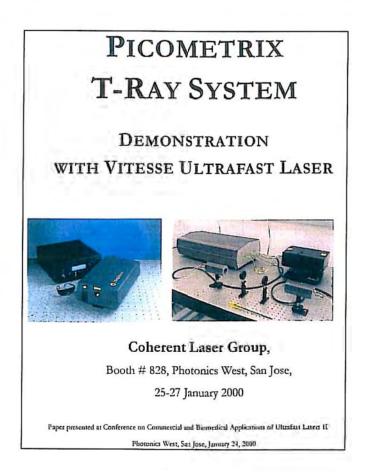
DECLARATION OF ROBIN F. RISSER

- I, Robin F. Risser, state as follows:
 - I am the President and General Manager of Picometrix, LLC, the applicant of US Application No. 77/111,199.
 - 2. The mark "T-Ray" was first used at least as early as January 24, 2000 at the Photonics West conference. A copy of promotional materials evidencing the same is shown below:



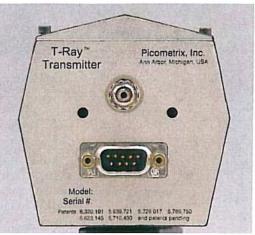
3. Goods were first sold under the mark "T-Ray" at least as early as December, 2000.

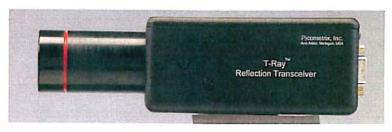
- 4. The best of my knowledge, for the last eight (8) years Applicant's use of the mark "T-Ray" in connection with imagining and spectroscopy systems and instrumentation has been substantially exclusive and continuous.
- 5. Goods bearing the mark "T-Ray" have been sold in the United States, Canada, Israel, Australia, Germany, and China.
- 6. The Applicant has sold over one hundred of imaging and spectroscopy systems and component products under the mark "T-Ray" since 2000. The cost of each system and/or components varies from tens of thousands dollars to hundreds of thousands of dollars and reflects careful and sophisticated purchasing.
- 7. The mark "T-Ray" has been silkscreened on all systems and products, as well as used in product brochures, manuals, the Internet, press releases and advertising. Examples of product marking with T-Ray trademark:













Example of product brochure with T-Ray trademark:



T-Ray™4000 TD-THz System

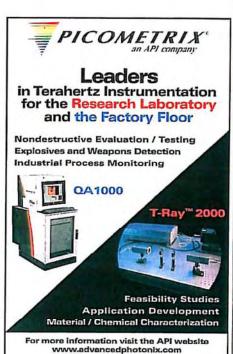
The T-Ray^{bi} 4000 changes the paradigm of time-domain terahertz (TO-THz) generation and detection. No longer is terahestz the experiment. Now it is the tool. With interchangeable fiber-coupled heads and all necessary components huilt-in, the T-Ray ** 4000 moves terahestz measurements from the fab table to the bench-top to the factory floor.

Operating in either reflection or transmission mode, the interchangeable fiber-coupled sensor heads deliver a pictusecond duration TO-1Hz pulse, allowing high-speed, scanned images to be easily produced.



- Complete system in a 4 RU 19" enclosure
- · Fiber-toupled sensor heads
- · Transmitted or reflected data collection
- Full waveform resolution
- High-speed scanning and data acquisition
- Scanned images through most materials
- · Multiple sensor heads available
- Dedicated unitware package
- Multiple less configurations available

- Gas, liquid and solid spectroscopy
- · Nondestructive materials inspection
- Package inspection
- Semiconductor wafer inspection
- Reaction kinetics monitoring
- Medical and biological imaging research
- Remote threat detection
- Homeland Security solutions
- 8. The Applicant's annual advertising budget for goods sold under the T-Ray trademark have averaged approximately one million dollars per fiscal year.
- 9. The Applicant's advertising has been through national print advertising, international print advertising, exhibitions and conferences, and through the Internet. Examples of advertising bearing the T-Ray trademark are provided below:



or contact us at 734.864.5600

2925 Boardwalk.Ann Arbor.MI.48104.USA





テラヘルツイメージングが実験からツールへ

卓上型テラヘルツシステム

T-Ray™ 4000 TD-THz* System

特長

- ₩₩:0.02~2THz (3THzオプション有)
- SNIL: >70dB
- スキャンスピード: 100Hz **瓜量: 約25kg**
- ヘッドレシーバーのマルチチャンネル 担続可=多ポイント計測可能

