# OP \$415.00 298296

#### TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM524090

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Notice of Succession of Agency

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Morgan Stanley Senior Funding, Inc.		05/17/2019	Corporation: DELAWARE

#### **RECEIVING PARTY DATA**

Name:	JPMorgan Chase Bank, N.A.	
Street Address: 4 Chase Metrotech Center		
Internal Address:	MC: NY1-C413	
City:	Brooklyn	
State/Country:	NEW YORK	
Postal Code:	11245-0001	
Entity Type:	Association: UNITED STATES	

#### **PROPERTY NUMBERS Total: 16**

Property Type	Number	Word Mark
Registration Number:	2982964	GPEX
Registration Number:	2879688	VEGICAPS
Registration Number:	2926522	GPEX
Registration Number:	1547936	ZYDIS
Registration Number:	1538697	VEGICAPS
Registration Number:	3353661	LIQUI-GELS
Registration Number:	1841627	LIQUIGEL
Registration Number:	1626643	LIQUI-GELS
Registration Number:	3412228	HINGEPAC
Registration Number:	3158024	CLINICAMBIO
Registration Number:	3158022	CLINICAMBIO DATA EXCHANGE
Registration Number:	2920287	PHARMATEK LABORATORIES
Registration Number:	2697017	PHARMATEK.COM
Registration Number:	4925303	PHARMATEK
Registration Number:	2471718	HOT ROD CHEMISTRY
Registration Number:	2471714	HOTRODCHEMISTRY.COM

#### **CORRESPONDENCE DATA**

TRADEMARK

900499085 REEL: 006648 FRAME: 0587

**Fax Number:** 8009144240

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 800-713-0755

Email: Michael.Violet@wolterskluwer.com, ECarrera@cahill.com

Correspondent Name: CT Corporation

Address Line 1: 4400 Easton Commons Way

Address Line 2: Suite 125

Address Line 4: Columbus, OHIO 43219

NAME OF SUBMITTER:	Elaine Carrera
SIGNATURE:	/Elaine Carrera/
DATE SIGNED:	05/17/2019

#### **Total Attachments: 13**

source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page1.tif source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page2.tif source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page3.tif source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page5.tif source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page5.tif source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page7.tif source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page8.tif source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page9.tif source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page10.tif source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page10.tif source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page11.tif source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page12.tif source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page12.tif source=a42. Catalent - Notice of Agency Transfer in Intellectual Property (Trademark)#page13.tif

# NOTICE OF SUCCESSION OF AGENCY AND ASSIGNMENT OF SECURITY INTEREST IN INTELLECTUAL PROPERTY

This NOTICE OF SUCCESSION OF AGENCY AND ASSIGNMENT OF SECURITY INTEREST IN INTELLECTUAL PROPERTY (this "Notice"), dated as of May 17, 2019 (the "Effective Date"), is executed by Morgan Stanley Senior Funding, Inc., in its capacity as Collateral Agent under the Original Agreements (as defined below) (the "Prior Agent"), and JPMorgan Chase Bank, N.A., in its capacity as Collateral Agent under the Current Agreement (as defined below) (the "Successor Agent").

WHEREAS, pursuant to that certain Credit Agreement, dated as of April 10, 2007, by and among Catalent Pharma Solutions, Inc., a Delaware corporation (the "Borrower"), PTS Intermediate Holdings LLC, a Delaware limited liability company ("Holdings"), Prior Agent and certain other parties thereto (as amended and restated on May 20, 2014 and as further amended, restated, amended and restated, supplemented or otherwise modified prior to the date hereof, the "Original Credit Agreement"), the Prior Agent and (i) the Borrower, Holdings and the Subsidiaries of Holdings from time to time party thereto (collectively, the "Grantors"), entered into that certain Security Agreement, dated as of April 10, 2007 (the "Original Security Agreement"), (ii) the Borrower, Holdings and the Grantors listed therein entered into that certain Intellectual Property Security Agreement, dated as of April 10, 2007 (the "2007 IP Security Agreement"), (iii) the Borrower, Holdings and the Grantors listed therein entered into that certain Intellectual Property Security Agreement Supplement, dated as of April 2, 2012 (the "2012 IP Security Agreement"), (iv) the Borrower, Holdings and the Grantors listed therein entered into that certain Intellectual Property Security Agreement Supplement, dated as of November 14, 2014 (the "2014 IP Security Agreement"), and (v) the Borrower, Holdings and the Grantors listed therein entered into that certain Intellectual Property Security Agreement Supplement, dated as of November 3, 2016 (the "2016 IP Security Agreement" and, together with the 2007 IP Security Agreement, the 2012 IP Security Agreement and the 2014 IP Security Agreement, the "Intellectual Property Security Agreements"), pursuant to which the Grantors granted a security interest in and to certain intellectual property collateral (the "IP Collateral");

