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# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM589720

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
STONEX GROUP INC.	FORMERLY INTL FCSTONE INC.	07/31/2020	Corporation: DELAWARE

### **RECEIVING PARTY DATA**

Name:	The Bank of New York Mellon, as Collateral Agent	
Street Address:	240 Greenwish Street	
City:	New York	
State/Country:	NEW YORK	
Postal Code:	10286	
Entity Type:	Chartered Bank: NEW YORK	

### **PROPERTY NUMBERS Total: 5**

Property Type	Number	Word Mark
Registration Number:	4883818	COMMODITYNETWORK
Registration Number:	3192246	COFFEENETWORK
Registration Number:	2690530	FCSTONE
Registration Number:	2486273	FC STONE
Registration Number:	6113161	STONEX

### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 212.940.6562

**Email:** joanne.arnold@katten.com

Correspondent Name: Joanne BL Arnold

Address Line 1: Katten

Address Line 2: 575 Madison Avenue

Address Line 4: New York, NEW YORK 10022-2585

NAME OF SUBMITTER:	Joanne BL Arnold
SIGNATURE:	/Joanne BL Arnold/
DATE SIGNED:	07/31/2020

**Total Attachments: 3** 

TRADEMARK REEL: 007012 FRAME: 0653

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source=C.15 - Notice of Grant of Security Interest in Trademarks - StoneX Group Inc#page1.tif source=C.15 - Notice of Grant of Security Interest in Trademarks - StoneX Group Inc#page2.tif source=C.15 - Notice of Grant of Security Interest in Trademarks - StoneX Group Inc#page3.tif

TRADEMARK REEL: 007012 FRAME: 0654 Notwithstanding anything herein to the contrary, the lien and security interest granted to The Bank of New York Mellon, in its capacity as collateral agent (in such capacity, the "Collateral Agent"), pursuant to the Security and Pledge Agreement, dated as of July 31, 2020 (as the same may be amended, supplemented, modified or replaced from time to time, the "Security Agreement"), by and among StoneX Group Inc. (formerly known as INTL FCStone Inc.) (the "Company"), the subsidiaries of the Company from time to time party thereto as Obligors and the Collateral Agent and the exercise of any right or remedy by the Collateral Agent under the Security Agreement are subject to the provisions of the Intercreditor Agreement, dated as of June 11, 2020 (as the same may be amended, supplemented, modified or replaced from time to time, the "Intercreditor Agreement"), by and among Bank of America, N.A., as First Lien Agent, The Bank of New York Mellon, as Second Lien Agent, Bank of America, N.A., as Control Agent, the Company and the Guarantors (as defined therein) from time to time party thereto. In the event of any conflict between the terms of the Intercreditor Agreement and the Security Agreement, the terms of the Intercreditor Agreement shall govern.

NOTICE

**OF** 

### GRANT OF SECURITY INTEREST

IN

### **TRADEMARKS**

United States Patent and Trademark Office

Ladies and Gentlemen:

Please be advised that pursuant to the Security and Pledge Agreement, dated as of July 31, 2020 (as the same may be amended, supplemented, modified or replaced from time to time, the "Agreement"), by and among the Obligors party thereto (each, an "Obligor" and, collectively, the "Obligors") and The Bank of New York Mellon, as collateral agent (the "Collateral Agent") for the holders of the Secured Obligations referenced therein, the undersigned Obligor has granted a continuing security interest in and continuing lien upon the trademarks and trademark applications shown below to the Collateral Agent for the ratable benefit of the holders of the Secured Obligations:

## **TRADEMARKS**

Trademark Registration No.	Description of Trademark Item	Date of Trademark Registration
4883818	COMMODITYNETWORK	01/12/2016
3192246	COFFEENETWORK	01/02/2007
2690530	FCSTONE	02/25/2003
2486273	FC STONE	09/04/2001
6113161	STONEX	07/28/2020

# TRADEMARK APPLICATIONS

**Description of** Trademark Applied for

Date of Trademark Applications

TRADEMARK REEL: 007012 FRAME: 0655

Trademark Applications No.

None.

The undersigned Obligor and the Collateral Agent, on behalf of the holders of the Secured Obligations, hereby acknowledge and agree that the security interest in the foregoing trademarks and trademark applications (i) may only be terminated in accordance with the terms of the Agreement and (ii) is not to be construed as an assignment of any trademark or trademark application.

Very truly yours,

**OBLIGOR:** 

STONEX GROUP INC. (formerly known as INTL

FCSTONE INC.),

a Delaware corporation

By:

Name: 1 iam J. Dunaway Title:i ef Financial Officer

[Signature Page to Notice of Grant of Security Interest in Trademarks]

Acknowledged and Accepted:

THE BANK OF NEW YORK MELLON, as Collateral Agent

By: Shumon Withwest

Name: Shannon Matthews

Title: Agent

[Signature Page to Notice of Grant of Security Interest in Trademarks]

AMERICAS 103139800

**RECORDED: 07/31/2020** 

TRADEMARK REEL: 007012 FRAME: 0657