 07, 2017	NOTIFICATION OF EXAMINER'S AMENDMENT/PRIORITY ACTION E-MAILED	6326
Nov. 07, 2017	EXAMINER'S AMENDMENT/PRIORITY ACTION E-MAILED	6326
Nov. 07, 2017	EXAMINERS AMENDMENT AND/OR PRIORITY ACTION - COMPLETED	76582
Oct. 29, 2017	ASSIGNED TO EXAMINER	76582
Sep. 23, 2017	NOTICE OF DESIGN SEARCH CODE E-MAILED	
Sep. 22, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Sep. 21, 2017	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION **Date in Location:** Mar. 27, 2018

Generated on: This page was generated by TSDR on 2019-08-16 09:56:06 EDT

Mark: ARETE WEALTH MANAGEMENT

Arete Wealth Management

US Serial Number:	86573299	**Application Filing Date:**	Mar. 23, 2015
US Registration Number:	4944316	**Registration Date:**	Apr. 26, 2016
Filed as TEAS RF:	Yes	**Currently TEAS RF:**	Yes
Register:	Principal		
Mark Type:	Service Mark		
Status:	Registered. The registration date is used to determine when post-registration maintenance documents are due.		
Status Date:	Apr. 26, 2016		
Publication Date:	Feb. 09, 2016		

Mark Information

Mark Literal Elements: ARETE WEALTH MANAGEMENT

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH MANAGEMENT"

Translation: The English translation of the word "ARETE" in the mark is "virtue".

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:
- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: financial planning, financial asset management, investment banking services, traditional broker/dealer services involving securities

International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Feb. 29, 2008	**Use in Commerce:**	Feb. 29, 2008

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: Arete Wealth Management LLC

Owner Address: 1699 E. Woodfield Rd., Suite 565

Schaumburg, ILLINOIS 60173
UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: ILLINOIS

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Daniel J. Fumagalli

Docket Number: 19840-56179

Attorney Primary Email Address: dfumagalli@chuhak.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: DANIEL J. FUMAGALLI
Chuhak & Tecson P C
30 S Wacker Dr Ste 2600
Chicago, ILLINOIS 60606-7512
UNITED STATES

Phone: 312-855-4330

Fax: 312-444-9027

Correspondent e-mail: dfumagalli@chuhak.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Apr. 26, 2016	REGISTERED-PRINCIPAL REGISTER	
Feb. 09, 2016	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Feb. 09, 2016	PUBLISHED FOR OPPOSITION	
Jan. 20, 2016	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jan. 04, 2016	LAW OFFICE PUBLICATION REVIEW COMPLETED	68171
Dec. 21, 2015	APPROVED FOR PUB - PRINCIPAL REGISTER	
Dec. 21, 2015	EXAMINER'S AMENDMENT ENTERED	68171
Dec. 21, 2015	ASSIGNED TO LIE	68171
Dec. 21, 2015	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Dec. 21, 2015	EXAMINERS AMENDMENT E-MAILED	6328
Dec. 21, 2015	EXAMINERS AMENDMENT -WRITTEN	76079
Jun. 26, 2015	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Jun. 26, 2015	NON-FINAL ACTION E-MAILED	6325
Jun. 26, 2015	NON-FINAL ACTION WRITTEN	76079
Jun. 25, 2015	ASSIGNED TO EXAMINER	76079
Apr. 01, 2015	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Mar. 26, 2015	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Apr. 26, 2016

Generated on:	This page was generated by TSDR on 2019-08-16 09:56:49 EDT
Mark:	AVANTRA FAMILY WEALTH

AVANTRA FAMILY WEALTH

US Serial Number:	87693688	**Application Filing Date:**	Nov. 21, 2017
US Registration Number:	5507646	**Registration Date:**	Jul. 03, 2018
Filed as TEAS RF:	Yes	**Currently TEAS RF:**	Yes
Register:	Principal		
Mark Type:	Service Mark		
Status:	Registered. The registration date is used to determine when post-registration maintenance documents are due.		
Status Date:	Jul. 03, 2018		
Publication Date:	Apr. 17, 2018		

Mark Information

Mark Literal Elements:	AVANTRA FAMILY WEALTH
Standard Character Claim:	Yes. The mark consists of standard characters without claim to any particular font style, size, or color.
Mark Drawing Type:	4 - STANDARD CHARACTER MARK
Disclaimer:	"FAMILY WEALTH"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For:	Financial services, namely, investment fund transfer and transaction services, investment security services, estate settlement services; wealth management services; investment and financial asset management services, namely, financial asset allocation, investment consultation, and investment management services; financial services, namely, trust administration and estate financial administration services and estate planning consultation; financial risk management consultation; financial advisory and consultancy services; advice relating to investments and wealth management		
International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Jul. 28, 2017	**Use in Commerce:**	Jul. 28, 2017

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name:	Avantra Family Wealth LLC

Owner Address:	110 Sterling Parkway Mechanicsburg, PENNSYLVANIA 17050 UNITED STATES
Legal Entity Type:	LIMITED LIABILITY COMPANY
State or Country Where Organized:	DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name:	B. Anna McCoy
Docket Number:	DFP17436
Attorney Primary Email Address:	TMmail@Mccrus.com
Attorney Email Authorized:	Yes

