# OP \$115.00 4763174

ETAS ID: TM387114

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Pacific Western Bank		04/28/2016	National Banking Association: UNITED STATES

### **RECEIVING PARTY DATA**

Name:	SWK Funding LLC
Street Address:	15770 Dallas Parkway, Suite 1290
City:	Dallas
State/Country:	TEXAS
Postal Code:	75248
Entity Type:	Limited Liability Company: TEXAS

#### **PROPERTY NUMBERS Total: 4**

Property Type	Number	Word Mark
Registration Number:	4763174	ABT MOLECULAR IMAGING, INC. DOSE ON DEMA
Registration Number:	4630067	DOSE ON DEMAND
Registration Number:	4667877	ABT MOLECULAR IMAGING
Registration Number:	3759474	ADVANCED BIOMARKER TECHNOLOGIES

#### CORRESPONDENCE DATA

**Fax Number:** 6175232700

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 6175232700

Email: susan.dinicola@hklaw.com,Ashley.lngraham@hklaw.com

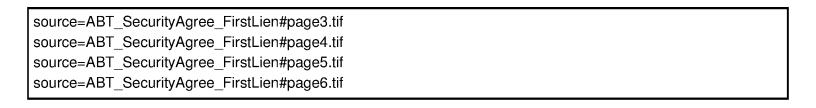
Correspondent Name: HOLLAND & KNIGHT LLP Address Line 1: 10 St. James Avenue

Address Line 4: Boston, MASSACHUSETTS 02116

NAME OF SUBMITTER:	Susan C. DiNicola
SIGNATURE:	/Susan C. DiNicola/
DATE SIGNED:	06/08/2016

## **Total Attachments: 6**

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## **ASSIGNMENT OF SECURITY AGREEMENT**

This **ASSIGNMENT OF SECURITY AGREEMENT** (this "**Assignment**"), dated as of April 28, 2016 is by **PACIFIC WESTERN BANK**, (as successor in interest by merger with Square 1 Bank, the "**Seller**") and **SWK FUNDING LLC** (the "**Buyer**").

## RECITALS:

**WHEREAS**, ABT Molecular Imaging, Inc. and Seller are party to that certain Loan and Security Agreement, dated as of March 16, 2011 (as amended, restated, supplemented or otherwise modified prior to the date hereof, the "**Loan Agreement**");

WHEREAS, ABT Molecular Imaging, Inc., a Delaware corporation, as "Grantor", and Seller are parties to one or more intellectual property security agreements identified in Exhibit A attached hereto (as the same have been and may hereafter be amended, restated, supplemented or otherwise modified from time to time, collectively, the "Agreements") pursuant to which Grantor granted a security interest in and lien upon the intellectual property identified in Exhibit B and C ("Subject IP"); and

**WHEREAS,** pursuant to that certain Loan Sale Agreement among Seller and Buyer dated as of February 11, 2016 (the "**Loan Sale Agreement**"), Seller has agreed to assign to Buyer all of its rights, remedies, duties and other obligations under, among other documents, the Agreements.

**NOW, THEREFORE**, in consideration of the foregoing, and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Seller hereby assigns and transfers to Buyer and its successors and assigns, all of its rights, title and interest in and to the Agreements. Seller hereby authorizes and requests the Commissioner of Patents and Trademarks to record Buyer as the assignee of the Agreements.

This Assignment may be executed in any number of counterparts, each of which when so executed shall be deemed an original and all of which taken together shall constitute one and the same instrument.

The Seller is executing this Assignment pursuant to the Buyer's request in accordance with the Loan Sale Agreement. The Seller and the Buyer shall be entitled to all of their respective rights, privileges and immunities set forth in the Loan Sale Agreement and the Loan Agreement, as though fully set forth herein.

IN WITNESS WHEREOF, Seller and Buyer have caused this Assignment to be duly executed as of the date first above written.

SELLER:

PACIFIC WESTERN BANK

By: Name: \_\_

Title:

# BUYER:

SWK FUNDING LLC, as Successor Agent

By: SWK Holdings Corporation, its sole

Manager

By:

Name: Winston Black

Title: Managing Director

#### **EXHIBIT A**

Amended and Restated Intellectual Property Security Agreement, dated as of December 11, 2015.

Notice of Grant of Security Interest in Patents dated as of March 29, 2016, and recorded with the United States Patent and Trademark Office, on March 28, 2016 at Reel 038110, Frame 0538.

Notice of Grant of Security Interest in Trademarks dated as of March 29, 2016, and recorded with the United States Patent and Trademark Office, on March 28, 2016 at Reel 5758, Frame 0645.

Assignment of Intellectual Property #39788259 v3

# EXHIBIT B

# PATENTS/PATENT APPLICATIONS

Patent Number	Owner	Title	Filing Date	Status
14/618,795	ABT Molecular Imaging, Inc.	Automated Radiopharmaceutical Production and Quality Control System	10/20/2014	Published on February 5, 2015 under number 2015/0238917
14/618,772	ABT Molecular Imaging, Inc.	Automated Quality Control System for Radiopharmaceuticals	02/10/2015	Published on June 11, 2015 under number 2015/0160171
14/618,732	ABT Molecular Imaging, Inc.	Dose Synthesis Card for Use with Automated Biomarker Production System	02/10/2015	Published on June 11, 2015 under number 2015/0157743
13/446,334	ABT Molecular Imaging, Inc.	Radiopharmaceutical Production System and Quality Control System Utilizing High Performance Liquid Chromatography	04/12/2012	Published on May 23, 2013 under number 2013/0130309
8,937,287	ABT Molecular Imaging, Inc.	Self-Referencing Radiation Detector for Use with a Radiopharmaceutical Quality Control Testing System	04/19/2012	Issued on January 10, 2015
14/604,192	ABT Molecular Imaging, Inc.	Radioisotope Target Assembly	01/23/2015	Published on June 18, 2015 under number 2015/0170775
8,333,952	ABT Molecular Imaging, Inc.	Dose Synthesis Module for Biomarker Generator System	09/23/2009	Issued on December 18, 2012

# EXHIBIT C

## **TRADEMARKS**

Registration Number	Registration Number Owner		Issue Date
4763174	ABT Molecular Imaging, Inc.	ABT Molecular Imaging, Inc. Dose on Demand	April 14, 2015
4630067	4630067 ABT Molecular Imaging, Inc.		October 28, 2014
4667877	4667877 ABT Molecular Imaging, Inc.		January 6, 2015
3759474	ABT Molecular Imaging, Inc.	Advanced Biomarker Technologies	March 9, 2015

Assignment of Intellectual Property  $\#39788259\_v3$ 

**RECORDED: 06/08/2016**