



Certified Associate - Investment Management

Investment management is the professional asset management of various securities, including equities, fixed income, cash equivalents, and other assets such as real estate, commodities, etc. to meet specified investment goals for the benefit of investors.

Skill Board Associate certification in Investment Management assesses candidate's for fundamental knowledge of investment management front and middle office business processes and necessary skills to develop solutions to common business problems in operations and technology that enable investment management firms to deliver services to clients. The assessment is conducted through an online remote proctored test.

Candidates can choose between two certification options:

- **Certified Business Operations Associate (CBOA) - Investment Management** certification is issued to candidates with 1+ years of career experience in Securities & Capital Markets business operations and successfully pass the assessment for the CBOA - Investment Management certification.
- **Certified Business Technology Associate (CBTA) - Investment Management** certification is issued to candidates with 1+ years of career experience in Securities & Capital Markets technology and successfully pass the assessment for the CBTA - Investment Management certification.

Next available assessment date:

Apr 7

BOOK CBOA Assessment

BOOK CBTA Assessment



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Online verifiable

Digital credentials verifiable via credly.com



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Who should get certified

- Business Analysts with 1+ years of experience working in banking, asset and wealth management, custody and assets servicing.
- Developers and QAs with 1+ years of experience working on investment management applications.
- Business Operations Associates with 2+ years of experience working in banking, asset and wealth management, custody and assets servicing.
- Investment management professionals who are exploring careers opportunities in information technology.

Candidates are evaluated for

- Understanding of buy-side and sell-side firms, market infrastructure providers, regulators and their role in investment management.
- Understanding of traditional asset classes, derivatives and alternative asset classes.
- Understanding of investment management front office technology applications and business operations.

- Understanding of data and analytics powering investment management processes.
- Understanding of regulatory reporting and compliance requirements.

Assessment weightage by Knowledge Area

DOMAIN	WEIGHTAGE
Capital Market Basics Role of Buy-side and Sell-side Firms, Exchanges & Trading Venues, Custodians, Data Providers, Regulators, Asset Classes, Market Types, Trade Lifecycles.	10-20%
Investment Management Process Investment Objectives, Asset Allocation, Portfolio Construction, Portfolio Rebalancing.	10-20%
Order Management & Trade Execution Pre-trade Compliance, Order Types, Order Generation, Trade Execution, Allocation and Reconciliation.	10-20%
Transaction Management Trade Capture, Confirmation and Enrichment, Settlement Instruction, Trade Notification.	10-20%
Middle Office Functions Trade Posting, IBOR, Asset Servicing, Reconciliation, Risk, Investment Analytics.	10-20%
Analytics & Reporting Risk Analytics, Performance & Attribution, Trade Cost Analytics, Investment Reporting, Client Reporting, Regulatory Reporting.	10-20%
Securities Data Management Securities and Non-Securities Reference Data, Market Data, Security Analytics Data, Alternative Research Data.	10-20%

Asset Management Technology (applicable to CBTA Certification)

Front & Middle Office Technology Platforms & Vendor Products , FIX Messaging, Automation, Trading and Portfolio Management Application Support, Asset Management Business Architecture, Core Applications and Data Interfaces.

10-20%

Asset Management Operations (applicable to CBOP Certification)

Client Prospecting and Onboarding, Data Operations, Investment Accounting, Reconciliation, Corporate Actions Processing, Client Reporting, Fee Billing.

10-20%

Maintaining the certification

- Certified Associates are required to recertify after 3 years either by taking a recertification assessment or through continuing education credits.
- Continuing education credits may be earned by taking industry certifications, participating in industry conferences including professional presentations and writing industry thought papers that get published on skillboard.org or other online forums.

Certification Details



Certification

Investment Management



Exam Type

Associate












Prerequisite

None



Mode

Online Remote Proctoring

	Duration	60 minutes
	No. of Questions	50 questions
	Passing Score	70 %
	Question Type	Multiple Choice
	Language	English
	Exam Fee	\$ 50
	Proctoring Fee	\$ 49
	Result	Instant Upon Completion
	Validity	3 years



Let the world know your domain skills

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^ Based on information provided by candidates.

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