Correspondent

Correspondent Name/Address:	B. ANNA MCCOY MCCOY RUSSELL LLP 806 SW BROADWAY SUITE 600 PORTLAND, OREGON 97205 UNITED STATES
Phone:	971-271-8020
Fax:	971-271-8021
Correspondent e-mail:	TMmail@Mccrus.com anna@mccrus.com harnett @mccrus.com mayfield@mccrus.com
Correspondent e-mail Authorized:	Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jul. 03, 2018	REGISTERED-PRINCIPAL REGISTER	
Apr. 17, 2018	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Apr. 17, 2018	PUBLISHED FOR OPPOSITION	
Mar. 28, 2018	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Mar. 15, 2018	ASSIGNED TO LIE	68552
Mar. 07, 2018	APPROVED FOR PUB - PRINCIPAL REGISTER	
Mar. 07, 2018	EXAMINER'S AMENDMENT ENTERED	88888
Mar. 07, 2018	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Mar. 07, 2018	EXAMINERS AMENDMENT E-MAILED	6328
Mar. 07, 2018	EXAMINERS AMENDMENT -WRITTEN	68788
Mar. 06, 2018	ASSIGNED TO EXAMINER	68788
Dec. 04, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Nov. 24, 2017	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location:	PUBLICATION AND ISSUE SECTION
Date in Location:	Jul. 03, 2018

Generated on: This page was generated by TSDR on 2019-08-16 09:57:21 EDT

Mark: AVIANCE WEALTHPLAN

Aviance WealthPlan

US Serial Number:	88105526	**Application Filing Date:**	Sep. 05, 2018
US Registration Number:	5796033	**Registration Date:**	Jul. 02, 2019
Filed as TEAS Plus:	Yes	**Currently TEAS Plus:**	Yes
Register:	Principal		
Mark Type:	Service Mark		
Status:	Registered. The registration date is used to determine when post-registration maintenance documents are due.		
Status Date:	Jul. 02, 2019		
Publication Date:	Mar. 12, 2019	**Notice of Allowance Date:**	May 07, 2019

Mark Information

Mark Literal Elements: AVIANCE WEALTHPLAN

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH PLAN"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For:	Financial planning and investment advisory services		
International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Mar. 12, 2019	**Use in Commerce:**	Mar. 12, 2019

Basis Information (Case Level)

Filed Use:	No	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	Yes	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: AVIANCE CAPITAL PARTNERS

Owner Address: 2180 immokalee rd, 301

2180 immokalee Rd
Naples, FLORIDA 34110
UNITED STATES

Legal Entity Type: CORPORATION | **State or Country Where Organized:** FLORIDA

Attorney/Correspondence Information

Attorney of Record - None

Correspondent

Correspondent Name/Address: AVIANCE CAPITAL PARTNERS
AVIANCE CAPITAL PARTNERS
2180 IMMOKALEE RD
#301
NAPLES, FLORIDA 34110
UNITED STATES

Phone: 239-598-4747 | **Fax:** 2395984544

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jul. 02, 2019	REGISTERED-PRINCIPAL REGISTER	
May 25, 2019	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
May 24, 2019	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
May 23, 2019	STATEMENT OF USE PROCESSING COMPLETE	71034
May 09, 2019	USE AMENDMENT FILED	71034
May 23, 2019	CASE ASSIGNED TO INTENT TO USE PARALEGAL	71034
May 09, 2019	TEAS STATEMENT OF USE RECEIVED	
May 07, 2019	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Mar. 12, 2019	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Mar. 12, 2019	PUBLISHED FOR OPPOSITION	
Feb. 20, 2019	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jan. 29, 2019	WITHDRAWN FROM PUB - OG REVIEW QUERY	99910
Jan. 11, 2019	ASSIGNED TO LIE	66121
Dec. 17, 2018	APPROVED FOR PUB - PRINCIPAL REGISTER	
Dec. 17, 2018	EXAMINER'S AMENDMENT ENTERED	88888
Dec. 17, 2018	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Dec. 17, 2018	EXAMINERS AMENDMENT E-MAILED	6328
Dec. 17, 2018	EXAMINERS AMENDMENT -WRITTEN	92989
Dec. 16, 2018	ASSIGNED TO EXAMINER	92989
Sep. 13, 2018	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Sep. 08, 2018	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION | **Date in Location:** May 24, 2019

Generated on: This page was generated by TSDR on 2019-08-16 09:57:50 EDT

Mark: BALANCING YOUR LIFE, WEALTH, AND LEGACY

Balancing your life,
wealth, and legacy

US Serial Number: 86210617

Application Filing Date: Mar. 04, 2014

US Registration Number: 4636207

Registration Date: Nov. 11, 2014

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Nov. 11, 2014

Publication Date: Aug. 26, 2014

Mark Information

Mark Literal Elements: BALANCING YOUR LIFE, WEALTH, AND LEGACY

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Advisory services in the field of employee benefits for group healthcare and business insurance offered to employees in addition to standard benefits such as medical, dental, life insurance including short term disability, long term disability, cancer insurance, accidental death and dismemberment; Estate planning; Financial advisory and consultancy services, namely, the creation of personalized strategies to achieve financial independence; Financial investment in the field of financial and investment planning for individuals, namely, estate planning, college funding, retirement planning, mutual funds, variable annuities, and asset allocation; Financial planning, namely, executive benefit plans, key person insurance, and buy-sell funding; Financial trust planning; Insurance consulting in the field of life, health, disability, long-term care, variable universal life, annuities and Medicare supplements for individuals; Insurance services, namely, insurance eligibility review and verification and consultation in the employee benefits industry; Providing information in the field of employee financial benefit plans

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Jul. 25, 2012

Use in Commerce: Aug. 01, 2012

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: Tucker Boynton Financial Group, Inc.

DBA, AKA, Formerly: AKA Tucker Boynton Company, Inc.

