

Int. Cl.: 36

Prior U.S. Cls.: 100, 101 and 102

Reg. No. 2,662,525

### United States Patent and Trademark Office

Registered Dec. 17, 2002

#### SERVICE MARK PRINCIPAL REGISTER

#### **BARON FUNDS**

BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION) 767 FIFTH AVENUE - 24TH FLOOR NEW YORK, NY 10153 NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "FUNDS", APART FROM THE MARK AS SHOWN.

FOR: INVESTMENT MANAGEMENT SERVICES AND MUTUAL FUND INVESTMENT, IN CLASS 36 (U.S. CLS. 100, 101 AND 102).

SER. NO. 76-324,115, FILED 10-11-2001.

FIRST USE 6-12-1987; IN COMMERCE 6-12-1987.

ANGELA M. MICHELI, EXAMINING ATTORNEY

Int. Cl.: 36

Prior U.S. Cls.: 100, 101, and 102

Reg. No. 2,854,085

United States Patent and Trademark Office

Registered June 15, 2004

#### SERVICE MARK PRINCIPAL REGISTER

#### BARON IOPPORTUNITY FUND

BARON ASSET FUND (MASSACHUSETTS BUSINESS TRUST) 767 FIFTH AVENUE, 49TH FLOOR NEW YORK, NY 10153 NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "IOPPORTUNITY FUND", APART FROM THE MARK AS SHOWN.

FOR: FINANCIAL SERVICES, NAMELY MUTUAL FUND BROKERAGE, DISTRIBUTION AND INVESTMENT SERVICES, IN CLASS 36 (U.S. CLS. 100, 101 AND 102).

SN 75-871,392, FILED 12-14-1999.

FIRST USE 1-18-2000; IN COMMERCE 1-18-2000.

ANGELA M. MICHELI, EXAMINING ATTORNEY

Int. Cl.: 36

Prior U.S. Cls.: 100, 101 and 102

Reg. No. 2,961,602

### United States Patent and Trademark Office

Registered June 14, 2005

#### SERVICE MARK PRINCIPAL REGISTER

#### **BARON**

BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION)

767 FIFTH AVENUE NEW YORK, NY 10153

FOR: INVESTMENT MANAGEMENT SERVICES, MUTUAL FUNDS INVESTMENT, MUTUAL FUNDS DISTRIBUTION AND MUTUAL FUNDS

BROKERAGE, IN CLASS 36 (U.S. CLS. 100, 101 AND 102).

FIRST USE 10-0-1984; IN COMMERCE 10-0-1984.

SER. NO. 75-570,930, FILED 10-15-1998.

ANGELA M. MICHELI, EXAMINING ATTORNEY

#### BARON FOCUSED GROWTH FUND

Reg. No. 4,180,101 BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION)

Registered July 24, 2012 49TH FLOOR 767 FIFTH AVENUE

Int. Cl.: 36

FOR: INVESTMENT MANAGEMENT; MUTUAL FUND INVESTMENT, IN CLASS 36 (U.S. CLS. 100, 101 AND 102).

SERVICE MARK

FIRST USE 12-31-2010; IN COMMERCE 12-31-2010.

PRINCIPAL REGISTER

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 2,662,525, 2,854,085, AND 2,961,602.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "GROWTH FUND", APART

FROM THE MARK AS SHOWN.

SN 85-155,999, FILED 10-19-2010.

AMEEN IMAM, EXAMINING ATTORNEY



#### BARON EMERGING MARKETS FUND

Reg. No. 4,048,017 BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION)

Registered Nov. 1, 2011

49TH FLOOR
767 FIFTH AVENUE

NEW YORK, NY 10153

FOR: INVESTMENT MANAGEMENT; MUTUAL FUND INVESTMENT, IN CLASS 36 (U.S. CLS. 100, 101 AND 102).

SERVICE MARK

FIRST USE 12-31-2010; IN COMMERCE 12-31-2010.

PRINCIPAL REGISTER

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 2,662,525, 2,854,085, AND 2,961,602.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "EMERGING MARKETS FUND", APART FROM THE MARK AS SHOWN.

SER. NO. 85-156,005, FILED 10-19-2010.

AMEEN IMAM, EXAMINING ATTORNEY



#### **BARON ASSET FUND**

Reg. No. 3,825,113 BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION)

Registered July 27, 2010 49TH FLOOR 767 FIFTH AVENUE

NEW YORK, NY 10153

FOR: INVESTMENT MANAGEMENT; MUTUAL FUND INVESTMENT, IN CLASS 36 (U.S.

