

Mediant

BROKER SERVICES

Enriching customer relationships through better communications



www.mediantinc.com

OUR

Broker Services

Brokers are challenged with managing the proliferating touchpoints within investor communications. Between proxy statements, prospectuses, mutual fund reports, and more, your customers may be getting in excess of one hundred types of documents from their securities issuers. Mediant's broker services help you turn compliance into opportunity by enabling you to use every document as a chance to enhance your customer's experience and build a better relationship.

WHY MEDIANT BROKER SERVICES

TECHNOLOGY

Our single, integrated MIC platform provides a robust suite of solutions for financial advisors, retail investors, and managed accounts.

EFFICIENCY

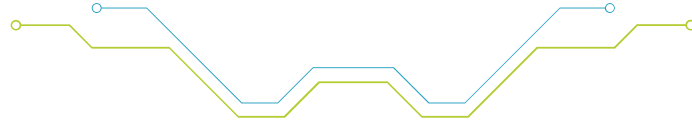
Mediant offers increased efficiency by streamlining the investor communications process combined with transparent, real-time reporting. This way, we reduce your compliance risk and allow brokers to focus on their clients' needs.

BEST-OF-BREED EXPERTISE

Mediant specializes in investor communications. We partner with leading firms like Donnelley Financial Solutions to ensure we're providing scale. Our expert teams provide true partnership and measurable results.

STRICT COMPLIANCE

Documented business rules and frequent regulatory updates and alerts ensure strict compliance with evolving regulatory requirements.



WHAT We Do

Mediant provides the brokerage industry's only end-to-end solution for investor communications. Our single, integrated platform offers total transparency and flexibility to be customized specifically to your needs.

ELECTRONIC INVESTOR COMMUNICATIONS

- E-delivery
- Document hosting
- Compliance monitoring

STATEMENTS & CONFIRMS

- Statements, confirms, and prospectuses
- Print and electronic distribution

PRE-SALE SUPPORT

- Advisor delivery of prospectus and marketing materials to investors

POST-SALE PROSPECTUS & REGULATORY

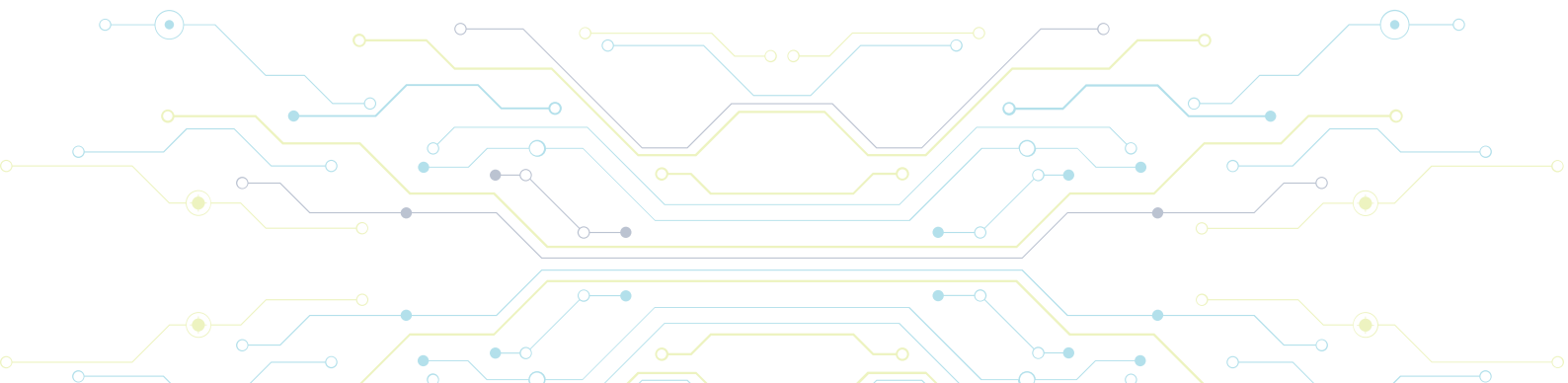
- T+1 prospectus fulfillment for daily broker trades
- Inline combined confirm and prospectus
- Distribution of mutual fund regulatory documents

PROXY SERVICES

- Distribution, voting, and tabulation for retail and managed accounts

CORPORATE ACTIONS & BANKRUPTCY

- Voluntary reorganizations and bankruptcy notifications customized to client requirements



ABOUT

Mediant

Mediant powers investor communications through intelligent technology and passionate people. We don't settle for the status quo. Mediant actively develops game-changing new technologies for banks, brokers, corporate issuers, funds, and investment advisors.

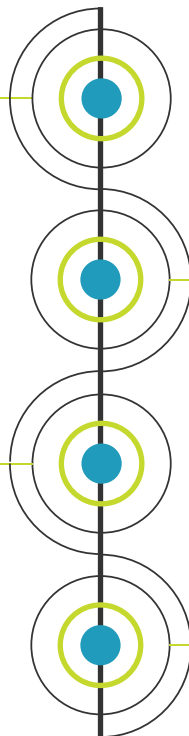
We connect the entire investor communications community through our single, secure platform. Unlock new levels of efficiency and accuracy through the unprecedented transparency of the Mediant platform. Our goal? To help you enrich your customer relationships through better communications.

TECHNOLOGY LEADERSHIP

A single, integrated technology platform offers new levels of process efficiency, quality, and business transparency

COMMITMENT TO SECURITY

Committed to protecting your data with well-defined technical security controls and a dedicated, certified information security team



UNRIVALED BUSINESS VALUE

Compelling economics that deliver outstanding value

EXCEPTIONAL CLIENT SERVICE

We go above and beyond to deliver an exceptional experience for our clients

THE MEDIANT SOLUTIONS SUITE FOR BROKERS

Mediant provides a comprehensive suite of solutions designed to support every investor communications touchpoint.

The 'MIC' logo is a large, grey diamond shape with the letters 'MIC' in white, bold, sans-serif font. It is centered on the page and overlaid on a background of abstract, overlapping shapes in light blue and light green, with a dotted circular pattern around it.

MIC

MIC PROXY MANAGER

- Makes proxy voting, reporting, and account management easy and reliable

MIC INVESTOR MAILBOX

- Documents become immediately available to investors in MIC Investor Mailbox
- Support for all investor communications, including proxy ballots, meeting materials, prospectuses, mutual fund reports, corporate action notifications, statements, trade confirmations, and more

MIC OPERATIONS MANAGER

- Unparalleled transparency with granular detail on all jobs
- Piece-level tracking and proof of delivery data
- Robust compliance monitoring

MIC ADVISOR MAILBOX

- Immediate access for advisors to see all investor communications
- Custom alert e-mails and calendar reminders

Discover how Mediant can help
by contacting a member of our expert team.

**Call us at 877-753-4756
or e-mail info@mediantinc.com**

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