Owner Address: 3731 Latrobe Drive
Suite 200
Charlotte, NORTH CAROLINA 28211
UNITED STATES

Legal Entity Type: CORPORATION

State or Country Where Organized: NORTH CAROLINA

Attorney/Correspondence Information

Attorney of Record - None

Correspondent

Correspondent Name/Address: TUCKER BOYNTON FINANCIAL GROUP, INC.
TUCKER BOYNTON FINANCIAL GROUP, INC.
3731 LATROBE DR STE 200
CHARLOTTE, NORTH CAROLINA 28211-1362
UNITED STATES

Phone: 704/366-5085

Fax: 704/366-5086

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Nov. 11, 2014	REGISTERED-PRINCIPAL REGISTER	
Aug. 26, 2014	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Aug. 26, 2014	PUBLISHED FOR OPPOSITION	
Aug. 06, 2014	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jul. 24, 2014	LAW OFFICE PUBLICATION REVIEW COMPLETED	73797
Jul. 22, 2014	ASSIGNED TO LIE	73797
Jul. 07, 2014	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 11, 2014	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Jun. 10, 2014	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Jun. 10, 2014	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Jun. 10, 2014	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Jun. 10, 2014	NON-FINAL ACTION E-MAILED	6325
Jun. 10, 2014	NON-FINAL ACTION WRITTEN	90294
Jun. 09, 2014	ASSIGNED TO EXAMINER	90294
Mar. 18, 2014	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Mar. 07, 2014	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Nov. 11, 2014

Generated on:	This page was generated by TSDR on 2019-08-16 09:58:20 EDT
Mark:	BLUE WATER WEALTH

BLUE WATER WEALTH

US Serial Number:	85636990	**Application Filing Date:**	May 29, 2012
US Registration Number:	4827341	**Registration Date:**	Oct. 06, 2015
Register:	Principal		
Mark Type:	Service Mark		
Status:	Registered. The registration date is used to determine when post-registration maintenance documents are due.		
Status Date:	Oct. 06, 2015		
Publication Date:	Oct. 28, 2014	**Notice of Allowance Date:**	Dec. 23, 2014

Mark Information

Mark Literal Elements:	BLUE WATER WEALTH
Standard Character Claim:	Yes. The mark consists of standard characters without claim to any particular font style, size, or color.
Mark Drawing Type:	4 - STANDARD CHARACTER MARK
Disclaimer:	"WEALTH"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For:	TAX PLANNING ADVICE, INFORMATION AND CONSULTANCY SERVICES		
International Class(es):	035 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Aug. 03, 2012	**Use in Commerce:**	Aug. 03, 2012

For:	HOLISTIC STRATEGIC FINANCIAL ADVISORY SERVICES FOR INDIVIDUALS, SMALL BUSINESSES, TRUSTS AND FOUNDATIONS FEATURING PORTFOLIO DESIGN, INSURANCE PROTECTION PLANNING, RETIREMENT PLANNING AND ESTATE PLANNING		
International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Aug. 03, 2012	**Use in Commerce:**	Aug. 03, 2012

Basis Information (Case Level)

Filed Use:	No	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	Yes	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		

Filed No Basis: No **Currently No Basis:** No

Current Owner(s) Information

Owner Name: Blue Water Wealth, Inc.

Owner Address: 9755 SW Barnes Rd, Ste 610
Portland, OREGON 97225
UNITED STATES

Legal Entity Type: CORPORATION **State or Country Where Organized:** OREGON

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Claire F. Hawkins **Docket Number:** 38451.62001

Attorney Primary Email Address: trademarks@gsblaw.com **Attorney Email Authorized:** Yes

Correspondent

Correspondent Name/Address: Claire F. Hawkins
Garvey Schubert Barer
1191 Second Avenue, Suite 1800
Seattle, WASHINGTON 98101
UNITED STATES

Phone: 206.464.3939 **Fax:** 206.464.0125

Correspondent e-mail: trademarks@gsblaw.com **Correspondent e-mail Authorized:** Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jan. 12, 2016	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Jan. 12, 2016	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Oct. 06, 2015	REGISTERED-PRINCIPAL REGISTER	
Sep. 02, 2015	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Sep. 01, 2015	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Sep. 01, 2015	STATEMENT OF USE PROCESSING COMPLETE	70565
Jan. 05, 2015	USE AMENDMENT FILED	70565
Feb. 19, 2015	APPLICANT/CORRESPONDENCE CHANGES (NON-RESPONSIVE) ENTERED	70565
Feb. 09, 2015	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Feb. 09, 2015	TEAS WITHDRAWAL OF ATTORNEY RECEIVED-FIRM RETAINS	
Feb. 09, 2015	CASE ASSIGNED TO INTENT TO USE PARALEGAL	70565
Jan. 05, 2015	TEAS STATEMENT OF USE RECEIVED	
Dec. 23, 2014	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Oct. 28, 2014	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Oct. 28, 2014	PUBLISHED FOR OPPOSITION	
Oct. 08, 2014	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Sep. 24, 2014	LAW OFFICE PUBLICATION REVIEW COMPLETED	70468
Sep. 24, 2014	APPROVED FOR PUB - PRINCIPAL REGISTER	
Sep. 24, 2014	ASSIGNED TO LIE	70468
Mar. 24, 2014	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	
Sep. 24, 2013	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	
Mar. 24, 2013	NOTIFICATION OF LETTER OF SUSPENSION E-MAILED	6332
Mar. 24, 2013	LETTER OF SUSPENSION E-MAILED	6332
Mar. 24, 2013	SUSPENSION LETTER WRITTEN	63030
Mar. 19, 2013	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Mar. 18, 2013	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889

Mar. 18, 2013	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Sep. 17, 2012	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Sep. 17, 2012	NON-FINAL ACTION E-MAILED	6325
Sep. 17, 2012	NON-FINAL ACTION WRITTEN	63030
Sep. 17, 2012	ASSIGNED TO EXAMINER	63030
Jun. 05, 2012	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jun. 01, 2012	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION **Date in Location:** Sep. 01, 2015

Mark: BONE FIDE WEALTH

Bone Fide Wealth

US Serial Number: 87178375

Application Filing Date: Sep. 21, 2016

US Registration Number: 5215416

Registration Date: May 30, 2017

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: May 30, 2017

Publication Date: Feb. 07, 2017

Notice of Allowance Date: Apr. 04, 2017

Mark Information

Mark Literal Elements: BONE FIDE WEALTH

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Investment advice; Investment brokerage; Investment consultation; Investment management; Financial advisory and consultancy services, namely, investment planning, estate planning, insurance planning, tax panning; Financial planning, namely, comprehensive financial planning for individuals; Financial risk management consultation; Funds investment; Providing financial assessment services to individuals and businesses; Providing financial consultation services to individuals and businesses; Providing financial information services to individuals and businesses