WHEREAS, (i) the 2007 IP Security Agreement was recorded with the Patent Division of the United States Patent and Trademark Office ("USPTO") on May 22, 2007 at Reel/Frame 019323/0302, with respect to the intellectual property identified on Schedule A attached hereto, and with the Trademark Division of the USPTO on May 22, 2007 at Reel/Frame 3546/0712, with respect to the intellectual property identified on Schedule B attached hereto, (ii) the 2012 IP Security Agreement was recorded with the Patent Division of the USPTO on September 19, 2012 at Reel/Frame 029007/0559, with respect to the intellectual property identified on Schedule C attached hereto, and with the Trademark Division of the USPTO on September 19, 2012 at Reel/Frame 4864/0298, with respect to the intellectual property identified on Schedule D hereto, (iii) the 2014 IP Security Agreement was recorded with Patent Division of the USPTO on November 21, 2014 at Reel/Frame 034414/0616, with respect to the intellectual property identified on Schedule E attached hereto, and (iv) the 2016 IP Security Agreement was recorded with the Trademark Division of the USPTO on November 10, 2016 at Reel/Frame 5918/0606, with respect to the intellectual property identified on Schedule F attached hereto, and with the United States Copyright Office on November 17, 2016 at Volume/Document 9941/524, with respect to the intellectual property identified on Schedule G attached hereto;

WHEREAS, the Original Credit Agreement was amended, as of May 17, 2019 (as amended, the "<u>Current Agreement</u>"), and in connection with the transactions under the Current Agreement, the Prior Agent, the Successor Agent, Borrower and certain other parties have entered into that certain Resignation, Consent and Appointment Agreement, dated as of May 17, 2019 (the "<u>Resignation Agreement</u>"), whereby the Prior Agent resigned as Collateral Agent and is succeeded to and replaced by the Successor Agent as successor Collateral Agent;

WHEREAS, pursuant to the Resignation Agreement, the Prior Agent assigned to the Successor Agent, and the Successor Agent accepted, all of the Prior Agent's rights and obligations under the Intellectual Property Security Agreements, including the security interests held by the Prior Agent in all of the Grantors' right, title and interest in, to and under the IP Collateral, including the intellectual property identified on Schedules A through G attached hereto.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

- 1. <u>Defined Terms</u>. All capitalized terms used, but not otherwise defined herein, shall have the respective meanings ascribed in or otherwise referenced in the Resignation Agreement.
- 2. <u>Succession and Replacement of Agency</u>. Pursuant to the terms and conditions set forth in the Resignation Agreement, the Prior Agent has ceased to be Collateral Agent under such capacity and is succeeded to and replaced by the Successor Agent as Collateral Agent under such capacity.
- 3. Assignment of Security Interest. Pursuant to the terms and conditions set forth in the Resignation Agreement, the Prior Agent hereby assigns to the Successor Agent the Prior Agent's entire security interest in all of Grantors' right, title and interest in, to and under the IP Collateral, including the intellectual property identified on Schedules A through  $\underline{G}$  attached hereto.
- 4. Purpose. This Notice has been executed and delivered for the purpose of recording the assignment of security interest with the United States Patent and Trademark Office and the United States Copyright Office. The security interest assigned hereby has been granted in connection with the Current Agreement and other Loan Documents, and assigned pursuant to the Resignation Agreement, and is expressly subject to the terms and conditions thereof. The parties hereto do hereby further acknowledge and affirm that the rights and remedies with respect to the security interest in the IP Collateral are more fully set forth in the Current Agreement, other Loan Documents, and the Resignation Agreement, the terms and provisions of which are incorporated by reference herein as if fully set forth herein and nothing herein shall be deemed to terminate, interrupt or impair the continuity of the security interest in the IP Collateral originally granted to the Prior Agent under the Intellectual Property Security Agreements, which security interest is now succeeded by and transferred to the Successor Agent. The Current Agreement, other Loan Documents, and Resignation Agreement shall remain in full force and effect in accordance with their terms.
- 5. <u>Counterparts</u>. This Agreement may be executed in counterparts, each of which will be deemed an original, but all of which together constitute one and the same original.

