

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM587142

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	Confirmatory Deed of Assignment Effective April 8, 2019		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
NOVARTIS PHARMACEUTICALS CORPORATION		04/30/2020	Corporation: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	ALCON INC.		
<b>Street Address:</b>	RUE LOUIS-D'AFFRY 6		
<b>City:</b>	1701 FRIBOURG		
<b>State/Country:</b>	SWITZERLAND		
<b>Entity Type:</b>	Corporation: SWITZERLAND		
<b>PROPERTY NUMBERS Total: 2</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	1914057	EYESCRUB	
<b>Registration Number:</b>	2015784	GENTEAL	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2165796073		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	216-579-1700		
<b>Email:</b>	lhawkins@pearne.com		
<b>Correspondent Name:</b>	JOHN P. MURTAUGH/PEARNE & GORDON LLP		
<b>Address Line 1:</b>	1801 EAST 9TH STREET, SUITE 1200		
<b>Address Line 4:</b>	CLEVELAND, OHIO 44114-3108		
<b>ATTORNEY DOCKET NUMBER:</b>	BRAQ--J8635		
<b>NAME OF SUBMITTER:</b>	JOHN P. MURTAUGH		
<b>SIGNATURE:</b>	/johnpmurtaugh/		
<b>DATE SIGNED:</b>	07/16/2020		
<b>Total Attachments: 11</b>			
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(002)-wProxy#page11.tif

**Confirmatory Deed of Assignment  
UNITED STATES**

This DEED is made between:

corporation

**Novartis Pharmaceuticals Corporation**, a ~~company~~ duly organized and existing under the laws of the State of Delaware, of One Health Plaza, Building 430, East Hanover, NJ 07936, USA  
("the Assignor");

and

corporation

**Alcon Inc.**, a ~~company~~ duly organized and existing under the Laws of Switzerland, of *Rue Louis-d'Alfly 6, 1701 Fribourg, Switzerland*  
("the Assignee");

WHEREAS:

- (a) The Assignor was the proprietor of TM Reg. Nos. 1,914,057 EYESCRUB and 2,015,784 GENTEAL, both in Class 05 in the United States (hereinafter "the Trademarks");
- (b) Pursuant to the Intellectual Property Assignment Agreement dated April 8, 2019, Assignor has assigned Assignor's entire right, title and interest in and to the Trademarks to Assignee;
- (c) The Assignment of the Trademarks became effective on **April 8, 2019**.

IT IS AGREED THAT:

For good and valuable consideration, receipt of which is hereby acknowledged by the Assignor, the Assignor hereby confirms that it has assigned, transferred and set over unto the Assignee and its successors, effective on **April 8, 2019**, all right, title and interests in the United States in the Trademarks together with the goodwill of the business represented and symbolised by the Trademarks, and the Assignee accepts the said assignment.

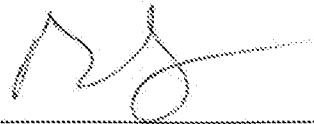
IN WITNESS WHEREOF, the Assignor and Assignee have caused this Confirmatory Assignment to be executed by their authorized representatives.

Signed as a Deed in Munich on behalf of the  
Assignor this 30<sup>th</sup> day of April 2020 by



Alizée Morthion, Proxy-Holder of Novartis  
Pharmaceuticals Corporation

Signed as a Deed in Munich on behalf of the  
Assignee this 30<sup>th</sup> day of April 2020 by



Michal Molcan, Proxy-Holder of Alcon Inc.

APOSTILLE

(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

1. COUNTRY: UNITED STATES OF AMERICA
2. THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY:  
LILA E FREEMAN
3. ACTING IN THE CAPACITY OF:  
NOTARY PUBLIC OF NEW JERSEY
4. BEARS THE SEAL/STAMP OF:  
LILA E FREEMAN, NOTARY

CERTIFIED

5. AT TRENTON, NEW JERSEY
6. THE 21ST DAY OF MAY 2019
7. BY: Elizabeth Maher Muoio  
State Treasurer
8. NO: A684153
9. SEAL/STAMP:
10. SIGNATURE



A handwritten signature in cursive script, appearing to read "Elizabeth Maher Muoio".

