# CH \$315.00 873147

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM461651

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: SECURITY INTEREST

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
BioNano Genomics, Inc.		02/09/2018	Corporation: DELAWARE

## **RECEIVING PARTY DATA**

Name:	Western Alliance Bank
Street Address:	55 Almaden Boulevard, Suite 100
Internal Address:	Attn: Loan Operations
City:	SAN JOSE
State/Country:	CALIFORNIA
Postal Code:	95113
Entity Type:	Corporation: ARIZONA

### **PROPERTY NUMBERS Total: 12**

Number	Word Mark
87314787	SAPHYR CHIP
87314801	SAPHYR
87375426	BIONANO ACCESS
86361387	BIONANO GENOMICS
86050497	BIONANO GENOMICS
86400672	IRYSSOLVE
86975215	BIONANO GENOMICS
85677075	IRYSPREP
85677063	IRYSCHIP
85677059	IRYSVIEW
85677046	BIONANO GENOMICS
85677024	IRYS
	87314787 87314801 87375426 86361387 86050497 86400672 86975215 85677075 85677063 85677059

#### CORRESPONDENCE DATA

**Fax Number:** 8586385130

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 619-699-2700

**Email:** susan.reynholds@dlapiper.com

Correspondent Name:	DLA Piper LLP (US)			
Address Line 1:	401 B Street, Suite 1700			
Address Line 4:	San Diego, CALIFORNIA 92101			
NAME OF SUBMITTER:	Matt Schwartz			
SIGNATURE:	/s/ Matt Schwartz			
DATE SIGNED:	02/09/2018			
Total Attachments: 13	·			
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#### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This INTELLECTUAL PROPERTY SECURITY AGREEMENT, dated as of February 9, 2018, (the "Agreement") between WESTERN ALLIANCE BANK, an Arizona corporation ("Lender") and BIONANO GENOMICS, INC., a Delaware corporation ("Grantor") is made with reference to that certain Loan and Security Agreement, dated as of March 8, 2016 (as amended from time to time, the "Loan Agreement"), between Lender and Grantor. Terms defined in the Loan Agreement have the same meaning when used in this Agreement.

For good and valuable consideration, receipt of which is hereby acknowledged, Grantor hereby covenants and agrees as follows:

To secure the Obligations under the Loan Agreement, Grantor grants to Lender, at all times after the occurrence of the IP Trigger Event, a security interest in all right, title, and interest of Grantor in any of the following, whether now existing or hereafter acquired or created in any and all of the following property (collectively, the "Intellectual Property Collateral"):

- (a) copyright rights, copyright applications, copyright registrations and like protections in each work or authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held (collectively, the "Copyrights"), including the Copyrights described in Exhibit A;
- (b) trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Borrower connected with and symbolized by such trademarks (collectively, the "Trademarks"), including the Trademarks described in Exhibit B;
- (c) patents, patent applications and like protections including without limitation improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same (collectively, the "Patents"), including the Patents described in Exhibit C;
- (d) mask work or similar rights available for the protection of semiconductor chips or other products (collectively, the "Mask Works");
  - (e) trade secrets, and any and all intellectual property rights in computer software and computer software products;
  - (f) design rights;
- (g) claims for damages by way of past, present and future infringement of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;
- (h) licenses or other rights to use any of the Copyrights, Patents, Trademarks, or Mask Works, and all license fees and royalties arising from such use to the extent permitted by such license or rights;
  - (i) amendments, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and
- (j) proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

The rights and remedies of Lender with respect to the security interests granted hereunder are in addition to those set forth in the Loan Agreement, and those which are now or hereafter available to Lender as a matter of law or equity. Each right, power and remedy of Lender provided for herein or in the Loan Agreement, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein, and the exercise by Lender of any one or more of such rights, powers or remedies does not preclude the simultaneous or later exercise by Lender of any other rights, powers or remedies.

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IN WITNESS WHEREOF, the parties have executed this Agreement as of the date first written above.

**GRANTOR:** 

BIONANO GENOMICS, INC.

. 7 e

Name: K. Eak Dimlin

Title: Chief Exercisive Offices

Address for Notices:

Attn: Robert Erik Holmlin, Ph.D., CEO

9640 Towne Centre Dr., #100

San Diego, CA 92121

LENDER:

WESTERN ALLIANCE BANK, an Arizona corporation

Ву:\_\_\_\_\_

Name:

Title:

Address for Notices:

Attn: Loan Operations 55 Almaden Blvd. Ste. 100 San Jose, CA 95113

Tel: (408) 423-8500 Fax:(408) 423-8520

[Signature Page to Intellectual Property Security Agreement]

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date first written above.

