ETAS ID: TM586662

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

**SUBMISSION TYPE: NEW ASSIGNMENT** 

**NATURE OF CONVEYANCE: SECURITY INTEREST** 

#### **CONVEYING PARTY DATA**

| Name                | Formerly | Execution Date | Entity Type                            |
|---------------------|----------|----------------|--|
| PLAID INC.          |          | 07/14/2020     | Corporation: DELAWARE                  |
| QUOVO, INC.         |          | 07/14/2020     | Corporation: DELAWARE                  |
| RAMBLER TRELLIS LLC |          | 07/14/2020     | Limited Liability Company:<br>DELAWARE |

#### **RECEIVING PARTY DATA**

| Name:           | SILICON VALLEY BANK        |
|-----------------|----------------------------|
| Street Address: | 505 Howard Street, Floor 3 |
| City:           | San Francisco              |
| State/Country:  | CALIFORNIA                 |
| Postal Code:    | 94105                      |
| Entity Type:    | Corporation: CALIFORNIA    |

#### **PROPERTY NUMBERS Total: 7**

| Property Type        | Number   | Word Mark |
|----------------------|----------|-----------|
| Registration Number: | 4038989  | QUOVO     |
| Registration Number: | 4755482  | PLAID     |
| Registration Number: | 5044352  | PLAID     |
| Registration Number: | 5128315  | PLAID     |
| Registration Number: | 5621524  | QUOVO     |
| Serial Number:       | 88728543 | PLAID     |
| Serial Number:       | 88729069 | PLAID     |

#### **CORRESPONDENCE DATA**

Fax Number: 8004947512

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

202-370-4756 Phone:

ipteam@cogencyglobal.com Email:

**Correspondent Name:** Jay daSilva

Address Line 1: 1025 Vermont Ave NW, Suite 1130

COGENCY GLOBAL INC. Address Line 2: Address Line 4: Washington, D.C. 20005

| ATTORNEY DOCKET NUMBER:                        | 1243194 TM        |  |
|--|-------------------|--|
| NAME OF SUBMITTER:                             | Alicia Vellante   |  |
| SIGNATURE:                                     | /Alicia Vellante/ |  |
| DATE SIGNED:                                   | 07/15/2020        |  |
| Total Attachments: 10                          |                   |  |
| source=NEW_YORK1934115-v2-IPS/                 | APlaid#page1.tif  |  |
| source=NEW_YORK1934115-v2-IPSAPlaid#page2.tif  |                   |  |
| source=NEW_YORK1934115-v2-IPSAPlaid#page3.tif  |                   |  |
| source=NEW_YORK1934115-v2-IPSAPlaid#page4.tif  |                   |  |
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| source=NEW_YORK1934115-v2-IPSAPlaid#page10.tif |                   |  |

#### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement (this "Agreement") is entered into as of July 14, 2020, by and among (a) **SILICON VALLEY BANK**, a California corporation, with a loan production office located at 505 Howard Street, Floor 3, San Francisco, California 94105 ("Bank") and (b) (i) **PLAID INC.**, a Delaware corporation ("**Plaid**"), (ii) **QUOVO, INC.**, a Delaware corporation ("**Quovo**") and (iii) **RAMBLER TRELLIS LLC**, a Delaware limited liability company ("**Rambler**", and, together with Plaid and Quovo, jointly and severally, individually and collectively, "**Borrower**") each with its principal place of business located at 1098 Harrison St, San Francisco, California 94103 ("Grantor").

