

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM635219

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
XP INVESTMENTS US, LLC		03/10/2021	Limited Liability Company: DELAWARE
RECEIVING PARTY DATA			
Name:	XP INVESTIMENTOS S/A		
Street Address:	Av. Chedid Jafet, 75, Torre Sul, 30th floor, Vila Olimpia		
City:	São Paulo		
State/Country:	BRAZIL		
Postal Code:	04551-065		
Entity Type:	sociedade anônima: BRAZIL		
PROPERTY NUMBERS Total: 6			
Property Type	Number	Word Mark	
Registration Number:	6245761	XP SECURITIES	
Registration Number:	5807966	XP INVESTMENTS	
Registration Number:	6164812	XP	
Registration Number:	6154043	XP	
Registration Number:	6158898	XP PRIVATE	
Registration Number:	6154042	XP PRIVATE	
CORRESPONDENCE DATA			
Fax Number:			
	<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	561-653-5000		
Email:	ip@akerman.com		
Correspondent Name:	Akerman LLP		
Address Line 1:	777 S. Flagler Dr., Ste. 1100 West Tower		
Address Line 4:	West Palm Beach, FLORIDA 33401		
ATTORNEY DOCKET NUMBER:	0346878		
NAME OF SUBMITTER:	Peter A. Chiabotti		
SIGNATURE:	/Peter A. Chiabotti/		
DATE SIGNED:	03/29/2021		

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Total Attachments: 4

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TRADEMARK ASSIGNMENT

This Trademark Assignment ("Assignment") is made and entered into as of March 10, 2021 ("Effective Date"), by and between XP INVESTMENTS US, LLC, a limited liability company organized and existing under the laws of Delaware and located at 55 W 46th Street, 30th Floor, New York, NY 10036 ("Assignor") and XP INVESTIMENTOS S/A, a sociedade anônima organized and existing under the laws of Brazil and located at Av. Chedid Jafet, 75, Torre Sul, 30th floor, Vila Olimpia, São Paulo, Brazil 04551-065 ("Assignee").

Recitals

A. Assignor wishes to assign to Assignee, and Assignee wishes to acquire from Assignor, all right, title, and interest in and to certain Trademarks (as defined below) and related rights, together with the goodwill connected with the use of and symbolized by such Trademarks, subject to the terms and conditions set forth herein;

B. Assignee and Assignor desire to record the assignment set forth in this Assignment with the United States Patent and Trademark Office and any other public records for which recording is deemed appropriate by Assignee.

C. Now, therefore, Assignor and Assignee agree as follows:

Terms

1. Assignment. For \$10 and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby irrevocably conveys, transfers, and assigns to Assignee, and Assignee hereby accepts, all of Assignor's right, title, and interest in and to the following:

(a) the trademark, service mark, brand, logo, trade dress, and trade name registrations and applications, and all goods and services therein, set forth on Schedule 1 hereto and all issuances, extensions, and renewals thereof and all common law rights therein (the "Assigned Trademarks"), together with the goodwill of the business connected with the use of, and symbolized by, the Assigned Trademarks;

(b) all rights of any kind whatsoever of Assignor accruing under any of the foregoing provided by applicable law of any jurisdiction, by international treaties and conventions, and otherwise throughout the world;

(c) any and all royalties, fees, income, payments, and other proceeds now or hereafter due or payable with respect to any and all of the foregoing; and

(d) any and all claims and causes of action with respect to any of the foregoing, whether accruing before, on, or after the date hereof, including all rights to and claims for damages, restitution, and injunctive and other legal and equitable relief for past, present, and future infringement, dilution, misappropriation, violation, misuse, breach, or default, with the right but

no obligation to sue for such legal and equitable relief and to collect, or otherwise recover, any such damages.

2. Further Assurances. Assignor shall execute any instruments or documents and perform all other acts necessary or appropriate, in the reasonable discretion of the Assignee, to further evidence the intent and purpose of this Assignment.

3. Recordation and Further Actions. Assignor hereby authorizes the Commissioner for Trademarks in the United States Patent and Trademark Office and other Offices to record and register this Assignment upon request by Assignee.

4. Governing Law. This Assignment and any claim, controversy, dispute, or cause of action (whether in contract, tort, or otherwise) based upon, arising out of, or relating to this Assignment and the transactions contemplated hereby shall be governed by the Brazilian Law and the parties elect the courts of Rio de Janeiro as the only with jurisdiction to settle possible disputes related to this Assignment.

5. Counterparts. This Assignment is executed in two counterparts, with the same text and in the presence of two undersigning witnesses. Delivery of an executed counterpart of a signature page to this Assignment by facsimile, portable document format or other electronic means shall be effective as delivery of a manually executed counterpart to this Assignment.

6. Successors and Assigns. This Assignment shall be binding upon and shall inure to the benefit of the parties hereto and their respective successors and assigns.

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IN WITNESS WHEREOF, the parties have executed this Assignment intending to be legally bound as of the Effective Date.

ASSIGNOR:

XP INVESTMENTS US, LLC

By: Jared Wilson
Name: Jared Wilson
Title: _____

ASSIGNEE:

XP INVESTIMENTOS S/A

By: Bernardo Amaral Fabrizio Almeida
Name: Bernardo Amaral Fabrizio Almeida
Title: _____
















WITNESSES:

1) Pedro Madureira de Pinho Luzes
Full name: Pedro Madureira de Pinho Luzes
Nationality:
Profession:
Address:

2) Érika Napoleão do Rêgo
Full name: Érika Napoleão do Rêgo
Nationality:
Profession:
Address:

Schedule 1
Assigned Trademarks

Registrations and Applications

Docket No.	Country	Mark	App. No.	Filing Date	Reg No.	Reg. Date
11693-01CH	Switzerland		61585/2017	9/18/2017	712096	1/19/2018
11693-01EM	European Union		17207481	9/13/2017	17207481	8/1/2018
11693-01HK	Hong Kong		304705047	10/19/2018	304705047	10/19/2018
11693-01KR	South Korea		4020180145134	10/23/2018	n/a	n/a
11693-01SG	Singapore		40201821564V	10/19/2018	40201821564V	4/16/2019
11693-01US	United States		87551851	8/1/2017	6245761	01/12/2021
11693-02CH	Switzerland		1438378	10/16/2018	1438378	10/16/2018
11693-02EM	European Union		1438378	10/16/2018	1438378	10/16/2018
11693-02HK	Hong Kong		304705038	10/19/2018	304705038	10/19/2018
11693-02KR	South Korea		1438378	10/16/2018		
11693-02SG	Singapore		40201825569W	10/16/2018	40201825569W	6/13/2019
11693-02US	United States		87/885059	4/19/2018	5807966	7/16/2019
11693-02WP	International		1438378	10/16/2018	1438378	10/16/2018
11693-04US	United States	XP	88/234072	12/18/2018	6164812	9/29/2020
11693-05US	United States		88/234098	12/18/2018	6154043	9/15/2020
11693-06US	United States	XP PRIVATE	88/233994	12/18/2018	6158898	9/22/2020
11693-07US	United States		88/234034	12/18/2018	6154042	9/15/2020