用給 (1:学)6亩

- · FFEEDINIAMS · 坪显(g/m²) 検査
- · 据系物检查 ·麻葉検出
- 製品の品質安定性検査
- ・コーティング厚測定 ・構造完全性検査
- ·水分分析

*time-domain terebentz



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Innovative

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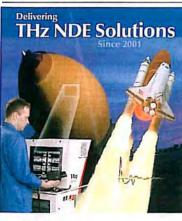
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The lens mounting and positioning techniques have been specifically developed to meet these demanding

criteria and are currently being used to produce IFOG transceiver packages with a high degree of hybrid integration.

eract specifications are often properietary or classified, it is clear that traditional telecom-grady production techniques are not appropriate for these environments. The lens meanting and positioning techniques of techniques the base bear mining the dispute of the other lens that bear manding externs a consideration of the control o



- Featuring:

 High resolution imaging/spectroscopy

 Reflection and transmission configurations

 Ruggedized for manufacturing environments
- Application development user facilities



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HENCHICS SPECIES 129

10. The Applicant has exhibited and shown goods under the "T-Ray" mark in numerous exhibitions and conferences around the world, including:

Show	Date	Year	Location
Photonics West	Jan	2000	CA
Conference on Lasers and Electro-Optics	May	2000	MD
Interopto	July	2000	Japan
Space terahertz	May	2000	MI
Biomedical Imaging and Sensing Applications of THz Technology	Nov	2001	UK
Aerosense	Apr	2003	FL
Conference on Lasers and Electro-Optics	May	2003	MD
SURA Terahertz Applications Symposium	June	2003	DC
Lasers	June	2003	Germany
Interopto	July	2003	Japan
Fraunhofer	Feb	2004	Germany
Aerosense	Apr	2004	FL
Conference on Lasers and Electro-Optics	May	2004	MD
Great Lakes Photonics Symposium	June	2004	ОН
Department of Homeland Security Conference	July	2004	CA
Quantitative Nondestructive Evaluation	July	2004	СО
Terahertz Systems Conference	Dec	2004	DC
Terahertz for Defense and Security	Dec	2004	Australia
Photonics West	Jan	2005	CA
Optical Terahertz Science & Technology	Mar	2005	FL
Aerosense	Apr	2005	FL
SURA Terahertz Applications Symposium	June	2005	DC
Quantitative Nondestructive Evaluation	July	2005	ME
International Conference on Infrared, Millimeter, and Terahertz Waves	Sept	2005	PA
Terahertz Systems Conference	Oct	2005	CA
International Foundation Process Analytical Chemistry	Jan	2006	MD
Photonics West	Jan	2006	CA
Pittsburgh Conference	Feb	2006	FL
Fraunhofer	Mar	2006	Germany
Photon Forum	April	2006	MA
Defense & Security Symposium	April	2006	FL
International Symposium on Spectral Sensing Research	May	2006	ME
SURA Terahertz Applications Symposium	June	2006	DC
Explosives Detection Conference	June	2006	FL
Quantitative Nondestructive Evaluation	July	2006	OR
European Conference on Nondestructive Testing	Sept	2006	Germany
Safeskies	Oct	2006	DC
International Foundation Process Analytical Chemistry	Jan	2007	MD
Optical Terahertz Science & Technology	Mar	2007	FL
Pittsburgh Conference	Mar	2007	IL
Defense & Security Symposium	Apr	2007	FL
Conference on Lasers and Electro-Optics	May	2007	MD
Conference on Lasers and Electro-Optics	May	2007	Baltimore