[Signature pages follow]

IN WITNESS WHEREOF, the parties have executed this Notice effective as of the Effective Date:

MORGAN STANLEY SENIOR FUNDING,

INC., as Prior Agent

Name: Lisa Hanson

Name: Lisa Hanson Title: Vice President

[Signature page to Notice of Change in Agency]

JPMORGAN CHASE BANK, N.A., as Successor Agent

By:\_\_\_ Name:

Title:

Vanessa Chiu Executive Director

[Signature page to Notice of Change in Agency]

#### Schedule A

# 2007 IP Security Agreement, Patents Recorded May 22, 2007 at Reel/Frame 019323/0302

	Owner	Patent Title	Appl. No. Filing Date	Patent No. Issue Date
1.	Catalent Pharma Solutions, LLC	Host Cells Containing Multiple Integrating Vectors	09897511	6852510
			06/29/2001	02/08/2005
2.	Catalent Pharma Solutions, LLC	Host Cells Containing Multiple Integrating Vectors	11018895	7332333
	, , , , , , , , , , , , , , , , , , ,		12/21/2004	02/19/2008
3.	Catalent Pharma Solutions, LLC	Expression Vectors	10947881	7378273
	, , , , , , , , , , , , , , , , , , ,		09/23/2004	05/27/2008
4.	Catalent Pharma Solutions, LLC	Fusion Antibodies	10900928	7696322
	<u>'</u>		07/28/2004	04/13/2010
5.	Catalent Pharma Solutions, LLC	Retrovirus-based genomic screening	10397569	7384738
			03/26/2003	06/10/2008
6.	R.P. Scherer Technologies, Inc. and	Drop Dispenser	29257372	D543118
	Sun Pharma Global FZE	Stop Suspenser	04/03/2006	05/22/2007
7.	R.P. Scherer Technologies, Inc.	Constricted Neck Blister Pack And Apparatus And	09775952	6588180
	This is general recimerogies, inc.	Method For Making The Same	02/02/2001	07/08/2003
8.	R.P. Scherer Technologies, Inc. and	Oral Pharmaceutical Compositions Containing Long-	09914576	6652880
0.	Cana Lab Corporation	Chain Triglycerides And Liphophilic Surfactants	12/21/2001	11/25/2003
9.	R.P. Scherer Technologies, Inc.	Opioid Agonist In A Fast Dispersing Dosage Form	09936060	6680071
٠.	14.1. Senerer recimologies, inc.	Optota Agonist in AT ast Dispersing Dosage Form	01/14/2002	01/20/2004
10.	R.P. Scherer Technologies, Inc.	Fast-Dispersing Dosage Forms Containing Fish	09959018	6709669
10.	K.I. Scherci Technologies, Inc.	Gelatin	10/16/2001	03/23/2004
11.	R.P. Scherer Technologies, Inc.	Antihistamine Formulations For Soft Gelatin Dosage	09909313	6720002
11.	K.I. Scherci Technologies, Inc.	Forms	07/20/2001	04/13/2004
12.	R.P. Scherer Technologies, Inc.	Process For Preparing Pharmaceutical Compositions	09649947	6387400
12.	K.I. Scherer Technologies, Inc.	For Use With Soft Gelatin Formulations	08/29/2000	05/14/2002
13.	R.P. Scherer Technologies, Inc.	Opioid Agonist In A Fast Dispersing Dosage Form	10647761	7090866
1.7.	K.I. Scherci Technologies, Inc.	Optota Agonist in A Last Dispersing Dosage Lorin	08/25/2003	08/15/2006
14.	R.P. Scherer Technologies, Inc.	Fast-dispersing dosage forms containing fish gelatin	10685728	9192580
17.	K.I. Scherci Technologies, Inc.	1 ast-dispersing dosage forms containing fish gerath	10/14/2003	11/24/2015
15.	R.P. Scherer Technologies, Inc.	Antihistamine Formulations For Soft Capsule	10384868	7201921
13.	K.I. Scherer Technologies, Inc.	Dosage Forms	03/10/2003	04/10/2007
16.	R.P. Scherer Technologies, Inc.	Child-resistant blister pack	10141288	6830153
10.	K.F. Scherer Technologies, Inc.	Child-resistant blister pack	05/08/2002	12/14/2004
17.	R.P. Scherer Technologies, Inc.	Disposable single-use container with indicia bearing	10654662	6860405
1/.	K.1. Scherer reciniologies, inc.	portion	09/03/2003	03/01/2005
18.	R.P. Scherer Technologies, Inc.	Disposable single-use container with indicia bearing	11031308	7028862
10.	K.1. Scherer reciniologies, inc.	portion	01/06/2005	04/18/2006
19.	D.D. Saharar Taahnalagias, Inc.	Apparatus and method for manufacturing		0 0 0 0 0
19.	R.P. Scherer Technologies, Inc.		10016352	6884060
20	D.D. Cohomon Tooharalanian Inc.	encapsulated products	11/02/2001	04/26/2005
20.	R.P. Scherer Technologies, Inc.	Oral pharmaceutical composition for soft capsules	10161454	6890558
21	D.D. Calarra Track 1 1 11 C	containing vinorelbine and method of treatment	05/31/2002	05/10/2005
21.	R.P. Scherer Technologies, LLC	Oral pharmaceutical composition for soft capsules	11082720	7658943
22	D.D. Cohanan Taoharatarian Inc	containing vinorelbine and method of treatment	03/18/2005	02/09/2010
22.	R.P. Scherer Technologies, Inc.	Acetaminophen compositions	09990497	7029698
22	DDC1 T1 1 1 1	N 1	11/21/2001	04/18/2006
23.	R.P. Scherer Technologies, Inc.	Nozzle structure	11437012	7575182
			05/18/2006	08/18/2009