CLS. 100, 101 AND 102).

SERVICE MARK
FIRST USE 6-12-1987; IN COMMERCE 6-12-1987.

PRINCIPAL REGISTER

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PAR-

TICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 2,662,525, 2,854,085, AND 2,961,602.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "ASSET FUND", APART FROM

THE MARK AS SHOWN.

SER. NO. 77-910,950, FILED 1-13-2010.

JUSTINE D. PARKER, EXAMINING ATTORNEY



#### **BARON GROWTH FUND**

Reg. No. 3,825,114 BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION)

Registered July 27, 2010 49TH FLOOR 767 FIFTH AVENUE

NEW YORK, NY 10153

FOR: INVESTMENT MANAGEMENT; MUTUAL FUND INVESTMENT, IN CLASS 36 (U.S.

CLS. 100, 101 AND 102). SERVICE MARK

FIRST USE 12-31-1994; IN COMMERCE 12-31-1994.

PRINCIPAL REGISTER

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PAR-

TICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 2,662,525, 2,854,085, AND 2,961,602.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "GROWTH FUND", APART

FROM THE MARK AS SHOWN.

SER. NO. 77-910,966, FILED 1-13-2010.

JUSTINE D. PARKER, EXAMINING ATTORNEY



#### **BARON SMALL CAP FUND**

Reg. No. 3,825,115 BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION)

Registered July 27, 2010 49TH FLOOR 767 FIFTH AVENUE

NEW YORK, NY 10153

FOR: INVESTMENT MANAGEMENT, MUTUAL FUND INVESTMENT, IN CLASS 36 (U.S.

CLS. 100, 101 AND 102).

FIRST USE 9-30-1997; IN COMMERCE 9-30-1997. **PRINCIPAL REGISTER** 

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PAR-

TICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 2,662,525, 2,854,085, AND 2,961,602.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "SMALL CAP FUND", APART

FROM THE MARK AS SHOWN.

SER. NO. 77-910,981, FILED 1-13-2010.

JUSTINE D. PARKER, EXAMINING ATTORNEY



SERVICE MARK

#### **BARON PARTNERS FUND**

Reg. No. 3,882,416 BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION)

Registered Nov. 30, 2010 49TH FLOOR 767 FIFTH AVENUE

NEW YORK, NY 10153

FOR: INVESTMENT MANAGEMENT; MUTUAL FUND INVESTMENT, IN CLASS 36 (U.S.

CLS. 100, 101 AND 102).

SERVICE MARK
FIRST USE 1-31-1992; IN COMMERCE 1-31-1992.

PRINCIPAL REGISTER

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PAR-

TICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 2,662,525, 2,854,085, AND 2,961,602.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "PARTNERS FUND", APART

FROM THE MARK AS SHOWN.

SER. NO. 77-911,017, FILED 1-13-2010.

STEVEN PEREZ, EXAMINING ATTORNEY



### BARON FIFTH AVENUE GROWTH FUND

Reg. No. 3,882,417 BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION)

Registered Nov. 30, 2010 49TH FLOOR 767 FIFTH AVENUE

NEW YORK, NY 10153

FOR: INVESTMENT MANAGEMENT; MUTUAL FUND INVESTMENT, IN CLASS 36 (U.S.

SERVICE MARK
CLS. 100, 101 AND 102).

FIRST USE 4-30-2004; IN COMMERCE 4-30-2004. PRINCIPAL REGISTER

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PAR-

TICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 2,662,525, 2,854,085, AND 2,961,602.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "GROWTH FUND", APART

FROM THE MARK AS SHOWN.

SEC. 2(F) AS TO "FIFTH AVENUE".

SER. NO. 77-911,027, FILED 1-13-2010.

STEVEN PEREZ, EXAMINING ATTORNEY



Vans J. Wy

### BARON INTERNATIONAL GROWTH FUND

Reg. No. 3,825,117 BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION)

49TH FLOOR

Registered July 27, 2010 767 FIFTH AVENUE NEW YORK, NY 10153

Int. Cl.: 36

FOR: INVESTMENT MANAGEMENT; MUTUAL FUND INVESTMENT, IN CLASS 36 (U.S.

CLS. 100, 101 AND 102).

SERVICE MARK
FIRST USE 12-31-2008; IN COMMERCE 12-31-2008.

PRINCIPAL REGISTER

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PAR-

TICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 2,662,525, 2,854,085, AND 2,961,602.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "INTERNATIONAL GROWTH

FUND", APART FROM THE MARK AS SHOWN.