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 01, 2016

Use in Commerce: Dec. 01, 2016

Basis Information (Case Level)

Filed Use:	No	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	Yes	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: Bone Fide Wealth, LLC

DBA, AKA, Formerly: DBA Bone Fide Wealth

Owner Address: 450 Edgewood Avenue
Westfield, NEW JERSEY 07090
UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY **State or Country Where Organized:** NEW JERSEY

Attorney/Correspondence Information

Attorney of Record - None

Correspondent

Correspondent Name/Address: DOUGLAS BONEPARTH
BONE FIDE WEALTH, LLC
450 EDGEWOOD AVENUE
NEW JERSEY, NEW JERSEY 07090
UNITED STATES

Phone: 561-843-4953 **Fax:** 212-390-1612

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
May 30, 2017	REGISTERED-PRINCIPAL REGISTER	
Apr. 26, 2017	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Apr. 25, 2017	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Apr. 24, 2017	STATEMENT OF USE PROCESSING COMPLETE	74055
Apr. 04, 2017	USE AMENDMENT FILED	74055
Apr. 16, 2017	CASE ASSIGNED TO INTENT TO USE PARALEGAL	74055
Apr. 04, 2017	TEAS STATEMENT OF USE RECEIVED	
Apr. 04, 2017	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Feb. 07, 2017	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Feb. 07, 2017	PUBLISHED FOR OPPOSITION	
Jan. 18, 2017	APPLICANT/CORRESPONDENCE CHANGES (NON-RESPONSIVE) ENTERED	88888
Jan. 18, 2017	TEAS CHANGE OF OWNER ADDRESS RECEIVED	
Jan. 18, 2017	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Jan. 18, 2017	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jan. 03, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Dec. 28, 2016	ASSIGNED TO EXAMINER	80804
Sep. 26, 2016	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Sep. 24, 2016	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION **Date in Location:** Apr. 25, 2017

Generated on: This page was generated by TSDR on 2019-08-16 09:59:34 EDT

Mark: BUILD YOUR WEALTH WITH US

Build Your Wealth With Us

US Serial Number:	86544554	**Application Filing Date:**	Feb. 24, 2015
US Registration Number:	4865755	**Registration Date:**	Dec. 08, 2015
Filed as TEAS RF:	Yes	**Currently TEAS RF:**	Yes
Register:	Principal		
Mark Type:	Service Mark		
Status:	Registered. The registration date is used to determine when post-registration maintenance documents are due.		
Status Date:	Dec. 08, 2015		
Publication Date:	Jul. 28, 2015		

Mark Information

Mark Literal Elements: BUILD YOUR WEALTH WITH US

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:
- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial advisory and consultancy services, namely, Investment Advice; Financial planning, namely, Investment Advice

International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Feb. 20, 2015	**Use in Commerce:**	Feb. 24, 2015

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: RHS Financial, LLC

Owner Address: 201 Mission St. Suite 1875
San Francisco, CALIFORNIA 94105
UNITED STATES

Legal Entity Type:	LIMITED LIABILITY COMPANY	**State or Country**	CALIFORNIA

Where Organized:

Attorney/Correspondence Information

Attorney of Record - None

Correspondent

Correspondent Name/Address: RHS FINANCIAL, LLC
Rhs Financial Llc
201 Mission St Ste 1875
San Francisco, CALIFORNIA 94105-8118
UNITED STATES

Phone: 415-495-2900 **Fax:** 415-495-2901

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Dec. 08, 2015	REGISTERED-PRINCIPAL REGISTER	
Nov. 02, 2015	1(B) BASIS DELETED; PROCEED TO REGISTRATION	65362
Nov. 02, 2015	NOTICE OF ALLOWANCE CANCELLED	65362
Sep. 22, 2015	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jul. 28, 2015	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jul. 28, 2015	PUBLISHED FOR OPPOSITION	
Jul. 08, 2015	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jun. 19, 2015	LAW OFFICE PUBLICATION REVIEW COMPLETED	77312
Jun. 19, 2015	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 18, 2015	TEAS/EMAIL CORRESPONDENCE ENTERED	77312
Jun. 18, 2015	CORRESPONDENCE RECEIVED IN LAW OFFICE	77312
Jun. 11, 2015	ASSIGNED TO LIE	77312
Jun. 04, 2015	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Jun. 02, 2015	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Jun. 02, 2015	NON-FINAL ACTION E-MAILED	6325
Jun. 02, 2015	NON-FINAL ACTION WRITTEN	70167
Jun. 01, 2015	ASSIGNED TO EXAMINER	70167
Mar. 10, 2015	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Feb. 27, 2015	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION **Date in Location:** Dec. 08, 2015

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Mark: BUILDING WEALTH WISELY

US Serial Number:	75301825	**Application Filing Date:**	Jun. 02, 1997
US Registration Number:	2165831	**Registration Date:**	Jun. 16, 1998
Register:	Principal		
Mark Type:	Service Mark		
Status:	The registration has been renewed.		
Status Date:	Aug. 03, 2007		
Publication Date:	Mar. 24, 1998		

Mark Information

Mark Literal Elements: BUILDING WEALTH WISELY

Standard Character Claim: No

Mark Drawing Type: 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:
- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For:	investment advisory services for institutions and individuals		
International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Mar. 26, 1997	**Use in Commerce:**	Mar. 26, 1997

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: IMS Capital Management, Inc.