#### RECITALS

- A. Bank has agreed to make certain advances of money and to extend certain financial accommodations to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and between Bank and Grantor dated as of the date hereof (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement). Bank is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Bank a security interest in its Copyrights, Trademarks, Patents, and Mask Works (as each term is described below) to secure the obligations of Grantor to Bank.
- B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of Grantor's obligations to Bank, Grantor hereby represents, warrants, covenants and agrees as follows:

#### **AGREEMENT**

- 1. <u>Grant of Security Interest</u>. To secure Grantor's obligations to Bank, Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its intellectual property (all of which shall collectively be called the "Intellectual Property Collateral"), including, without limitation, the following:
- (a) Any and all of its copyright rights, copyright applications, copyright registrations and like protections in each work of authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held, including without limitation those set forth on Exhibit A attached hereto (collectively, the "Copyrights");

- (b) Any and all of its trade secrets, and any and all of its intellectual property rights in computer software and computer software products now or hereafter existing, created, acquired or held;
- (c) Any and all of its design rights that may be available to Grantor now or hereafter existing, created, acquired or held;
- (d) All of its patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the "Patents");
- (e) Any of its trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the "Trademarks");
- (f) All of its mask works or similar rights available for the protection of semiconductor chips, now owned or hereafter acquired, including, without limitation those set forth on Exhibit D attached hereto (collectively, the "Mask Works");
- (g) Any and all of its claims for damages by way of past, present and future infringements of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;
- (h) All of its licenses or other rights to use any of the Copyrights, Patents, Trademarks, or Mask Works and all license fees and royalties arising from such use to the extent permitted by such license or rights;
- (i) All of its amendments, extensions, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and
- (j) All of its proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.
- 2. <u>Recordation</u>. Grantor authorizes the Commissioner for Patents, the Commissioner for Trademarks and the Register of Copyrights and any other government officials to record and register this Agreement upon request by Bank.
- 3. <u>Authorization</u>. Grantor hereby authorizes Bank to (a) modify this Agreement unilaterally by amending the exhibits to this Agreement solely to include any Intellectual Property Collateral which Grantor obtains subsequent to the date of this Agreement, and (b) file a duplicate original of this Agreement containing amended exhibits reflecting such new Intellectual Property Collateral.
- 4. <u>Loan Documents</u>. This Agreement has been entered into pursuant to and in conjunction with the Loan Agreement, which is hereby incorporated by reference. The

provisions of the Loan Agreement shall supersede and control over any conflicting or inconsistent provision herein. The rights and remedies of Bank with respect to the Intellectual Property Collateral are as provided by the Loan Agreement and related documents, and nothing in this Agreement shall be deemed to limit such rights and remedies.

- 5. <u>Execution in Counterparts</u>. This Agreement may be executed in counterparts (and by different parties hereto in different counterparts), each of which shall constitute an original, but all of which when taken together shall constitute a single contract. Delivery of an executed counterpart of a signature page to this Agreement by facsimile or in electronic (i.e., "pdf" or "tif" format) shall be effective as delivery of a manually executed counterpart of this Agreement.
- 6. <u>Successors and Assigns</u>. This Agreement will be binding on and shall inure to the benefit of the parties hereto and their respective successors and assigns.
- 7. Governing Law. This Agreement and any claim, controversy, dispute or cause of action (whether in contract or tort or otherwise) based upon, arising out of or relating to this Agreement and the transactions contemplated hereby and thereby shall be governed by, and construed in accordance with, the laws of the United States and the State of California, without giving effect to any choice or conflict of law provision or rule (whether of the State of California or any other jurisdiction).

[Signature page follows.]

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

#### **GRANTOR:**

#### PLAID INC.

By Eduary Perret

Name: Zachary Perret Title: Chief Executive Officer

#### QUOVO, INC.

By Eadlary Perry
4CD609207B614B2...

Name: Zachary Perret

Title: Chief Executive Officer

#### RAMBLER TRELLIS LLC

By Eathary Perret

Name: Zachary Perret

Title: Chief Executive Officer

#### **BANK:**

### SILICON VALLEY BANK

By Carley Brandt

Name: Carley Brandt Title: Managing Director

# EXHIBIT A

Copyrights

DescriptionRegistration/Registration/ApplicationApplicationNumberDate

None.

