

The Examining Attorney has preliminarily refused registration of the pending application on the grounds of likelihood of confusion under the Trademark Act, Section 2(d). Please note that five of the seven cited registrations are owned by ICAP Services North America, LLC, which is wholly owned by Applicant. Accordingly, Applicant asserts that under TMEP Section 1201.07, Applicant and the owner of the cited U.S. Registrations Nos. 3618030, 3875508, 3875509, 4095007, and 3023673 are closely related companies which constitute a single source. Accordingly, Applicant respectfully submits that the refusal based on these cited registrations should be withdrawn.

Additionally, the Examining Attorney cites two registrations as a basis for refusal of registration of the pending application. The first, U.S. Registration No. 2101209, covering “mutual fund investment services, investment management and advisory services,” is cited on the basis that “applicant’s broadly-worded recitation ‘financial services’ encompasses all financial services, including the [registrant’s] more specific financial services.” Applicant has now amended its identification of services of “financial services” to identify the highly specialized trading services it offers. Accordingly, it is respectfully requested that the refusal based on U.S. Registration No. 2101209 be withdrawn. (Likewise, the potential refusal based on U.S. Trademark Application Serial No. 85966693 for investment management and advisory services, owned by the same party, should be withdrawn as Applicant has now in its amended identification made clear the highly specialized advisory services it offers to emerging and start-up financial companies.)

Finally, the Examining Attorney cites U.S. Trademark Registration No. 4455719 on the grounds both Applicant’s pending application and the cited Registrant’s

registration cover “advertising services”. In its amended identification submitted herewith, Applicant has now deleted “advertising services” from its application. Accordingly, the refusal based on this cited registration should also be withdrawn.

For the foregoing reasons, Applicant respectfully requests its application be passed for publication.