Owner Address: 8995 SE Otty Rd
Portland, OREGON 97266
UNITED STATES

Legal Entity Type: CORPORATION

State or Country Where Organized: OREGON

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jim Kennedy

Docket Number: Jim Kennedy

Correspondent

Correspondent Name/Address: Jim Kennedy
Kennedy & Kennedy
601 SW 2nd Ave
Portland, OREGON 97204
UNITED STATES

Phone: 503-226-6555

Fax: 503226-6466

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Aug. 13, 2007	CASE FILE IN TICRS	
Aug. 03, 2007	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	73376
Aug. 03, 2007	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Jul. 25, 2007	ASSIGNED TO PARALEGAL	73376
Jul. 02, 2007	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	
Jul. 02, 2007	TEAS SECTION 8 & 9 RECEIVED	
May 16, 2004	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	
Apr. 09, 2004	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
Jun. 16, 1998	REGISTERED-PRINCIPAL REGISTER	
Mar. 24, 1998	PUBLISHED FOR OPPOSITION	
Mar. 24, 1998	PUBLISHED FOR OPPOSITION	
Feb. 20, 1998	NOTICE OF PUBLICATION	
Jan. 26, 1998	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jan. 08, 1998	EXAMINER'S AMENDMENT MAILED	
Jan. 06, 1998	ASSIGNED TO EXAMINER	62520

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

Renewal Date: Jun. 16, 2008

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: SCANNING ON DEMAND

Date in Location: Aug. 13, 2007

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Mark: BUILDING WEALTH WITH PASSION

BUILDING WEALTH WITH PASSION

US Serial Number: 85423640

Application Filing Date: Sep. 15, 2011

US Registration Number: 4139026

Registration Date: May 08, 2012

Register: Principal

Mark Type: Service Mark

Status: A Section 8 declaration has been accepted.

Status Date: Jun. 28, 2017

Publication Date: Feb. 21, 2012

Mark Information

Mark Literal Elements: BUILDING WEALTH WITH PASSION

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:
- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Real estate service, namely, rental property management

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Feb. 03, 2002

Use in Commerce: May 15, 2005

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: Rockledge Ventures, LLC

Owner Address: 55 Glen Avenue
West Orange, NEW JERSEY 07052
UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: NEW YORK

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Raj Abhyanker

Attorney Primary Email Address: trademarks@legalforce.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Raj Abhyanker
LegalForce RAPC Worldwide, P.C.
446 E Southern Ave
Tempe, ARIZONA 85282
UNITED STATES

Phone: 650-965-8731

Fax: 650 989-2131

Correspondent e-mail: trademarks@legalforce.com trademarks@legalforce.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Apr. 24, 2019	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Apr. 24, 2019	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Jan. 04, 2018	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Jan. 04, 2018	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Jun. 28, 2017	NOTICE OF ACCEPTANCE OF SEC. 8 - E-MAILED	
Jun. 28, 2017	REGISTERED - SEC. 8 (6-YR) ACCEPTED	71378
Jun. 28, 2017	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	71378
May 23, 2017	TEAS SECTION 8 RECEIVED	
May 08, 2017	COURTESY REMINDER - SEC. 8 (6-YR) E-MAILED	
May 08, 2012	REGISTERED-PRINCIPAL REGISTER	
Feb. 21, 2012	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Feb. 21, 2012	PUBLISHED FOR OPPOSITION	
Feb. 01, 2012	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jan. 10, 2012	APPROVED FOR PUB - PRINCIPAL REGISTER	
Dec. 31, 2011	ASSIGNED TO EXAMINER	81099
Sep. 20, 2011	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Sep. 19, 2011	NEW APPLICATION ENTERED IN TRAM	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: TMO LAW OFFICE 114

Date in Location: Jun. 28, 2017

Generated on: This page was generated by TSDR on 2019-08-16 10:01:11 EDT

Mark: CASCADE WEALTH STRATEGIES

Cascade Wealth Strategies

US Serial Number: 87466689

Application Filing Date: May 27, 2017

US Registration Number: 5378118

Registration Date: Jan. 16, 2018

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor: LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Jan. 16, 2018

Publication Date: Oct. 31, 2017

Mark Information

Mark Literal Elements: CASCADE WEALTH STRATEGIES

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH STRATEGIES"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial planning and investment advisory services

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 01, 2012

Use in Commerce: Jan. 01, 2012

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Filed ITU: No

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Charlie Stanton

Owner Address: 5515 142nd Ave SE
Bellevue, WASHINGTON UNITED STATES 98006

Legal Entity Type: INDIVIDUAL **Citizenship:** UNITED STATES

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Greggory Dawson

Attorney Primary Email Address: gregg@boldip.com **Attorney Email Authorized:** Yes

Correspondent

Correspondent Name/Address: GREGGORY DAWSON
6100 219TH ST. SW, SUITE #480
MOUNTLAKE TERRACE, WASHINGTON UNITED STATES 98043

Phone: 8008947615

Correspondent e-mail: gregg@boldip.com **Correspondent e-mail Authorized:** Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jan. 16, 2018	REGISTERED-PRINCIPAL REGISTER	
Oct. 31, 2017	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Oct. 31, 2017	PUBLISHED FOR OPPOSITION	
Oct. 11, 2017	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Sep. 19, 2017	ASSIGNED TO LIE	70629
Sep. 06, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Sep. 06, 2017	EXAMINER'S AMENDMENT ENTERED	88888
Sep. 06, 2017	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	
Sep. 06, 2017	EXAMINERS AMENDMENT E-MAILED	
Sep. 06, 2017	EXAMINERS AMENDMENT -WRITTEN	83182
Aug. 28, 2017	ASSIGNED TO EXAMINER	83182
Jun. 03, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
May 31, 2017	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION **Date in Location:** Jan. 16, 2018

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Mark: CASCADIA WEALTH MANAGEMENT

Cascadia Wealth Management

US Serial Number:	85251296	**Application Filing Date:**	Feb. 24, 2011
US Registration Number:	4122935	**Registration Date:**	Apr. 03, 2012
Filed as TEAS Plus:	Yes	**Currently TEAS Plus:**	Yes
Register:	Principal		
Mark Type:	Service Mark		
Status:	A Sections 8 and 15 combined declaration has been accepted and acknowledged.		
Status Date:	Apr. 04, 2018		
Publication Date:	Jul. 19, 2011	**Notice of Allowance Date:**	Sep. 13, 2011

