

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM538759

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
FASA, LLC		08/28/2019	Limited Liability Company: MASSACHUSETTS
RECEIVING PARTY DATA			
Name:	Stephen Burke		
Street Address:	25 Farm Street		
City:	Dover		
State/Country:	MASSACHUSETTS		
Postal Code:	02030		
Entity Type:	INDIVIDUAL: UNITED STATES		
Name:	John Pickart		
Street Address:	9 Amber Road		
City:	Hingham		
State/Country:	MASSACHUSETTS		
Postal Code:	02043		
Entity Type:	INDIVIDUAL: UNITED STATES		
PROPERTY NUMBERS Total: 3			
Property Type	Number	Word Mark	
Registration Number:	5775130	PELAGOS	
Registration Number:	3613967	PELAGOS CAPITAL	
Registration Number:	5689164	PELAGOS CAPITAL MANAGEMENT	
CORRESPONDENCE DATA			
Fax Number:			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Email:	sburke@pelagoscapi.com		
Correspondent Name:	Stephen Burke		
Address Line 1:	25 Farm Street		
Address Line 4:	Dover, MASSACHUSETTS 02030		
NAME OF SUBMITTER:	J. Matthew Gowdy		

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SIGNATURE:	/J. Matthew Gowdy/
DATE SIGNED:	08/29/2019
Total Attachments: 1 source=Pelagos Trademark Assignment#page1.tif	

Trademark Assignment

WHEREAS, FASA, LLC with its principal place of business at One International Place, 25th Floor, Boston, MA 02110 ("Assignor"), has adopted, owns and is using the trademarks PELAGOS, registered with the United States Patent and Trademark Office on June 11, 2019, registration number 5,775,130 for "Financial planning and investment advisory services; financial risk management; financial risk management consultation; financial services, namely, operation and management of hedge funds, commodity pools and other collective investment vehicles, and trading for others of securities, options, futures, derivatives, debt instruments and commodities; financial portfolio management; fund investment consultation; hedge fund investment services; investment advisory services; investment consultancy; investment consultation; investment management; investment advice" in class 36; PELAGOS CAPITAL, registered on April 28, 2009, registration number 3,613,967 for "Financial services, namely, investment management services" in class 36; and PELAGOS CAPITAL MANAGEMENT, registered on March 5, 2019, registration number 5,689,164 for "financial portfolio management; financial risk management; financial risk management consultation; financial services, namely, operation and management of hedge funds, commodity pools and other collective investment vehicles, and trading for others of securities, options, futures, derivatives, debt instruments and commodities; fund investment consultation; hedge fund investment services; investment advice; investment management; investment advisory services; investment consultancy; investment consultation; financial planning and investment advisory services" in class 36.

WHEREAS, Stephen Burke and John Pickart ("Assignees"), both individuals, with an address of 25 Farm Street, Dover, MA 02030 and 9 Amber Road, Hingham, MA 02043 desire to jointly acquire the above referenced Registrations therefore;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee all right, title and interest in the registration, together with the goodwill of the business symbolized by the trademark thereof.

FASA, LLC

By: 

Name: Steven J. Gray

Title: Vice President and Asst. Secretary

By: 

Name: Stephen Burke

By: 

Name: John Pickart

By: _____

Dated effective as of: August 28, 2019

TRADEMARK