Elizabeth Maher Muoio  
State Treasurer

Certificate Number: 141413943

Verify this certificate at  
[https://www1.state.nj.us/TYTR\\_StandingCert/ISP/Verify\\_Cert.jsp](https://www1.state.nj.us/TYTR_StandingCert/ISP/Verify_Cert.jsp)

POWER OF ATTORNEY

THIS DEED made on the 15<sup>th</sup> of May 2019:

- Novartis AG, a company organized and existing under the laws of Switzerland;
- Novartis Pharma AG, a company organized and existing under the laws of Switzerland;
- Novartis Pharmaceuticals Corporation, a company organized and existing under the laws of the State Delaware, USA;

(each of the above companies shall be individually and collectively referred to hereafter as "the Company")

WITNESSETH as follows:

1. Appointment

Each company hereby appoints

- Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Dr. Volker Spitz, born on January 17th, 1961, CEO of Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Laura Morris, born on June 26, 1980, Director Renewals at Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Anna (Ganna) Popova, born on April 6<sup>th</sup>, 1982, Director IP Recordals at Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Faustine Waternaux, born on May 10, 1981, Renewals Project Leader at Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Alizée Mothion, born on April 14, 1988, Renewals Project Leader at Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Michal Molcan, born on February 09<sup>th</sup>, 1988, Project Leader in IP Recordals Department of Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Juliane Weiß, born on May 17<sup>th</sup>, 1991, Project Leader in IP Recordals Department of Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany

to act on behalf of the company in respect of exclusively the recordal/submission of

- assignment
- merger

- change of name
- change of legal entity
- change of address
- renewals and other related maintenance tasks (such as reclassification, affidavits, annuity payments, merging of registrations, etc.)
- licenses and sub-licenses

of the trademarks, patents, designs and other intellectual property rights of the company, and for no other purpose.

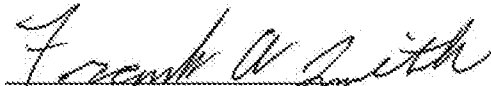
For the aforesaid purpose, we hereby authorize said agents to appoint a substitute, or substitutes, to execute and amend any documents in order to maintain our trademarks, patents, designs and other intellectual property rights in force.

This Appointment is revocable at any time and supersedes as of its effective date all previous such appointments and/or powers of attorney relating to the subject matter of this deed.


IN WITNESS WHEREOF this Deed has been executed for and on behalf of the Company on the day and year before written.

East Hanover, United States, 15<sup>th</sup> May 2019

**Novartis AG**

  
\_\_\_\_\_  
Frank A. Smith

Authorized Signatory  
VP, IP Transactions

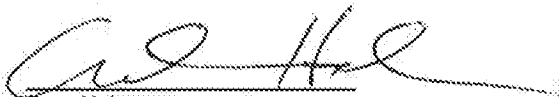
  
\_\_\_\_\_  
Dillon Kim

Authorized Signatory  
Group IP Litigation and Transactions

[See Next Page for Additional Signatures]

East Hanover, United States, 16<sup>th</sup> May 2019

**Novartis Pharma AG**

  
\_\_\_\_\_

Drew Holmes

Authorized Signatory  
Senior Patent Attorney

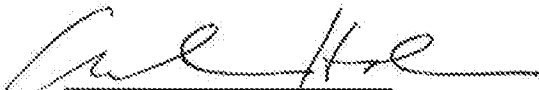
  
\_\_\_\_\_

Gregory Ferraro

Authorized Signatory  
Senior Patent Attorney

East Hanover, United States, 16<sup>th</sup> May 2019

**Novartis Pharmaceutical Corporation**

  
\_\_\_\_\_

Drew Holmes

Authorized Signatory  
Senior Patent Attorney

  
\_\_\_\_\_

Gregory Ferraro

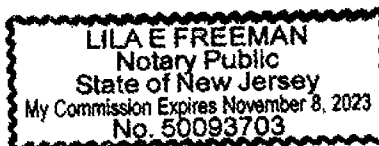
Authorized Signatory  
Senior Patent Attorney

State of New Jersey )  
 )SS:  
County of Middlesex )

On this 15<sup>th</sup> day of May, 2019, before me, **Lila E. Freeman** a notary public, the undersigned, **Frank A. Smith** and **Dillon Kim** personally appeared, known to me (or satisfactorily proven) to be the person whose name is subscribed to the within instrument, and acknowledged that he executed the same for the purposes therein contained.

In witness hereof, I hereunto set my hand and official seal.

  
Notary Public

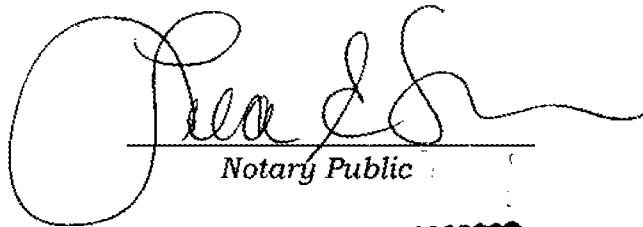




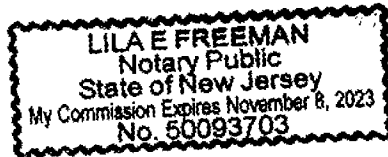
state of New Jersey )  
 )SS:  
County of Middlesex )

On this 16<sup>th</sup> day of May, 2019, before me, **Lila E. Freeman** a notary public, the undersigned, **Drew Holmes**, and **Gregory Ferraro** personally appeared, known to me (or satisfactorily proven) to be the person whose name is subscribed to the within instrument, and acknowledged that he executed the same for the purposes therein contained.

