Flexible 401(k) Plans for Financ ×

Guideline Inc. [US] | https://www.guideline.com/advisors

Guideline for Advisors

(888) 588-1168 advisors@guideline.com Log in

Give Your Clients a Great 401(k)

We make it easy.

Plan Administration, Compliance, and Investment Management

Partner with us

First Name

Last Name

Work email address

Learn More

The Only 401(k) Partner You Need

We do all the administration, compliance, and recordkeeping to make your job easy.

Setup is a snap

Work with our 401(k) specialists to launch a custom plan in no time. Completely integrated with payroll software.

We take responsibility

We're a 3(16) and 3(38) fiduciary, an ASPPA Certified Recordkeeper and Plan Administrator.

Compliance is on us

We file Form 5500, offer safe harbor plans, and perform ongoing nondiscrimination testing.

How we'll partner up

Fiduciary Responsibility	Guideline Plan Administrator & Investment Manager ERISA 3(16) + 3(38)	Investment Advisors ERISA 3(21)
Prepare & submit form 5500	✓	
Plan document maintenance	✓	
Participant enrollment & communications	✓	
Distributions & loans	✓	
Select, monitor & update plan investments	✓	
Plan design review & consultation	✓	✓
Educate about investments	✓	✓
Personalized investment consultation		✓