Address for Notices: Attn: Robert Erik Holmlin, Ph.D., CEO 9640 Towne Centre Dr., #100 San Diego, CA 92121

Address for Notices: Attn: Loan Operations 55 Almaden Blvd. Ste. 100 San Jose, CA 95113 Tel: (408) 423-8500 Fax:(408) 423-8520

[Signature Page to Intellectual Property Security Agreement]

# **EXHIBIT A**

## **COPYRIGHTS**

Please Check if No Copyrights Exist

Type of Work:	<u>Title:</u>	International	Registration	Filing	Preregistered?
		Standard Serial	<u>Number:</u>	Date:	
		Number (ISSN):			

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# Exhibit B

## **TRADEMARKS**

Please Check if No Trademarks Exist  $\square$ 

Mark / Title:	U.S. Serial No.:	U.S. Registration No.:	USPTO Reference	Filing Date:
			<u>No.:</u>	
SAPHYR CHIP	87314787	N/A		1/26/17
SAPHYR	87314801	N/A		1/26/17
BIONANO ACCESS	87375426	N/A		3/17/17
BIONANO	86361387	5076021		8/8/14
GENOMICS				
BIONANO	86050497	5045903		8/28/13
GENOMICS				
IRYSSOLVE	86400672	4708378		9/19/14
BIONANO	86975215	4646747		8/28/13
GENOMICS				
IRYSPREP	85677075	4502412		7/13/12
IRYSCHIP	85677063	4502411		7/13/12
IRYSVIEW	85677059	4452118		7/13/12
BIONANO	85677046	4518478		7/13/12
GENOMICS				
IRYS	85677024	4544066		7/13/12

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# **EXHIBIT C**

## **PATENTS**

Please	Check	if No	Patents	Exist	
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<u>Title:</u>	Patent Number:	Application Serial Number:	<u>Issued or</u> Published	Issue Date:
Nanonozzle device arrays: their preparation and use for macromolecular analysis	US9061901	12/374,141	Issued	6/23/15
Nanonozzle device arrays: their preparation and use for macromolecular analysis		14/712816 US20150323518	Allowed	
Nanonozzle device arrays: their preparation and use for macromolecular analysis		US15/801081	Pending	
Nanonozzle device arrays: their preparation and use for macromolecular analysis		PCT/US07/16408 WO/2008/079169	Published	
Nanonozzle device arrays: their preparation and use for macromolecular analysis	AU2007338862	2007338862	Issued	5/24/14
Nanonozzle device arrays: their preparation and use for macromolecular analysis	CA2658122	CA2658122	Issued	9/2/14
Nanonozzle device arrays: their preparation and use for macromolecular analysis	ZL201310054745.1	CN103203256	Issued	2/20/13
Nanonozzle device arrays: their preparation and use for macromolecular analysis		EP2007872156 EP2049262	Published	
Nanonozzle device arrays: their preparation and use for macromolecular analysis	JP6030599	2014-089510	Issued	10/28/16
Nanonozzle device arrays: cheir preparation and use for macromolecular analysis	SG173,398	2011-05244-6	Issued	7/19/07
Methods of macromolecular analysis using nanochannel arrays	US8722327	12/057,987	Issued	5/13/14