SURA Terahertz Applications Symposium	June	2007	DC
International Chemical and Petroleum Industry Inspection Technology	June	2007	Houston
Quantitative Nondestructive Evaluation	July	2007	СО
International Conference on Infrared, Millimeter, and Terahertz Waves	Sept	2007	Wales
Federation of Analytical Chemistry and Spectroscopy Societies	Oct	2007	Memphis
International Foundation Process Analytical Chemistry	Jan	2008	Baltimore
Pittsburgh Conference	Mar	2008	NO
Defense & Security Symposium	Mar	2008	Orlando
American Society of Nondestructive Testing	Mar	2008	Anaheim
Conference on Lasers and Electro-Optics	May	2008	San Jose
SURA Terahertz Applications Symposium	June	2008	DC
Electromagnetic Nondestructive Evaluation	June	2008	Korea
International Symposium on Spectral Sensing Research	June	2008	NJ

11. Examples of exhibit booth art using the "T-Ray" mark in the above-identified conferences and exhibitions include:





- 12. The Applicant has received extensive industry recognition and won many industry awards for its goods sold under the "T-Ray" mark, including:
 - I. 2000 Photonics "Circle of Excellence" Award,
 - II. 2001 R&D 100 Award,
 - III. 2004 Photonics "Circle of Excellence" Award, and
 - IV. 2008 PhAST/Laser Focus World Innovation Award

13. The Applicants goods sold under the "T-Ray" mark have been the subject of many third party articles, examples of which include:

COMPANY PROFILE

induced version of a spark-gap transmitter, which was the first kind of radio transmitter ever developed."

Secrets to success

At the heart of the Piconetrix system is a saturated fibre-pigtailed THz module, which is permanently aligned and hermetically sealed. "Once you move from an optical table to a fibre-optic based system then you can make the unit much more rugged and portable," said Zimdars. "This has been a very important aspect of our success." Thanks to its umbilical connection, the THz head can be up to 30 m away from the main control box with the freedom to move over and around objects under challenging conditions.

"You can be in environments with high vibration, high humidity or high temperature swings and still get THz signals coming out," said Risser. "We transferred our telecoms packaging technology and fibre experience into our THz products." The firm's modular approach to design means that it can simply plug in the latest technology us it comes out of the lab. "It helps evolve the technology without muking the customer's investment in the instrument obsolete," Risser added.

Stimulating the market

By targeting the research market, the hope is that new applications will emerge as scientists get to grips with the technology. "It takes a while, but I think all of the activity that you see today is basically those earlier applications beginning to open up." said Risser. "We've sold many'l-Ruy 2000 units to leading labs in the US and around the world."

Picometrix decided to take its T-Ruy set-up a step further and migrated the instrument to a rugged 19-inch rack-mounted system in 2004. In fact, an industrial set-up was part of the company's plans from day one. "We had a customer who was paying right from the start for the development and deployment of online, real-time quality control apparatus," explained Risser, careful to abide by the terms of a non-disclosure agreement. "The client is looking to inspect up to 10000 units/min on the factory floor."

By engaging with real customers immediately. Picometrix has been fortunate in being able to home in on the technology's key features. "Obviously, the first things that attract users to the technique are big problems that are less price sensitive, because the technology is still marching down the curve in terms

Picometrix at CLEO/QELS

Security and non-destructive testing applications were the focus of David Zimdars' presentation at CLEO/QELS 2006. Speaking as part of the Terahertz Imaging and Sensing session, one of eight dedicated to THz at this year's event. Zimdars described the firm's latest high-speed set-up. Based around Picometrix's successful QA1000 instrument (see photo), a 5 m fibre-optic and electronic umbilical cord links the hardware to a gantry mounted THz head



links the hardware to a gontry mounted THz head QA1000 THz Imager forms part of the with a scan range of 1 × 1 m. high-speed scanning set-up.

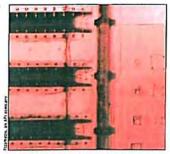
In reflection mode, the colinear transceiver operates with a working distance of 30 cm and brings the THz pulse to a 2 mm focus. With a transmitter and receiver placed around the target. objects up to 30 cm-thick can be investigated in transmission mode with a similarly sized 2 mm spot. Zimdars and his colleagues were able to image a briefcase with a pixel size of just 1.5 mm, clearly revealing its contents. The raster scan speed is 0.1 m/s, allowing an area of 1 m2 to be imaged in around 20 min.