## EXHIBIT B

## Patents

| Description   | Registration/ Application Number | Registration/ Application Date | Country       |
|---|----------------------------------|--------------------------------|---------------|
| SYSTEM AND METHOD FOR PROGRAMMATICALLY<br>ACCESSING FINANCIAL DATA  | 16/396505                        | 2019-04-26                     | United States |
| SYSTEM AND METHOD FOR FACILITATING PROGRAMMATIC VERIFICATION OF TRANSACTIONS                                    | 16/800543                        | 2020-02-25                     | United States |
| SECURE PERMISSIONING OF ACCESS TO USER ACCOUNTS, INCLUDING SECURE DEAUTHORIZATION OF ACCESS TO USER ACCOUNTS    | 16/688192                        | 2019-11-19                     | United States |
| SECURE PERMISSIONING OF ACCESS TO USER ACCOUNTS, INCLUDING SECURE DEAUTHORIZATION OF ACCESS TO USER ACCOUNTS    | 16/688263                        | 2019-11-19                     | United States |
| SECURE PERMISSIONING OF ACCESS TO USER ACCOUNTS, INCLUDING SECURE DEAUTHORIZATION OF ACCESS TO USER ACCOUNTS    | 2016321166                       | 2018-03-07                     | Australia     |
| SECURE PERMISSIONING OF ACCESS TO USER ACCOUNTS, INCLUDING SECURE DEAUTHORIZATION OF ACCESS TO USER ACCOUNTS    | 2997115                          | 2018-03-01                     | Canada        |
| SECURE PERMISSIONING OF ACCESS TO USER ACCOUNTS, INCLUDING SECURE DEAUTHORIZATION OF ACCESS TO USER ACCOUNTS    | 16844973.4                       | 2018-03-08                     | Europe        |
| PARAMETER-BASED COMPUTER EVALUATION OF USER ACCOUNTS BASED ON USER ACCOUNT DATA STORED IN ONE OR MORE DATABASES | 15/159714                        | 2016-05-19                     | United States |
| PARAMETER-BASED COMPUTER EVALUATION OF USER ACCOUNTS BASED ON USER ACCOUNT DATA STORED IN ONE OR MORE DATABASES | 16/900052                        | 2020-06-12                     | United States |
| SYSTEMS AND METHODS FOR ESTIMATING PAST AND PROSPECTIVE ATTRIBUTE VALUES ASSOCIATED WITH A USER ACCOUNT         | 15/160663                        | 2016-05-20                     | United States |
| SECURE AUTHORIZATION OF ACCESS TO USER ACCOUNTS<br>BY ONE OR MORE AUTHORIZATION MECHANISMS                      | 16/570630                        | 2018-09-13                     | United States |
| SECURE PERMISSIONING OF ACCESS TO USER ACCOUNTS, INCLUDING SECURE DISTRIBUTION OF AGGREGATED USER ACCOUNT DATA  | 16/384810                        | 2019-04-15                     | United States |
| SECURE PERMISSIONING OF ACCESS TO USER ACCOUNTS, INCLUDING SECURE DISTRIBUTION OF AGGREGATED USER ACCOUNT DATA  | PCT/US19/27548                   | 2019-04-15                     | WIPO          |
| BROWSER-BASED AGGREGATION   | 16/042147                        | 2018-07-23                     | United States |
| DATA VERIFIED DEPOSITS  | 16/042618                        | 2018-07-23                     | United States |
| SECURE PERMISSIONING OF ACCESS TO USER ACCOUNTS, INCLUDING SECURE DEAUTHORIZATION OF ACCESS TO USER ACCOUNTS    | 63/020260                        | 2020-05-05                     | United States |
| SYSTEM AND METHOD OF FILTERING INTERNET TRAFFIC VIA CLIENT FINGERPRINT  | 16/730037                        | 2019-12-30                     | United States |
| SYSTEM AND METHOD OF FILTERING INTERNET TRAFFIC VIA CLIENT FINGERPRINT  | PCT/US19/68944                   | 2019-12-30                     | WIPO          |
| SYSTEM AND METHOD FOR MAINTAINING INTERNET<br>ANONYMITY VIA CLIENT FINGERPRINT                                  | 16/880114                        | 2020-05-21                     | United States |
| SYSTEM AND METHOD LINKING TO ACCOUNTS USING CREDENTIAL-LESS AUTHENTICATION                                      | 62/901266                        | 2019-09-17                     | United States |