	Owner	Patent Title	Appl. No. Filing Date	Patent No. Issue Date
24.	R.P. Scherer Technologies, LLC	Drop dispenser for the delivery of uniform droplets	11397047	7758553
	and Sun Pharma Global FZE	of viscous liquids	04/03/2006	07/20/2010
25.	R.P. Scherer Technologies, Inc.	Chewable soft capsules containing ungelatinized	10739451	9241902
		starch	12/17/2003	01/26/2016
26.	R.P. Scherer Technologies, LLC	Ingestible compositions containing an odoriferous oil	10466896	9532585
	_		01/12/2004	01/03/2017
27.	R.P. Scherer Technologies, Inc.	Vial	29189356	D517207
	-		09/03/2003	03/14/2006
28.	R.P. Scherer Technologies, Inc.	Vial	29259816	D596740
			05/16/2006	07/21/2009
29.	R.P. Scherer Technologies, Inc.	Dispersion of taste masked crystals or granules of	10543084	
		active substances, chewable soft capsules filled with	01/26/2006	
		said dispersion, and process for preparing same		
30.	R.P. Scherer Technologies, Inc.	Method and apparatus for making a blister pack with	10298893	6890472
		indicia	11/18/2002	05/10/2005
31.	R.P. Scherer Technologies, Inc.	Apparatus for making embossed blister pack	10075824	7121822
			02/13/2002	10/17/2006
32.	R.P. Scherer Technologies, Inc.	Embossed blister pack	10973739	7704583
			04/25/2005	04/27/2010
33.	R.P. Scherer Technologies, Inc.	Method and apparatus for printing a ribbon for	10236669	7213511
		packaging gelatin capsules	09/06/2002	05/08/2007
34.	R.P. Scherer Technologies, Inc.	Process to minimize polymorphism	11650672	
			01/08/2007	
35.	R.P. Scherer Technologies, LLC	System and method for dusting soft capsules	11651370	7908992
			01/09/2007	03/22/2011
36.	R.P. Scherer Technologies, LLC	Process for formulating fast dispersing dosage forms	10860106	7972621
		comprising at least one fish gelatin selected on the	06/03/2004	07/05/2011
		basis of molecular weight		
37.	R.P. Scherer Technologies, LLC	Non-gelatin soft capsule system	10984205	8231896
			11/08/2004	07/31/2012
38.	R.P. Scherer Technologies, LLC	Formulations containing substituted imidazole	10534117	9717681
		derivatives	10/06/2005	08/01/2017
39.	R.P. Scherer Corporation	Fast dispersing dosage forms essentially free of	09887604	6509040
		mammalian gelatin	06/22/2001	01/21/2003