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<u>Title:</u>	Patent Number:	Application Serial Number:	<u>Issued or</u> <u>Published</u>	Issue Date:
Methods of	US9310376	14/195,474	Issued	4/12/16
macromolecular analysis				
using nanochannel arrays				
Methods of		15/062622	Published	
macromolecular analysis		US20160289756		
using nanochannel arrays				
Methods of		PCT/US2008/058671	Published	
macromolecular analysis		WO/2008/121828		
using nanochannel arrays				
Methods of	AU2008232616	AU2008232616	Issued	11/20/14
macromolecular analysis				
using nanochannel arrays				
Methods of	CA2682275	CA2,682,275	Issued	5/9/17
macromolecular analysis		, ,		
using nanochannel arrays				
Methods of		CA2964611	Pending	
macromolecular analysis			<i>G</i>	
using nanochannel arrays				
Methods of	ZL2008800017550.70	CN200880017550.7	Issued	6/5/13
macromolecular analysis				
using nanochannel arrays				
Methods of		CN201310189106.6	Pending	
macromolecular analysis			8	
using nanochannel arrays				
Methods of	EP2136922	EP2008-744609.2	Issued	12/5/12
macromolecular analysis			155444	12.0.12
using nanochannel arrays				
Methods of		EP2013150068.8	Published	
macromolecular analysis		EP2604344		
using nanochannel arrays				
Methods of		HK10104929.6	Pending	
macromolecular analysis			8	
using nanochannel arrays				
Methods of	JP5491378	JP2010-501259	Issued	3/7/14
macromolecular analysis				
using nanochannel arrays				
Methods of	JP5860574	JP2013-258107	Issued	12/25/15
macromolecular analysis				
using nanochannel arrays				
Methods of	KR10-1522741	KR10-2009-7022447	Issued	5/18/15
macromolecular analysis				
using nanochannel arrays				
Methods and devices for	US8,628,919	13/001,697	Issued	1/14/14
single molecule whole		<u> </u>		
genomic analysis				
Methods and devices for	US9536041	13/765,353	Issued	1/3/2017
single molecule whole		<u> </u>		
genomic analysis				
Methods and devices for		15/381,787	Published	
single molecule whole		US20170226567		
genomic analysis				

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<u>Title:</u>	Patent Number:	Application Serial Number:	<u>Issued or</u> <u>Published</u>	Issue Date:
Methods and devices for single molecule whole genomic analysis		PCT/US2009/049244 WO/2010/002883	Published	
Methods and devices for single molecule whole genomic analysis	AU2009267086	AU2009267086	Issued	4/12/16
Methods and devices for single molecule whole genomic analysis		AU201602242	Published	
Methods and devices for single molecule whole genomic analysis		CA2729159	Published	
Methods and devices for single molecule whole genomic analysis		CN2009- 80125335.30	Pending	
Methods and devices for single molecule whole genomic analysis		EP20090774334 EP2318547	Published	
Methods and devices for single molecule whole genomic analysis		EP13179160.0 EP2664677	Published	
Methods and devices for single molecule whole genomic analysis		CN2012-105208.3	Pending	
Methods and devices for single molecule whole genomic analysis	JP5730762	JP2011-516813	Issued	4/17/2015
Methods and devices for single molecule whole genomic analysis		2015078505 JP2015163073	Allowed	
Integrated analysis devices, fabrication methods and analysis techniques	US9533879	12/996,410	Issued	1/3/17
Nanofluidic Chips and Nanochannel Patterns		15/385302 US20170313580	Published	
Integrated nanofluidic analysis devices, fabrication methods and analysis techniques		PCT/US2009/046427 WO/2009/149362	Published	
Integrated nanofluidic analysis devices, fabrication methods and analysis techniques	AU2009256064	AU2009256064	Issued	8/13/15
Integrated nanofluidic analysis devices, fabrication methods and analysis techniques		AU2015205826	Allowed	
Integrated nanofluidic analysis devices, fabrication methods and analysis techniques		CA2727095	Pending	