| SYSTEM AND METHOD FOR FACILITATING DIGITAL       |            | 2010 12 17 | United States |
|--|------------|------------|---------------|
| FINANCIAL TRANSFERS                              | 62/949414  | 2019-12-17 |               |
| SYSTEM AND METHOD FOR ASSESSING FRAUD IN ACH     | 62/949417  | 2019-12-17 | United States |
| TRANSFERS  | 02/949417  | 2019-12-17 |               |
| SYSTEM AND METHOD FOR PROGRAMMATICALLY           |            |            | United States |
| ACCESSING FINANCIAL DATA                         | 9,449,346  | 2016-09-20 |               |
| SYSTEM AND METHOD FOR PROGRAMMATICALLY           |            |            | United States |
| ACCESSING FINANCIAL DATA                         | 10,319,029 | 2019-06-11 |               |
| SYSTEM AND METHOD FOR FACILITATING               |            |            | United States |
| PROGRAMMATIC VERIFICATION OF TRANSACTIONS        | 9,595,023  | 2017-03-14 |               |
| SYSTEM AND METHOD FOR FACILITATING               |            |            | United States |
| PROGRAMMATIC VERIFICATION OF TRANSACTIONS        | 10,614,463 | 2020-04-07 |               |
| SECURE PERMISSIONING OF ACCESS TO USER ACCOUNTS, |            |            | United States |
| INCLUDING SECURE DEAUTHORIZATION OF ACCESS TO    |            |            |               |
| USER ACCOUNTS                                    | 10,003,591 | 2018-06-19 |               |
| SECURE PERMISSIONING OF ACCESS TO USER ACCOUNTS, |            |            | United States |
| INCLUDING SECURE DEAUTHORIZATION OF ACCESS TO    |            |            |               |
| USER ACCOUNTS                                    | 10,523,653 | 2019-12-31 |               |
| SECURE PERMISSIONING OF ACCESS TO USER ACCOUNTS, |            |            | United States |
| INCLUDING SECURE DEAUTHORIZATION OF ACCESS TO    |            |            |               |
| USER ACCOUNTS                                    | 10,104,059 | 2018-10-16 |               |
| SECURE PERMISSIONING OF ACCESS TO USER ACCOUNTS, |            |            | United States |
| INCLUDING SECURE DEAUTHORIZATION OF ACCESS TO    |            |            |               |
| USER ACCOUNTS                                    | 10,530,761 | 2020-01-07 |               |