Mark Information

Mark Literal Elements: CASCADIA WEALTH MANAGEMENT

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH MANAGEMENT"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Estate planning; Financial planning; Financial planning for retirement; Financial services, namely, wealth management services; Investment management

International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Apr. 01, 2011	**Use in Commerce:**	Apr. 01, 2011

Basis Information (Case Level)

Filed Use:	No	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	Yes	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: FBR Wealth Management

Owner Address: Ste 100
8050 SW Pfaffle St
Tigard, OREGON 97223
UNITED STATES

Legal Entity Type: CORPORATION

State or Country Where Organized: OREGON

Attorney/Correspondence Information

Attorney of Record - None

Correspondent

Correspondent Name/Address: FBR Wealth Management
8050 SW Pfaffle St
STE 100
Tigard, OREGON 97223
UNITED STATES

Phone: 503-620-5236

Fax: 503-620-5273

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Apr. 04, 2018	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Apr. 04, 2018	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	30006
Apr. 04, 2018	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	30006
Mar. 26, 2018	TEAS SECTION 8 & 15 RECEIVED	
Mar. 26, 2018	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Apr. 03, 2017	COURTESY REMINDER - SEC. 8 (6-YR) E-MAILED	
Apr. 03, 2012	REGISTERED-PRINCIPAL REGISTER	
Mar. 02, 2012	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Mar. 01, 2012	LAW OFFICE REGISTRATION REVIEW COMPLETED	73797
Feb. 27, 2012	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Feb. 27, 2012	TEAS/EMAIL CORRESPONDENCE ENTERED	73797
Feb. 27, 2012	CORRESPONDENCE RECEIVED IN LAW OFFICE	73797
Feb. 17, 2012	TEAS REQUEST FOR RECONSIDERATION RECEIVED	
Nov. 28, 2011	NOTIFICATION OF FINAL REFUSAL EMAILED	
Nov. 28, 2011	FINAL REFUSAL E-MAILED	
Nov. 28, 2011	SU - FINAL REFUSAL - WRITTEN	83169
Oct. 28, 2011	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Oct. 27, 2011	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Oct. 27, 2011	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Oct. 27, 2011	NOTIFICATION OF NON-FINAL ACTION E-MAILED	
Oct. 27, 2011	NON-FINAL ACTION E-MAILED	
Oct. 27, 2011	SU - NON-FINAL ACTION - WRITTEN	83169
Sep. 21, 2011	STATEMENT OF USE PROCESSING COMPLETE	69302
Sep. 19, 2011	USE AMENDMENT FILED	69302
Sep. 21, 2011	CASE ASSIGNED TO INTENT TO USE PARALEGAL	69302
Sep. 19, 2011	TEAS STATEMENT OF USE RECEIVED	
Sep. 13, 2011	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jul. 19, 2011	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jul. 19, 2011	PUBLISHED FOR OPPOSITION	
Jun. 13, 2011	LAW OFFICE PUBLICATION REVIEW COMPLETED	73797
Jun. 10, 2011	ASSIGNED TO LIE	73797
May 26, 2011	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
May 26, 2011	APPROVED FOR PUB - PRINCIPAL REGISTER	
May 26, 2011	EXAMINER'S AMENDMENT ENTERED	88888
May 26, 2011	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
May 26, 2011	EXAMINERS AMENDMENT E-MAILED	6328

May 26, 2011	EXAMINERS AMENDMENT -WRITTEN	83169
May 25, 2011	ASSIGNED TO EXAMINER	83169
Mar. 01, 2011	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Feb. 28, 2011	NEW APPLICATION ENTERED IN TRAM	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: TMO LAW OFFICE 112 **Date in Location:** Apr. 04, 2018

Generated on: This page was generated by TSDR on 2019-08-16 10:02:11 EDT

Mark: CASTLE WEALTH ADVISORS

CASTLE WEALTH ADVISORS

US Serial Number:	87658892	**Application Filing Date:**	Oct. 25, 2017
US Registration Number:	5491081	**Registration Date:**	Jun. 12, 2018
Filed as TEAS RF:	Yes	**Currently TEAS RF:**	Yes
Register:	Principal		
Mark Type:	Service Mark		
Status:	Registered. The registration date is used to determine when post-registration maintenance documents are due.		
Status Date:	Jun. 12, 2018		
Publication Date:	Mar. 27, 2018		

Mark Information

Mark Literal Elements: CASTLE WEALTH ADVISORS

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH ADVISORS"

Related Properties Information

Claimed Ownership of US Registrations: 5086190

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Tax advisory services; business succession planning services; business merger and acquisition advisory services

International Class(es):	035 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Sep. 29, 2006	**Use in Commerce:**	Sep. 29, 2006

For: Financial consultation services; investment consultation services; financial planning advisory services; investment advisory services; financial services, namely, family wealth management services

International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Sep. 29, 2006	**Use in Commerce:**	Sep. 29, 2006

Basis Information (Case Level)

Filed Use:	Yes	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	No	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: Castle Wealth Advisors, LLC

Owner Address: Suite 200
9820 Westpoint Drive
Indianapolis, INDIANA 46256
UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: INDIANA

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Robert J. Goode

Docket Number: GLP-10

Attorney Primary Email Address: rgoode@kdlegal.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: ROBERT J. GOODE
KRIEG DEVAULT LLP
ONE INDIANA SQUARE, SUITE 2800
INDIANAPOLIS, INDIANA 46204
UNITED STATES