"We transferred our telecoms packaging technology and fibre experience into terahertz."

Robin Risser, Picometrix

of cost and the aerospace market is driving this in some respects," commented Risser, "NASA's space shuttle is a high-profile example, but in general alreralt makers are moving to composite materials and there are all types of requirements for imaging defects in manufactured products as well as gathering specific spectroscopic information from packaged goods."

Other key markets for Picometrix include phurmaceutical and defence sectors us well as homeland security. "THz scanners can not only image in high resolution with radiation that is not harmful, but they can also be used to spot litems non-visually." said Risser, "Concealed weapons can be identified automatically by detecting a chemical signature or ingerprint."



Non-destructive testing: NASA is using THz imaging to monitor the foam that covers the space shuttle's external fuel tank.

Raising funds

Having stimulated the market with the T-Ray 2000 and its rack-mounted cousin the QA1000, Picometrix is now moving up a gear. "We merged with a public company colled Advanced Photonix Inc in May 2005 to become a wholly owned subsidiary," said Risser. "We are ready to start ratcheting up our sules and marketing programme and we wanted access to additional growth capital that public markets could provide at a lower cost than the private markets."

Today, Picometrix is busy working on nextgeneration compact, high-speed systems at its corporate headquarters and manufacturing facilities in Ann Arbor, Michigan, US.



YVONNE CARTS-POWELL CONTRIBUTING EDITOR

Terahertz imaging brings new capabilities to QC applications

An underused part of the spectrum offers new imaging and spectroscopic abilities for nonstructive testing and quality control.







ERAVIEW

Top surface

ubsurface interconnects

Si-die and bottom-level pins

FIGURE 1. Terahertz systems can image three planes (surface, interconnect layer, and bottom) of a microprocessor without destroying the device.

ua factority tes

uality control on the factory floor—and the closely related activity of nondestructive testing—has long used

testing—has long used visible imaging systems. Some applications also use in-

frared, ultraviolet, or x-ray wavelengths. Now, systems operating at wavelengths on the edge of IR and microwaves (about 30 µm and 1 mm)—at terahertz frequencies—are providing information that isn't available in other parts of the spectrum. Researchers are actively developing new methods and finding applications, and at least two firms are selling terahertz-imaging systems for quality control (QC) and nondestructive testing.

Terahertz benefits

Pulsed terahertz systems can provide three-dimensional (3-D) images and spectroscopic information and terahertz radiation can penetrate some materials that are opaque to the human eye. Because of these abilities, terahertz imaging has significant potential for security applications (like identifications of plastic explosives) as well as for commercial applications in situations in which other sensors cannot provide enough information—and in which that information can save a manufacturer a lot of money (see Fig. 1).

At these longer wavelengths, the lateral resolution of images is not as fine as it is at visible wavelengths. It's similar to that of the human eye, according to Robin Risser, CEO

of Picometrix (Ann Arbor, MI)—about 100 to 200 µm. However, "depth resolution is 8 to 10 µm," explains Don Arnone, president of TeraView (Cambridge, England), because the technique for imaging depth (time-of-flight) is different from lateral imaging. And many organic molecules display strong and distinctive absorption and dispersion (due to rotational and vibrational transitions) when exposed to subpicosecond terahertz pulses.

"The two show-stoppers for terahertz," says Risser, "are water and a reasonable thickness of metal—although foil-coated Mylar films can be imaged through." Because water absorbs this radiation and metal reflects it, terahertz imagers cannot see through these materials. Water and metal, however, can be used to advantage in some situations. Terahertz imaging can measure the moisture content of items inside plastic packaging, and a metal substrate below an item of interest can be used as a mirror to return more radiation to the sensor.