## **EXHIBIT C**

# Trademarks

| Trademark        | Country        | Registration/ Application Number | Status                |
|------------------|----------------|----------------------------------|-----------------------|
| PLAID            | Argentina      | 3860823                          | Active                |
| PLAID            | Argentina      | 3860824                          | Active                |
| PLAID            | Argentina      | 3860825                          | Active                |
| PLAID            | Argentina      | 3860826                          | Active                |
| PLAID            | Australia      | 2058191                          | Active                |
| PLAID            | Australia      | 1780779                          | Registered            |
| PLAID            | Australia      | 1810958                          | Registered            |
| PLAID            | Brazil         | 919050786                        | Notice of Publication |
| PLAID            | Brazil         | 919050794                        | Notice of Publication |
| PLAID            | Brazil         | 919050808                        | Notice of Publication |
| PLAID            | Brazil         | 919050816                        | Notice of Publication |
| PLAID            | Brazil         | 919050824                        | Notice of Publication |
| PLAID and Design | Canada         | 1789772                          | Registered            |
| PLAID            | Canada         | 1811317                          | Registered            |
| PLAID            | Canada         | 2002612                          | Active                |
| PLAID            | Chile          | 1344989                          | Notice of Publication |
| PLAID            | China          | 43980402                         | Active                |
| PLAID            | China          | 43980403                         | Active                |
| PLAID            | China          | 43980404                         | Active                |
| PLAID            | European Union | 18168771                         | Notice of Publication |
| PLAID and Design | European Union | 015603376                        | Registered            |
| PLAID            | European Union | 016130635                        | Registered            |
| PLAID            | Hong Kong      | 305148207                        | Notice of Publication |
| PLAID            | India          | 4382042                          | Notice of Publication |
| PLAID            | India          | 4382043                          | Notice of Publication |
| PLAID            | India          | 4382044                          | Notice of Publication |
| PLAID            | India          | 4382045                          | Notice of Publication |
| PLAID            | Japan          | 2019-162084                      | Active                |
| PLAID            | Korea - South  | 40-2019-198957                   | Active                |
| PLAID            | Mexico         | 2306731                          | Notice of Publication |
| PLAID            | Mexico         | 2306732                          | Notice of Publication |
| PLAID            | Mexico         | 2306735                          | Notice of Publication |
| PLAID            | Mexico         | 2306736                          | Notice of Publication |
| PLAID and Design | New Zealand    | 1046737                          | Registered            |
| PLAID            | New Zealand    | 1055719                          | Registered            |
| PLAID            | New Zealand    | 1137126                          | Notice of Publication |
| PLAID            | Nigeria        | F/TM/O/2019/165080               | Active                |
| PLAID            | Norway         | 201916737<br>308497              | Registered            |
| PLAID            | Philippines    | 4-2019-509235                    | Active                |
| PLAID            | Singapore      | 40201927392U                     | Active                |
| PLAID            | South Africa   | 2019/36727                       | Active                |
| PLAID            | South Africa   | 2019/36728                       | Active                |

| PLAID | South Africa   | 2019/36729    | Active     |
|-------|----------------|---------------|------------|
| PLAID | South Africa   | 2019/36730    | Active     |
| PLAID | United Kingdom | 40201927392U  | Active     |
| PLAID | Philippines    | 4-2019-509235 | Active     |
| PLAID | Singapore      | 40201927392U  | Active     |
| PLAID | South Africa   | 2019/36727    | Active     |
| PLAID | South Africa   | 2019/36728    | Active     |
| PLAID | South Africa   | 2019/36729    | Active     |
| PLAID | South Africa   | 2019/36730    | Active     |
| PLAID | United Kingdom | 40201927392U  | Active     |
| PLAID | Philippines    | 4-2019-509235 | Active     |
| PLAID | Singapore      | 40201927392U  | Active     |
| PLAID | South Africa   | 2019/36727    | Active     |
| PLAID | South Africa   | 2019/36728    | Active     |
| PLAID | South Africa   | 2019/36729    | Active     |
| PLAID | South Africa   | 2019/36730    | Active     |
| PLAID | United Kingdom | 40201927392U  | Active     |
| PLAID | United Kingdom | 3452256       | Registered |
| PLAID | United States  | 88/728,543    | Active     |
| QUOVO | United States  | 77/784,956    | Registered |
|       |                | 4,038,989     |            |
| PLAID | United States  | 86/433,425    | Registered |
|       |                | 4,755,482     |            |
| PLAID | United States  | 86/870,906    | Registered |
|       |                | 5,044,352     |            |
| PLAID | United States  | 87/071,232    | Registered |
|       |                | 5,128,315     |            |
| QUOVO | United States  | 87/871,570    | Registered |
|       |                | 5,621,524     |            |
| PLAID | United States  | 88/729,069    | Active     |

EXHIBIT D

Mask Works

Description

Registration/ Application Number Registration/ Application <u>Date</u>

None.

TRADEMARK REEL: 006998 FRAME: 0951

**RECORDED: 07/15/2020**