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<u>Title:</u>	Patent Number:	Application Serial Number:	<u>Issued or</u> <u>Published</u>	Issue Date:
Integrated nanofluidic	ZL200980154567.1	2009-80130482	Issued	11/26/14
analysis devices,				
fabrication methods and				
analysis techniques				
Integrated nanofluidic		CN201410462892.7	Published	
analysis devices,		CN104359874		
fabrication methods and				
analysis techniques				
Integrated nanofluidic		EP2009759520	Pending	
analysis devices,				
fabrication methods and				
analysis techniques				
Integrated nanofluidic		HK11109208.6	Pending	
analysis devices,				
fabrication methods and				
analysis techniques				
Integrated nanofluidic		HK15107980	Pending	
analysis devices,				
fabrication methods and				
analysis techniques				
Integrated nanofluidic	SG2010-08920-9	SG2010-08920-9	Issued	7/31/13
analysis devices,				
fabrication methods and				
analysis techniques				
Integrated nanofluidic		KR10-2016-7001826	Allowed	1/21/16
analysis devices,				
fabrication methods and				
analysis techniques	************	12112012		11110115
Polynucleotide mapping	US9181578	13/129634	Issued	11/10/15
and sequencing		2011/0306504	D 111 1 1	
Polynucleotide mapping		14/877818	Published	
and sequencing		US20160097092	D 111 1 1	
Polynucleotide mapping		PCT/US2009/064996	Published	
and sequencing	1172000216620	WO/2010/059731	T 1	6416416
Polynucleotide mapping	AU2009316628	AU2009316628	Issued	6/16/16
and sequencing		G12711061	D 11: 1 1	
Polynucleotide mapping		CA2744064	Published	
and sequencing	77.200000154567	CNIAGO	т 1	0/24/14
Polynucleotide mapping	ZL20098015456.7	CN2009-	Issued	9/24/14
and sequencing		80154567.10	D 11' 1 1	
Polynucleotide mapping		CN201610248998.6	Published	
and sequencing	ED2270504	CN105930689	C	1/0/14
Polynucleotide mapping	EP2370594	EP2009-760398.9	Granted	1/8/14
and sequencing	ED2270504 B	ED2000 7(0200 0	C	1/0/14
Polynucleotide mapping	EP2370594 Registered in	EP2009-760398.9	Granted	1/8/14
and sequencing	GB	ED2000 7(0000 0	C: 4 1	1/0/14
Polynucleotide mapping	EP2370594 Registered in	EP2009-760398.9	Granted	1/8/14
and sequencing	FR FD2270504 Pariston 1in	ED2000 7(0000 0	C 1	1.071.4
Polynucleotide mapping	EP2370594 Registered in	EP2009-760398.9	Granted	1/8/14
and sequencing	DE	III/10105007 4	G . 1	
Polynucleotide mapping	HK1166107	HK12105207.4	Granted	
and sequencing				

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<u>Title:</u>	Patent Number:	Application Serial Number:	<u>Issued or</u> Published	<u>Issue Date:</u>
Polynucleotide mapping		15108141.4	Published	
and sequencing		HK1207404A		
Polynucleotide mapping	JP5846703	JP2011537585	Issued	1/20/16
and sequencing				
Polynucleotide mapping	SG171,325	2011-03550-8	Issued	11/29/13
and sequencing				
Nanoanalyzer Systems and	AU2011316989	AU2011316989	Issued	5/2/13
Methods				
Systems and methods for	ZL201180060380.2	CN103443290A	Issued	6/8/16
assessing biomolecule		CN201180060380.2		
characteristics				
Systems and methods for		CN20160365650.5	Published	
assessing biomolecule		CN106048000A		
characteristics				
Systems and methods for	HK1192287	HK14105511.3	Issued	9/1/17
assessing biomolecule	<i></i>			
characteristics				
Systems and methods for		HK( new)	Pending	
assessing biomolecule		III ( now)	1 Shamg	
characteristics				
Systems and methods for		SG201302736-2	Pending	
assessing biomolecule		30201302730-2	rending	
characteristics				
	1100725215	12/400046	T 1	0/0/2017
Nanochannel arrays and	US9725315	13/498846	Issued	8/8/2017
near-field illumination		2012/0244635		
devices for polymer				
analysis and related				
methods		DCT/I/C2010/0502/2	D 11: 1 1	
Nanochannel arrays and		PCT/US2010/050362	Published	
near-field illumination		WO/2011/038327		
devices for polymer				
analysis and related				
methods				
Nanochannel arrays and		HK13102315.9	Published	
near-field illumination		HK1175215A		
devices for polymer				
analysis and related				
methods				
Nanochannel arrays and	ZL201080043518.3	CN2010-80043518.3	Issued	9/5/17
near-field illumination				
devices for polymer				
analysis and related				
methods				
Methods for single-		2015/0368706	Published	
molecule analysis				
Methods for single-		PCT/US2014/014501	Published	
molecule analysis		WO2014/123822		
Methods for single-		AU2014215586	Published	
molecule analysis				
Methods for single-		CA2900054	Published	
molecule analysis				