SER. NO. 77-911,042, FILED 1-13-2010.

JUSTINE D. PARKER, EXAMINING ATTORNEY



Vans J. Wy

#### BARON REAL ESTATE FUND

Reg. No. 3,825,118 BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION)

Registered July 27, 2010 49TH FLOOR 767 FIFTH AVENUE

NEW YORK, NY 10153

FOR: INVESTMENT MANAGEMENT; MUTUAL FUND INVESTMENT, IN CLASS 36 (U.S.

CLS. 100, 101 AND 102).

SERVICE MARK
FIRST USE 12-31-2009; IN COMMERCE 12-31-2009.

PRINCIPAL REGISTER

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PAR-

TICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 2,662,525, 2,854,085, AND 2,961,602.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "REAL ESTATE FUND", APART

FROM THE MARK AS SHOWN.

SER. NO. 77-911,049, FILED 1-13-2010.

JUSTINE D. PARKER, EXAMINING ATTORNEY



#### BARON CAPITAL MANAGEMENT

Reg. No. 3,824,937 BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION)

Registered July 27, 2010 49TH FLOOR 767 FIFTH AVENUE

NEW YORK, NY 10153

FOR: INVESTMENT MANAGEMENT SERVICES, MUTUAL FUNDS INVESTMENT, MUTUAL

FUNDS DISTRIBUTION AND MUTUAL FUNDS BROKERAGE, IN CLASS 36 (U.S. CLS.

**SERVICE MARK** 100, 101 AND 102).

PRINCIPAL REGISTER FIRST USE 12-31-1982; IN COMMERCE 12-31-1982.

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PAR-

TICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 2,662,525, 2,854,085, AND 2,961,602.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "CAPITAL MANAGEMENT",

APART FROM THE MARK AS SHOWN.

SER. NO. 77-897,099, FILED 12-18-2009.

JUSTINE D. PARKER, EXAMINING ATTORNEY



### **BARON CAPITAL**

Reg. No. 3,824,939 BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION)

Registered July 27, 2010 49TH FLOOR 767 FIFTH AVENUE NEW YORK, NY 10153

Int. Cl.: 36

FOR: INVESTMENT MANAGEMENT SERVICES, MUTUAL FUNDS INVESTMENT, MUTUAL FUNDS BROKERAGE, IN CLASS 36 (U.S. CLS.

**SERVICE MARK** 100, 101 AND 102).

PRINCIPAL REGISTER FIRST USE 12-31-1982; IN COMMERCE 12-31-1982.

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PAR-

TICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 2,662,525, 2,854,085, AND 2,961,602.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "CAPITAL", APART FROM THE

MARK AS SHOWN.

SER. NO. 77-897,119, FILED 12-18-2009.

JUSTINE D. PARKER, EXAMINING ATTORNEY



### BARON ENERGY AND RESOURCES FUND

Reg. No. 4,322,820 BARON CAPITAL GROUP, INC. (NEW YORK CORPORATION)

767 FIFTH AVENUE, 49TH FLOOR

Registered Apr. 23, 2013 NEW YORK, NY 10153

Int. Cl.: 36 FOR: INVESTMENT MANAGEMENT; MUTUAL FUND INVESTMENT, IN CLASS 36 (U.S.

CLS. 100, 101 AND 102).

**SERVICE MARK** FIRST USE 12-30-2011; IN COMMERCE 12-30-2011.

PRINCIPAL REGISTER THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PAR-

TICULAR FONT, STYLE, SIZE, OR COLOR.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "ENERGY AND RESOURCES

FUND", APART FROM THE MARK AS SHOWN.

SER. NO. 85-375,385, FILED 7-19-2011.

PAUL MORENO, EXAMINING ATTORNEY





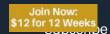


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#### The Brief

UPDATED DEC. 17, 2018 1:42 P.M. ET

Down, Again. All three major U.S. stock indexes slid more than 1% on Monday, and oil took a hit as well. All eyes were on the Federal Reserve, which meets this week. While a rate increase is expected, the central bank's tone and 2019 economic projections will be key. Health-care stocks declined following a court ruling that the Affordable Care Act is unconstitutional. Here are a few more things to know.

- Hedge funds are bailing on Northrop. Zig while they zag.
- For Theresa May and the U.K., Brexit is a dog's breakfast
- And check out our top 10 stock picks for 2019



MARKETS INTRADAY UPDATE

#### Stocks Are Lower as All Eyes Are on the Fed

The Dow Jones Industrial Average is down 55.32 points, or 0.23%, to 24,045.19. The Fed meets Wednesday, but a rate hike is already baked into the market, says one analyst.