System basics

One reason this region of the spectrum has not been extensively explored until recently is that radiation sources were lacking. Techniques for directing and detecting the terahertz radiation come from the optical and radio-

Laser Focus World www.laserfocusworld.com July 2005 109



114 July 2005 www.laserfocusworld.com Laser Focus World

IMAGE ENGINEERING, continued

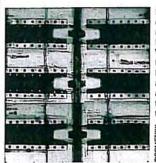


FIGURE 5. Terahertz images of foam insulation (light areas) on the external fuel tank of the space shuttle. Volds within and delamination between layers of foam Insulation appear as dark areas. This composite image shows 25 defects, only two of which are visible on the exterior of the foam.

What's next? Many industries have unmet quality-

control needs to which terahertz technology could be applied-but only if it is economically feasible. A major limiting factor of the current technology is the cost of a turnkey system, which ranges from \$200,000 to \$400,000.

The cost of the systems is expected to drop as more units are sold, but one of the challenges remains to find customers who can save substantial amounts of money by using the equipment for routine quality control, and possibly for NDT during product development.

Risser explains that the main market for terahertz technology today is in applications with no existing alternatives, or where existing NDT technology has very high operating expenses.

Much of the cost is associated with the ultrafast laser, says Arnone. If a less expensive, but still efficient, method of generating terahertz pulses is developed, the economics of the technology will improve. For groups that already own a femtosecond laser, Picometrix sells a cheaper version of its T-Ray 2000 for lab use without the laser, but the system is different from the ruggedized turnkey system sold for industrial applications.

Another challenge is in guiding temhertz radiation. To some extent Picometrix's fiber-coupled system circumvents the difficulty, and optics exist for manipulating the radiation in free-space, but more applications would be available if the systems did not require line-of-sight for imaging. Finding materials for waveguides is challenging because the most transparent materials tend to be crystalline (and therefore not flexible), while more flexible materials such as plastics and glasses have much higher absorption of these wavelengths. Furthermore, because the shape of the wave is of vital importance for many applications, the waveguide must be made of a material with low dispersion and distortion.

Researchers have demonstrated waveguiding with metal tubes, plastic ribbons, dielectric fibers, and photonic crystals designed for terahertz frequencies. Two promising approaches involve waveguiding using parallel metal plates, including work by Daniel Grischkowsky and others at Oklahoma State University (Stillwater); and a bare wire, including work by Daniel Mittleman at Rice University (Houston, TX).

FURTHER READING

List (with links) of academic groups and companies engaged in terahertz. time-domain spectrometry: www-sce.rice.edu/-dunlet/groups.html

Terahertz technology discovers its market

CLEO/QELS' terahertz programme was a big hit with conference delegates this year, running over two days and featuring more than 50 international speakers. James Tyrrell profiles Picometrix, a firm that has successfully transferred its telecoms know-how into the terahertz sector, and looks at what is driving the market today.

Judging by the enthusiastic audience at this year's CLEO/QELS event in Long Beach, US, terahertz (THz) technology remains a hot topic for the photonics industry. Lying between microwaves and the fur-infrared, non-ionizing THz radiation can safely penetrate materials such as clothing and plastics to image concealed objects and spot manufacturing flaws.

What's more, spectroscopic THz analysis can help identify explosives, detect biological agents and screen pharmaceuticals. If you couple these functions into the one device then you create a very powerful tool that has grabbed the attention of NASA and the US Department of Homeland Security, to name just two high-profile fans of the apparatus.

One of the first firms to commercialize THz technology was Picometrix, US. "We began In 1992 when THz was still very researchbased and so the foundation of the company was ultrafast optoelectronic instrumenta-tion and optical receiver components," Robin Risser, president of Picometrix and CFO of its parent company, Advanced Photonix Inc, told OLE. "However, the fast GaAs and InGaAs material that we developed for our receivers was also suitable for THz.

Distributed firstly by Newport Corporation and then through test and measurement and telecoms equipment manufacturers, the optical components were adopted by the expanding communications sector for 10 and 40 Gbps networks. As the market segment started to move and generate more revenue, Picometrix was able to put its THz plans into action.

"In 1997 we launched a full-scale major development programme on THz instrumentation," said Risser. "We did an exclusive techtransfer of all of the know-how from Lucent Bell-Labs and licensed the technology. This was just at the time when Lucent was restructuring to focus on telecommunications."