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<u>Title:</u>	Patent Number:	Application Serial Number:	<u>Issued or</u> <u>Published</u>	Issue Date:
Methods for single-		201480007595.1	Published	
molecule analysis		CN105143462		
Methods for single-		HK16105786.9	Pending	
molecule analysis			C	
Methods for single-		EP14748636.9	Pending	
molecule analysis			· ·	
Methods for single-		JP2015-556985	Pending	
molecule analysis				
Characterization of	US9809855	14/768422	Issued	11/7/17
Molecules in Nanofluidics		US2016/0046992		
Characterization of		US15/795847	Pending	10/24/17
Molecules in Nanofluidics			8	
Characterization of		AU2014219001	Pending	2/19/14
Molecules in Nanofluidics			8	
Characterization of		CA2901460	Pending	2/19/14
Molecules in Nanofluidics				
Characterization of		EP14753475.4	Pending	2/19/14
Molecules in Nanofluidics			1 chang	2,17,11
Characterization of		CN105229168	Pending	2/19/14
Molecules in Nanofluidics		011103225100	rename	2/15/11
Characterization of		HK16107465.3	Pending	6/27/16
Molecules in Nanofluidics		IIK10107403.5	rending	0/2//10
Characterization of		JP2016510590	Pending	2/19/14
Molecules in Nanofluidics		31 2010310390	rending	2/17/14
System for Nanoanalysis	RU142580	RU2013140977	Issued	5/27/14
Analysis of	RU142300	14/897213	Published	3/2//14
Polynucleotides		US20160201147	1 ublished	
Analysis of		PCT/US14/41568	Published	
Polynucleotides		WO2014/200926	rubiisiicu	
Analysis of		CN201480044219.X	Pending	
Polynucleotides		CN201480044219.A	rending	
Analysis of		HK16113120.8	Published	
		HK1225072A	Published	
Polynucleotides		CN2016-519575	D 4!	
Analysis of		CN2016-519575	Pending	
Polynucleotides Processing of		15/122/457	D1-11-1-1	
Processing of		15/123457 US20170073666	Published	
Polynucleotides			D 11: 1 1	
Processing of		PCT/US2015/019027	Published	
Polynucleotides  Proposition of		WO2015/134785	D J*	
Processing of		CN201580012473.6	Pending	
Polynucleotides		ED15710200 0	D- 1'	
Processing of		EP15710388.8	Pending	
Polynucleotides		DCTT#102015/016104	B 11: 1 1	
Improved Methods of		PCT/US2015/016194	Published	
Determining Nucleic Acid		WO2015126840		
Structural Information		1		0.00.00
Improved Methods of		US15/11769	Pending	8/9/16
Determining Nucleic Acid				
Structural Information				
Improved Methods of		CN201580009351.1	Pending	
Determining Nucleic Acid				
Structural Information				

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<u>Title:</u>	Patent Number:	Application Serial Number:	<u>Issued or</u> <u>Published</u>	<u>Issue Date:</u>
Improved Methods of Determining Nucleic Acid Structural Information		HK(new)	Pending	
Photocleavage method and apparatus to clean fluidic devices		PCT/US2015/047688 WO2016036647	Pending	
Photocleavage method and apparatus to clean fluidic devices		15/507,416 US20170282181	Published	2/28/17
Photocleavage method and apparatus to clean fluidic devices		15763711.7	Pending	
Photocleavage method and apparatus to clean fluidic devices		CN201580055371.2 CN107073472	Published	
Photocleavage method and apparatus to clean fluidic devices	New	HK (New)	Pending	New
Photocleavage method and apparatus to clean fluidic devices		SG11201702707Q	Pending	
Isolation of Megabase DNA from plant and animal tissue		14/802,659 US20160017316	Published	
Reduction of bias in genomic coverage measurement		PCT/US2015/017356 WO2015130696	Published	
Reduction of bias in genomic coverage measurement		15/117,689 US20160355873	Published	
Reduction of bias in genomic coverage measurement		EP15708652.1-1403	Pending	
Reduction of bias in genomic coverage measurement		CN201580016272.3	Pending	
Processing of Polynucleotides	15/123457 US20170073666	15/123457 US20170073666	Published	
Processing of Polynucleotides	PCT/US2015/019027 WO2015/134785	PCT/US2015/019027 WO2015/134785	Published	
Processing of Polynucleotides	CN201580012473.6	CN201580012473.6	Pending	
Processing of Polynucleotides	EP15710388.8	EP15710388.8	Pending	
Improved Methods of Determining Nucleic Acid Structural Information		PCT/US2015/016194 WO2015126840	Published	
Improved Methods of Determining Nucleic Acid Structural Information		US15/11769	Pending	
Embedded Noble Metal Electrodes in Microfluidics		14/928,596	Pending	

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<u>Title:</u>	Patent Number:	Application Serial Number:	<u>Issued or</u> <u>Published</u>	<u>Issue Date:</u>
Embedded Noble Metal		14/952,161	Allowed	
Electrodes in Microfluidics			Allowed	

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**RECORDED: 02/09/2018**