In witness hereof, I hereunto set my hand and official seal.



Notary Public





# The State of Texas

Secretary of State

Not for use within the United States of America

This Apostille only certifies the signature, the capacity of the signer and the seal or stamp it bears. It does not certify the content of the document for which it was issued.

Certificate Validation available at [www.sos.state.tx.us](http://www.sos.state.tx.us)

## APOSTILLE

(Convention de La Haye du 5 Octobre 1961)

- |                                |   |
|--------------------------------|---|
| 1. Country                     | United States of America  |
| This public document           |   |
| 2. has been signed by          | BARBARA MCKENZIE  |
| 3. acting in the capacity of   | Notary Public, State of Texas   |
| 4. and bears the seal/stamp of | BARBARA MCKENZIE,<br>Notary Public, State of Texas,<br>Commission Expires: 11-18-21 |

## CERTIFIED

- |                                       |                         |
|---------------------------------------|-------------------------|
| 5. at Austin, Texas                   | 6. on September 9, 2019 |
| 7. by the Secretary of State of Texas |                         |
| 8. Certificate No. 11797522           |                         |
| 9. Seal                               | 10. Signature:          |



A handwritten signature in black ink, appearing to read "Ruth R. Hughs", written over a horizontal line.

Ruth R. Hughs  
Secretary of State

GF/aw

The State of Texas     §  
County of Tarrant     §

**BEFORE ME**, Notary Public for and in Fort Worth, Texas, on this date personally appeared Christine Bohmann and Patrick M. Ryan known to me or proved to me on the basis of satisfactory evidence to be the persons whose names are subscribed to the foregoing instrument and acknowledged to me that he executed the same of the purposes and consideration therein expressed. Given under my hand and seal of office this 29th day of August, 2019.

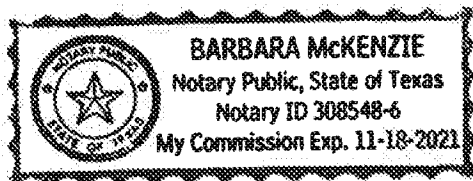
**IN WITNESS WHEREOF**, I have hereunto set my hand and affixed my notarial seal this 29th day of August, 2019, at Fort Worth, Texas.

*Barbara McKenzie*  
NOTARY PUBLIC

Barbara McKenzie  
Printed Name of Notary Public

Notary Commission Expires: November 18, 2021

[SEAL]



POWER OF ATTORNEY

THIS DEED made on the 20<sup>th</sup> day of August, 2019, by:

- **Alcon-Couvreur N.V.**, a company organized and existing under the laws of Belgium.
- **Alcon Grieshaber AG**, a company organized and existing under the laws of Switzerland;
- **Alcon Healthcare**, a company organized and existing under the laws of Spain
- **Alcon Inc. (CH)**, a company organized and existing under the laws of Switzerland;
- **Alcon Lensx, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Alcon Pharmaceuticals Ltd.**, a company organized and existing under the laws of Switzerland;
- **Alcon Portugal-Produtos e Equipamentos Oftalmológicos Lda**, a company organized and existing under the laws of Spain
- **Alcon (Puerto Rico), Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Alcon RefractiveHorizons, LLC**, a company organized and existing under the laws of the State of Delaware, USA;
- **Alcon Research, LLC**, a company organized and existing under the laws of the State Delaware, USA;
- **Alcon Vision, LLC**, a company organized and existing under the laws of the State Delaware, USA;
- **CIBA Vision GmbH**, a company organized and existing under the laws of Germany;
- **CIBA Vision, LLC**, a company organized and existing under the laws of the State Delaware, USA;
- **Clarvista Medical, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Optonol Ltd.**, a company organized and existing under the laws of Israel;
- **PowerVision, Inc.**, a company organized and existing under the laws of the State of Delaware, USA;
- **Tear Film Innovations, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Transcend Medical, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **TrueVision Systems, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **WaveLight GmbH**, a company organized and existing under the laws of Germany;

(each of the above companies shall be individually and collectively referred to hereafter as "the Company")

WITNESSETH as follows:

I. Appointment

Each company hereby appoints

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- licenses and sub-licenses

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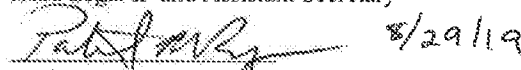
IN WITNESS WHEREOF this Deed has been executed for and on behalf of the Company on the day and year before written.

Fort Worth, Texas

 08/29/19

Christine Bohmann  
Head Legal IP and Assistant Secretary

Fort Worth, Texas

 8/29/19

Patrick M. Ryan  
Head Patent Strategy and Operations