Phone: 317-238-6304

Fax: 3176361507

Correspondent e-mail: rgoode@kdlegal.com ipdocketing@kdlegal.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 12, 2018	REGISTERED-PRINCIPAL REGISTER	
Mar. 27, 2018	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Mar. 27, 2018	PUBLISHED FOR OPPOSITION	
Mar. 07, 2018	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Feb. 20, 2018	LAW OFFICE PUBLICATION REVIEW COMPLETED	66121
Feb. 19, 2018	ASSIGNED TO LIE	66121
Feb. 06, 2018	APPROVED FOR PUB - PRINCIPAL REGISTER	
Feb. 06, 2018	EXAMINER'S AMENDMENT ENTERED	88888
Feb. 06, 2018	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Feb. 06, 2018	EXAMINERS AMENDMENT E-MAILED	6328
Feb. 06, 2018	EXAMINERS AMENDMENT -WRITTEN	90334
Feb. 05, 2018	ASSIGNED TO EXAMINER	90334
Nov. 03, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Oct. 28, 2017	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Jun. 12, 2018

Generated on: This page was generated by TSDR on 2019-08-16 10:02:43 EDT

Mark: CASTLEWATCH WEALTH

CASTLEWATCH WEALTH

US Serial Number: 87572010

Application Filing Date: Aug. 16, 2017

US Registration Number: 5504786

Registration Date: Jun. 26, 2018

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Service Mark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Jun. 26, 2018

Publication Date: Jan. 02, 2018

Notice of Allowance Date: Feb. 27, 2018

Mark Information

Mark Literal Elements: CASTLEWATCH WEALTH

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "WEALTH"

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial planning and investment advisory services; Financial planning for retirement; Financial services, namely, wealth management services

International Class(es): 036 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Apr. 12, 2018

Use in Commerce: Apr. 12, 2018

Basis Information (Case Level)

Filed Use: No	**Currently Use:** Yes	**Amended Use:** No
Filed ITU: Yes	**Currently ITU:** No	**Amended ITU:** No
Filed 44D: No	**Currently 44D:** No	**Amended 44D:** No
Filed 44E: No	**Currently 44E:** No	**Amended 44E:** No
Filed 66A: No	**Currently 66A:** No	
Filed No Basis: No	**Currently No Basis:** No	

Current Owner(s) Information

Owner Name: Reid, Gail D.

Owner Address: Suite 101
535 N. Brand Blvd.
Glendale, CALIFORNIA 91203
UNITED STATES

Legal Entity Type: INDIVIDUAL **Citizenship:** UNITED STATES

Owner Name: Godinez, Jose L.

Owner Address: Suite 101
535 N. Brand Blvd.
Glendale, CALIFORNIA 91203
UNITED STATES

Legal Entity Type: INDIVIDUAL **Citizenship:** UNITED STATES

Owner Name: Avina, Paul

Owner Address: Suite 101
535 N. Brand Blvd.
Glendale, CALIFORNIA 91203
UNITED STATES

Legal Entity Type: INDIVIDUAL **Citizenship:** UNITED STATES

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Scott G. Ulbrich **Docket Number:** 5156.02UST

Attorney Primary Email Address: pto@sumiplaw.com **Attorney Email Authorized:** Yes

Correspondent

Correspondent Name/Address: Scott G. Ulbrich
SKAAR ULBRICH MACARI, P.A.
601 Carlson Parkway, Suite 1050
Minnetonka, MINNESOTA 55305
UNITED STATES

Phone: 612-216-1700 **Fax:** 612-234-4465

Correspondent e-mail: pto@sumiplaw.com ulbrich@sumiplaw.com **Correspondent e-mail Authorized:** Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Aug. 10, 2018	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Aug. 10, 2018	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Jun. 26, 2018	REGISTERED-PRINCIPAL REGISTER	
May 22, 2018	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
May 19, 2018	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
May 04, 2018	STATEMENT OF USE PROCESSING COMPLETE	66154
Apr. 16, 2018	USE AMENDMENT FILED	66154
May 04, 2018	CASE ASSIGNED TO INTENT TO USE PARALEGAL	66154
Apr. 16, 2018	TEAS STATEMENT OF USE RECEIVED	
Feb. 27, 2018	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jan. 02, 2018	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jan. 02, 2018	PUBLISHED FOR OPPOSITION	
Dec. 13, 2017	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Nov. 27, 2017	ASSIGNED TO LIE	68123
Nov. 18, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Nov. 18, 2017	EXAMINER'S AMENDMENT ENTERED	88888
Nov. 18, 2017	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Nov. 18, 2017	EXAMINERS AMENDMENT E-MAILED	6328
Nov. 18, 2017	EXAMINERS AMENDMENT -WRITTEN	74784
Nov. 17, 2017	ASSIGNED TO EXAMINER	74784

Aug. 22, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM
Aug. 21, 2017	NEW APPLICATION ENTERED IN TRAM

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION **Date in Location:** May 19, 2018

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Mark: CLEARWEALTH

CLEARWEALTH

US Serial Number:	77134713	**Application Filing Date:**	Mar. 19, 2007
US Registration Number:	3558214	**Registration Date:**	Jan. 06, 2009
Register:	Principal		
Mark Type:	Service Mark		
Status:	The registration has been renewed.		
Status Date:	Nov. 23, 2018		
Publication Date:	May 20, 2008	**Notice of Allowance Date:**	Aug. 12, 2008

Mark Information

Mark Literal Elements: CLEARWEALTH

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Goods and Services

Note:
The following symbols indicate that the registrant/owner has amended the goods/services:
- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: tax and taxation planning, advice, information, and consultancy services

International Class(es):	035 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Apr. 01, 2007	**Use in Commerce:**	Apr. 01, 2007

For: estate planning; investment consultation; investment management; and financial planning for retirement

International Class(es):	036 - Primary Class	**U.S Class(es):**	100, 101, 102
Class Status:	ACTIVE		
Basis:	1(a)		
First Use:	Apr. 01, 2007	**Use in Commerce:**	Apr. 01, 2007

Basis Information (Case Level)

Filed Use:	No	**Currently Use:**	Yes	**Amended Use:**	No
Filed ITU:	Yes	**Currently ITU:**	No	**Amended ITU:**	No
Filed 44D:	No	**Currently 44D:**	No	**Amended 44D:**	No
Filed 44E:	No	**Currently 44E:**	No	**Amended 44E:**	No
Filed 66A:	No	**Currently 66A:**	No		
Filed No Basis:	No	**Currently No Basis:**	No		

Current Owner(s) Information

Owner Name: JOHNSON FINANCIAL GROUP, INC.