#### Schedule B

# 2007 IP Security Agreement, Trademarks Recorded May 22, 2007 at Reel/Frame 3546/0712

	Owner	Trademark	Appl. No. Filing Date	Reg. No. Reg. Date
1.	R.P. Scherer Technologies, Inc.	GPEX	78335247	2982964
			12/02/2003	08/09/2005
2.	R.P. Scherer Technologies, LLC	VEGICAPS	78303333	2879688
			09/22/2003	08/31/2004
3.	R.P. Scherer Technologies, Inc.	GPEX	76215734	2926522
	_		02/26/2001	02/15/2005
4.	R.P. Scherer Technologies, Inc.	ZYDIS	73761725	1547936
	_		11/03/1988	07/18/1989
5.	R.P. Scherer Technologies, LLC	VEGICAPS	73617139	1538697
			08/28/1986	05/16/1989
6.	Catalent Pharma Solutions, Inc.	LIQUI-GELS	78725789	3353661
			10/04/2005	12/11/2007
7.	Catalent Pharma Solutions, Inc.	LIQUIGEL	74427568	1841627
			08/23/1993	06/28/1994
8.	Catalent Pharma Solutions, Inc.	LIQUI-GELS	74019947	1626643
			01/17/1990	12/11/1990
9.	Packaging Coordinators, LLC	HINGEPAK	77047583	3412228
			11/20/2006	04/15/2008

#### Schedule C

# 2012 IP Security Agreement, Patents Recorded September 19, 2012 at Reel/Frame 029007/0559

			Appl. No.	Patent No.
	Owner	Patent Title	Filing Date	Issue Date
1.	Catalent CTS (Kansas City), LLC	Apparatus and method for filling containers	12233920	8607828
			09/19/2008	12/17/2013

#### Schedule D

# 2012 IP Security Agreement, Trademarks Recorded September 19, 2012 at Reel/Frame 4864/0298

	Owner	Trademark	Appl. No. Filing Date	Reg. No. Reg. Date
1.	Catalent CTS Informatics, Inc.	CLINICAMBIO	78751743	3158024
			11/10/2005	10/17/20006
2.	Catalent CTS Informatics, Inc.	CLINICAMBIO DATA EXCHANGE	78751738	3158022
			11/10/2005	10/17/20006

#### Schedule E

# 2014 IP Security Agreement, Patents Recorded November 21, 2014 at Reel/Frame 034414/0616

	Owner	Patent Title	Appl. No. Filing Date	Patent No. Issue Date
1.	Redwood Bioscience, Inc.	Aldehyde-tagged protein-based drug carriers and	12706679	9238878
		methods of use	02/16/2010	01/19/2016
2.	Redwood Bioscience, Inc.	Hydrazinyl-indole compounds and methods for	13794159	9310374
		producing a conjugate	03/11/2013	04/12/2016
3.	Redwood Bioscience, Inc.	Aldehyde-Tagged Immunoglobulin Polypeptides and	13350676	9540438
		Methods of Use Thereof	01/13/2012	01/10/2017

#### Schedule F

# 2016 IP Security Agreement, Trademarks Recorded September 19, 2012 at Reel/Frame 5918/0606

	Owner	Trademark	Reg. No. Reg. Date
1.	Catalent San Diego, Inc.	PHARMATEK LABORATORIES	2920287
			01/25/2005
2.	Catalent San Diego, Inc.	PHARMATEK.COM	2697017
	-		03/18/2003
3.	Catalent San Diego, Inc.	PHARMATEK	4925303
			03/29/2016
4.	Catalent San Diego, Inc.	HOT ROD CHEMISTRY	2471718
			07/24/2001
5.	Catalent San Diego, Inc.	HOTRODCHEMISTRY.COM	2471714
			07/24/2001

#### Schedule G

# 2016 IP Security Agreement, Copyrights Recorded November 17, 2016 at Volume/Document 9941/524

	Owner		Registration No.	Registration Date
1.	Catalent San Diego, Inc.	Pharmatek quality manual	TXu001620971	01/26/2009

**RECORDED: 05/17/2019**