USA FINANCIAL

Power Your Legacy.

Founded in 1988, USA Financial has sought to reshape how advisors and investors approach financial planning and investment management. “Plan First and Invest Second” is the foundation upon which we were built, and we ingrain that approach through our education, comprehensive planning, and investment innovation. We believe that by focusing on the individual as a whole rather than just their portfolio, we can create a better financial future for our financial advisors and investors.

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USA FINANCIAL®

ADVISOR LEGACY COLLECTION

Power Your Legacy.

The Advisor Legacy Collection

Our commitment to Power Your Legacy is about more than just building your legacy; we're committed to helping you preserve that legacy as well. The Advisor Legacy Collection is a comprehensive group of programs designed to help you align the growth of your business alongside that of USA Financial, while providing additional options to you along the way.

Advisor BLUE BOOK:
Benchmark the enterprise value of your practice.

The Advisor BLUE BOOK is an estimation tool to help benchmark the valuation of your advisory practice. Using eleven advisory revenue source classifications, plus length-of-deal, and percentage factor adjustments, we calculate three personalized BLUE BOOK estimates for your advisory practice.

- Short-term Emergency Continuity (4-6 years)
- Short-term Strategic Transition (4-6 years)
- Long-term Strategic Transition (7-9 years)

Advisor SHARES:
Participate in the enterprise value of USA Financial.

Advisor SHARES is our way of sharing our success with our advisors. Structured as a phantom stock plan, shares are earned/issued quarterly to qualifying advisors using the Advisor BLUE BOOK revenue sources that flow through any USA Financial subsidiary. Shares would be paid out in the same fashion as direct ownership, upon a merger or acquisition of USA Financial in the future.

Advisor AMBASSADOR:
Share in the enterprise value of other top advisors.

The Advisor AMBASSADOR program rewards advisors for introducing other high-quality, independent financial advisors to USA Financial. This program helps to grow the enterprise value of USA Financial, which potentially creates a larger Advisor SHARE pool for all qualifying advisors. In addition, it creates a new, immediate income stream for the introducing advisor (known as an AMBASSADOR). The revenue stream will continue in perpetuity, provided both advisors remain in good standing with USA Financial and qualify for the Advisor SHARES program.

Advisor HR:
Fortify the enterprise value of your practice.

The Advisor HR implementation package is specifically designed to assist the advisor/entrepreneur in proper development of staff as well as proper management of employment risk and liability. As financial advisory practices grow, this is often the most overlooked and neglected area, yet carries some of the most significant liability for the business owner.

Advisor EMERGENCY:
Safeguard the enterprise value of your practice.

Do you have an emergency continuity plan should something unexpected occur? USA Financial can be your safety net for those advisors who qualify.

Advisor SALE:
Capitalize on the enterprise value of your practice.

The Advisor SALE program provides an option for qualifying advisors to transition and sell their business to USA Financial. Our team would work closely with you to orchestrate a strategic transition for you and your clients. This would allow you to capitalize on the enterprise value of your practice with minimal disruption to the clients that have been a part of your life and business throughout the years.

Advisor CONTINUITY:
Redeem the enterprise value of your practice.

If you are seeking the sale/acquisition of your practice to the next generation of advisors, family, sub-producers, or any advisor within the USA Financial universe, USA Financial is willing to provide assistance and guidance as desired by qualifying advisors.

Additional circumstances may affect an advisor's participation in these programs. Please contact USA Financial for requirements and more information on each individual program.

USA Financial provides various valuation services and guidance as a courtesy to affiliated advisors. The valuation tools provided are estimates only, and are not guaranteed to be accurate.