HEALTH \ SECTOR FOCUS

#### **Health-Care Stocks Can Bounce Back from Obamacare Ruling**

Hospitals and insurance companies are taking it on the chin after a Texas judge ruled that the Affordable Care Act is unconstitutional. That may have created a buying opportunity in some of the stocks.

Markets Feed

FROM MARKETWATCH & BARRONS

2:27 PM ET

Dow falls 400 points amid worst start to December since 1980

MW

2:21 PM ET

The Stock Market Keeps Forming a 'Death Cross.' What's That? **B** 

2:03 PM ET

The last key death cross is poised to engulf the stock market www

1:48 PM FT

CONSUMER \ INSIDE SCOOP

BARRON'S \$12 for 12 Weeks

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https://www.barrons.com/

#### Johnson & Johnson Insiders Buy Stock After the Baby Powder Report

Three directors of the consumer-health-care giant together bought about half a million dollars of stock Friday as shares tumbled.

FINANCIALS REAL TIME ANALYSIS

#### Goldman Sachs' Malaysian Woes Grow as That Country Files **Criminal Charges**

The disclosures over the 1MDB scandal have weighed heavily on the shares of the Wall Street bank.

CONSUMER SECTOR FOCUS

#### JPMorgan Says You Should Buy Costco After Last Week's Drop

Margins at Costco Wholesale were thinner than expected, but it's hard to find a retail stock with the same growth profile and defenses against Amazon, according to analyst Christopher Horvers.

US FEATURE

#### This Week's Fed Meeting Means 2019 Starts Now for the Stock Market

A rate increase would be no surprise, but Fed Chairman Jerome Powell's postmeeting press conference will be must-see TV.

INVESTING Q&A

#### Investors' Watchword for 2019: Caution

Liz Ann Sonders, Schwab's chief investment strategist, sees the risks of recession rising and the dangers of debt and deficits growing.

EMERGING MARKETS

#### The Top Emerging Market Stocks for 2019

These developing markets are growing faster than the U.S., Europe, and Japan, and are mostly well managed. Their stocks are also cheap.

SMALL CAPS | FEATURE

#### Small-Caps, Big Problems: How to Pick and Choose

Small-caps peaked in August, then lost ground on a variety of fears. For 2019, investors will need to avoid the indexes and do some stock picking. There are small-cap winners.

QUICK LINKS

Real-Time Analysis > B400 Index > Next 50 > Picks & Pans > Penta > Barron's Advisor > Mansion Global >

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JPMorgan Says You Should Buy Costco After Last Week's Drop



1:47 PM ET

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2/4 https://www.barrons.com/

Low-Volatility Stocks Are Shining as Investors Search for More Certainty

#### Caterpillar Shows What Is Driving Earnings in This Market

#### Interests

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The Best Way to Save Social Security

The New Case for a Bigger Welfare State

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CBD Will Get a Boost With U.S. Hemp Legalization

#### Barron's Next

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Jack in the Box Stock Pops as the Company Confirms Merger 'Discussions' The Drop in Lululemon's Stock Could Offer an 'Entry Point' for Investors

Costco Stock Is Sliding as Profit Margins Disappoint

Starbucks Stock Is Sliding as Investors See a 'Mature' Company, Not a Growth Story

#### Sector Focus

PRESENTED BY SECTOR SPDR ETFS

JPMorgan Says You Should Buy Costco After Last Week's Drop Health-Care Stocks Can Bounce Back from Obamacare Ruling

#### Barron's Advisor

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Week's Best: Morgan Stanley's Saperstein on Growth; Wells Fargo RIA TOP 1,200 ADVISORS >

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\$12 for 12 Weeks



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#### Wells Fargo Prods Less-Productive Brokers

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#### **Features**

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### Why It Makes Sense to Bet on Calm After a Volatility Storm

The year was defined by a record number of VIX spikes. But for all the turmoil in trade and geopolitics, markets may calm a bit.

### The Top Emerging Market Stocks for 2019

These developing markets are growing faster than the U.S., Europe, and Japan, and are mostly well managed. Their stocks are also cheap.

#### S&P 500 Companies Might Slow Down the Dividend Hikes Next Year

Goldman Sachs Global Investment Research is looking for S&P 500 dividends to reach \$525 billion next year. The firm predicts that this year's dividends will climb by 9% year over year.

#### Partner Center

#### The end.

But let's stay in touch



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BARRON'S \$12 for 12 Weeks

https://www.barrons.com/