

Trademark/Service Mark Application, Principal Register
Handwritten Signature

To the Commissioner for Trademarks:

MARK: ASCENSUS (stylized and/or with design, see below)

 ASCENSUS

The literal element of the mark consists of ASCENSUS. The applicant is not claiming color as a feature of the mark. The mark consists of the word ASCENSUS to the right of a triangular shape with a concave bottom, with the left side of the triangular shape composed of a single triangle, and the bottom right side of the triangular shape composed of three smaller triangles.

The applicant, Ascensus, LLC, a limited liability company legally organized under the laws of Delaware, having an address of
200 Dyden Road
Dresher, Pennsylvania 19025
United States

requests registration of the trademark/service mark identified above in the United States Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946 (15 U.S.C. Section 1051 et seq.), as amended, for the following

International Class 036: Administration of health plans, Administration of retirement plans and retirement accounts, Administration of savings plans and savings accounts, Administration of benefit plans for others; Recordkeeping for retirement plans and retirement accounts; Recordkeeping for savings plans and savings accounts; Recordkeeping for benefit plans for others; Consulting services in the field of retirement plans and retirement accounts; Consulting services in the field of fiduciary compliance; Consulting services in the field of benefit plans; Consulting services in the field of savings plans and accounts, Providing information in the field of retirement plans and retirement accounts, Providing information in the field of insurance, Providing information in the field of financial planning, Providing information in the field of benefit plans; Providing information in the field of savings plans and savings accounts, Providing investment account financial information; Financial services, namely, a web-based portal developed to provide financial advisers with access to information on their clients' investment accounts, Financial custody services, namely, maintaining possession of assets of retirement plans, assets of benefit plans and assets of trusts for others; Financial services, namely, a service that allows employers and employees to sign up for and contribute to investment plans and accounts through payroll deductions, Financial transaction processing; Actuarial services; Trustee services for retirement plans, benefit plans and trusts; Investment fund services featuring acceptance of monetary contributions to investment accounts

Intent to Use: The applicant has a bona fide intention, and is entitled, to use the mark in commerce on or in connection with the identified goods/services

Claim of Active Prior Registration(s)

The applicant claims ownership of active prior U.S. Registration Number(s) 3651929, 4832593, 5054994, and others

Translation

The English translation of "ASCENSUS" in the mark is "a moving upward".

The applicant hereby appoints Jennifer L. Dean. Other appointed attorneys are Robert Cannuscio, Brian Coleman, Melissa Dillenbeck, Darren Cahr, Diane J. Mason, Tom T. DeBella, Jennifer T. Criss, Kelly M. Horein and Renato Perez. Jennifer L. Dean of Drinker Biddle & Reath LLP, is a member of the District of Columbia bar, admitted to the bar in 1998, bar membership no. 459976, and the attorney(s) is located at

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1500 K Street, N.W.
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The attorney docket/reference number is 587152

Jennifer L. Dean submitted the following statement: The attorney of record is an active member in good standing of the bar of the highest court of a U.S. state, the District of Columbia, or any U.S. Commonwealth or territory.

The applicant's current Correspondence Information:

Jennifer L. Dean
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Email Authorization: I authorize the USPTO to send email correspondence concerning the application to the applicant, the applicant's attorney, or the applicant's domestic representative at the email address provided in this application. I understand that a valid email address must be maintained and that the applicant or the applicant's attorney must file the relevant subsequent application-related submissions via the Trademark Electronic Application System (TEAS). Failure to do so will result in the loss of TEAS Reduced Fee status and a requirement to submit an additional processing fee of \$125 per international class of goods/services.

A fee payment in the amount of \$275 will be submitted with the application, representing payment for 1 class(es)

Declaration

Read the following statements before signing. Acknowledge the statements by signing below

Basis:

If the applicant is filing the application based on use in commerce under 15 U.S.C. § 1051(a):

- o The signatory believes that the applicant is the owner of the trademark/service mark sought to be registered,
- o The mark is in use in commerce on or in connection with the goods/services in the application,
- o The specimen(s) shows the mark as used on or in connection with the goods/services in the application, and
- o To the best of the signatory's knowledge and belief, the facts recited in the application are accurate

And/Or

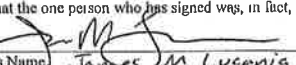
If the applicant is filing the application based on an intent to use the mark in commerce under 15 U.S.C. § 1051(b), § 1126(d), and/or § 1126(e):

- o The signatory believes that the applicant is entitled to use the mark in commerce,
- o The applicant has a bona fide intention to use the mark in commerce on or in connection with the goods/services in the application; and
- o To the best of the signatory's knowledge and belief, the facts recited in the application are accurate

- To the best of the signatory's knowledge and belief, no other persons, except, if applicable, concurrent users, have the right to use the mark in commerce, either in the identical form or in such near resemblance as to be likely, when used on or in connection with the goods/services of such other persons, to cause confusion or mistake, or to deceive
- To the best of the signatory's knowledge, information, and belief, formed after an inquiry reasonable under the circumstances, the allegations and other factual contentions made above have evidentiary support
- The signatory being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements and the like may jeopardize the validity of the application or submission or any registration resulting therefrom, declares that all statements made of his/her own knowledge are true and all statements made on information and belief are believed to be true.

Signature Section:

NOTE: The same person may sign the declaration on behalf of the other joint applicant(s), by merely making identical entries. The USPTO will presume that the one person who has signed was, in fact, authorized to sign on behalf of the other person(s)

Signature: 
Signatory's Name: James M. Lucania
Signatory's Position: CFO
Date Signed: 10/21/19