Owner Address: 555 MAIN STREET
RACINE, WISCONSIN 53403
UNITED STATES

Legal Entity Type: CORPORATION

State or Country Where Organized: WISCONSIN

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Mark J. Diliberti

Docket Number: 115638-0106

Attorney Primary Email Address: ipdocketing@foley.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Mark J. Diliberti
Foley & Lardner LLP
777 E. Wisconsin Avenue
Milwaukee, WISCONSIN 53202-5306
UNITED STATES

Phone: 414-271-2400

Fax: 414-297-4900

Correspondent e-mail: ipdocketing@foley.com econnolly@foley.com shaines@foley.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Nov. 23, 2018	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Nov. 23, 2018	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	69615
Nov. 23, 2018	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	69615
Nov. 21, 2018	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	69615
Nov. 13, 2018	TEAS SECTION 8 & 9 RECEIVED	
Sep. 19, 2018	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Aug. 15, 2018	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Jan. 06, 2018	COURTESY REMINDER - SEC. 8 (10-YR)/SEC. 9 E-MAILED	
Mar. 10, 2016	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Jan. 08, 2015	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Jan. 08, 2015	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	68502
Jan. 08, 2015	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	68502
Dec. 18, 2014	TEAS SECTION 8 & 15 RECEIVED	
Jan. 06, 2009	REGISTERED-PRINCIPAL REGISTER	
Dec. 04, 2008	LAW OFFICE REGISTRATION REVIEW COMPLETED	76568
Dec. 03, 2008	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Nov. 10, 2008	STATEMENT OF USE PROCESSING COMPLETE	64657
Nov. 06, 2008	USE AMENDMENT FILED	64657
Nov. 10, 2008	CASE ASSIGNED TO INTENT TO USE PARALEGAL	64657
Nov. 06, 2008	TEAS STATEMENT OF USE RECEIVED	
Aug. 12, 2008	NOA MAILED - SOU REQUIRED FROM APPLICANT	
May 20, 2008	PUBLISHED FOR OPPOSITION	
Apr. 30, 2008	NOTICE OF PUBLICATION	
Apr. 16, 2008	LAW OFFICE PUBLICATION REVIEW COMPLETED	76568
Apr. 15, 2008	ASSIGNED TO LIE	76568
Apr. 09, 2008	APPROVED FOR PUB - PRINCIPAL REGISTER	
Mar. 10, 2008	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Mar. 10, 2008	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889

Mar. 10, 2008	TEAS REQUEST FOR RECONSIDERATION RECEIVED	
Feb. 05, 2008	NOTIFICATION OF FINAL REFUSAL EMAILED	
Feb. 05, 2008	FINAL REFUSAL E-MAILED	
Feb. 05, 2008	FINAL REFUSAL WRITTEN	81853
Jan. 07, 2008	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Jan. 07, 2008	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Jan. 07, 2008	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Jul. 11, 2007	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Jul. 11, 2007	NON-FINAL ACTION E-MAILED	6325
Jul. 11, 2007	NON-FINAL ACTION WRITTEN	81853
Jun. 27, 2007	ASSIGNED TO EXAMINER	81853
Mar. 23, 2007	NOTICE OF PSEUDO MARK MAILED	
Mar. 22, 2007	NEW APPLICATION ENTERED IN TRAM	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

Renewal Date: Jan. 06, 2019

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE **Date in Location:** Nov. 23, 2018

Assignment Abstract Of Title Information

Summary

Total Assignments: 2 **Registrant:** Cleary Gull Inc.

Assignment 1 of 2

Conveyance: ASSIGNS THE ENTIRE INTEREST

Reel/Frame: 6411/0372 **Pages:** 2

Date Recorded: Aug. 13, 2018

Supporting Documents: assignment-tm-6411-0372.pdf

Assignor

Name: CLEARY GULL INC. **Execution Date:** May 31, 2016

Legal Entity Type: CORPORATION **State or Country Where Organized:** DELAWARE

Assignee

Name: CLEARY GULL ADVISORS, INC.

Legal Entity Type: CORPORATION **State or Country Where Organized:** WISCONSIN

Address: 100 E. WISCONSIN AVENUE, SUITE 2400
MILWAUKEE, WISCONSIN 53202

Correspondent

Correspondent Name: EOIN P. CONNOLLY

Correspondent Address: 3000 K STREET, N.W. SUITE 600
WASHINGTON, DC 20007-5109

Domestic Representative - Not Found

Assignment 2 of 2

Conveyance: ASSIGNS THE ENTIRE INTEREST

Reel/Frame:	6437/0374	**Pages:**	2
Date Recorded:	Aug. 27, 2018		
Supporting Documents:	assignment-tm-6437-0374.pdf		

Assignor

Name:	CLEARY GULL ADVISORS, INC.	**Execution Date:**	Aug. 16, 2018
Legal Entity Type:	CORPORATION	**State or Country Where Organized:**	WISCONSIN

Assignee

Name:	JOHNSON FINANCIAL GROUP, INC.		
Legal Entity Type:	CORPORATION	**State or Country Where Organized:**	WISCONSIN
Address:	555 MAIN STREET RACINE, WISCONSIN 53403-1000		

Correspondent

Correspondent Name:	EOIN CONNOLLY
Correspondent Address:	777 E. WISCONSIN AVENUE MILWAUKEE, WI 53202-5306

Domestic Representative - Not Found