Picometrix introduced its T-Ray 2000TM



Threat detection: THz imaging can be used to locate and identify explosives (present here in the top scan).

product, a flexible research tool for both THz is hard to build a purely electronic oscillator imaging and spectroscopy, in early 2000. At that time, applications for this promising technology were hard to explore because of the difficulty in generating a reliable THz signal. Risser and his colleagues were determined to change all of that and provide researchers with a user-friendly THz instrument.

"We wrapped our technology around the Lucent technology to harden the system and make sure that we had reliable products, which were easy to use," said Risser. "This ivas a big advantage to operators, because it allowed them to concentrate on the applicution, rather than worrying about generuting a signal and re-aligning components."

Generating T-Rays

"The T-Ray 2000 is based on the photoconductive generation and detection of THz, which is a time domain method developed in the late 1980s and early 1990s," explained David Zimdars, Picometrix's manuger of THz research and development. "One of the diffi-

that will behave like a transistor and switch with any kind of power at these high speeds."

The solution is to use a femtosecond laser, which has fast optical transients. By firing high-speed laser pulses at a cleverly designed photoconductive switch, it is possible to gencrate THz radiation.

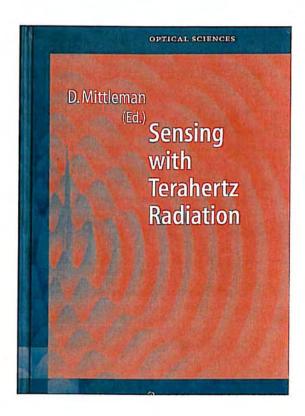
"We use a small 2 mm antenna [as the target] that is patterned on low-temperature grown GoAs and features a very small, blased gap," said Zimdars, "Ordinarily the resistivity is very high, for example you can have many mega-ohms across the gap."

When the laser pulse hits the switch, carriers are generated and current flows across the gap, which turns on in less than a picosecond and then turns off in less than a picosecond. It is this time-changing current flow that produces the THz emission.

You obtain a broadband THz pulse with spectral content from 100 GHz and beyond, which suits spectroscopy and imaging applications," commented Zimdars, "In culties of working in the Telegregime is that it many ways this process is like an optically

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15. The Applicant's goods have been positively referenced in the pertinent industry's definitive reference book, as shown below:



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Electrical and Computer Engineering Department MS-366
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USA
E-mall: daniel@rice.edu

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4 Future Prospects

One of the most exciting aspects of this field is the trengendous prospects for the future. It should be clear from the examples shown here that there are numerous potential "real-world" applications of THz imaging. In some cases, THz radiation may provide the only feasible option for certain tasks, whereas in others it might be only one of several competing technologies. It is clear that, in these latter cases, crucial factors in the successful implementation of systems of the kind described here include their cvst and case of use. These issues have historically been beyond the purview of research scientists, but modern research can no longer afford to ignore such practical concerns. In the case of THz imaging, much concerted effort has led to the develonment modern research can no longer afford to Ignore such practical concerns. In the case of THz imaging, much concerted effort has led to the development of a commercially available system based on photoconductive generation and direction techniques [20]. A photograph of the recently amounced "T-Ray 2000" spectrometer is shown in Fig. 27. This system is reliable and casy to operate, and can be reconfigured for either transmission or reflection imaging. As of this writing, this system is already operating in one factory, as an on-fine quality control monitor, with a number of other exciting prisspects on the hotizon.

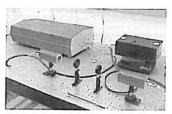


Fig. 27. Photograph of the "F-Ray 2000", the first connected THz time-domain spectrometer, manufactured by Piconectix, Inc

References

- Y. R. Shen, "Far-infrared generation by optical mixing", Prog. Quantum Electron. 4, 207 (1976).
 P. R. Smith, D. R. Auxton, M. C. Nues, "Subpicescanal photoconducting dipole antennas", IEEE J. Quantum Electron. 24, 255 (1988).
 Ch. Pattinger, D. Grischbrecky, "Terahertz beams", Appl. Phys. Lett. 54, 490 (1989).

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Picometrix

Date

Name: Robin F. Risser

Title: President and General Manager