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TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM354684

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	SECURITY INTEREST	

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
SARATOGA HARNESS RACING, INC.		11/08/2013	CORPORATION: NEW YORK

RECEIVING PARTY DATA

Name:	CITIZENS BANK, NATIONAL ASSOCIATION	
Street Address:	28 STATE STREET	
Internal Address:	ss: MS1515	
City:	Boston	
State/Country:	nte/Country: MASSACHUSETTS	
Postal Code:	Postal Code: 02109	
Entity Type:	ntity Type: NATIONAL ASSOCIATION: UNITED STATES	

PROPERTY NUMBERS Total: 4

Property Type	Number	Word Mark
Registration Number:	4421983	LUCKY JOE'S
Registration Number:	3493237	VAPOR
Registration Number:	Registration Number: 4732067 SARATOGA CASINO BLACK HAWK	
Registration Number:	4718256	SARATOGA CASINO BLACK HAWK

CORRESPONDENCE DATA

Fax Number: 7045032622

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 704 503 2600

Email: vbantug@kslaw.com
Correspondent Name: King & Spalding
Address Line 1: 100 N Tryon Street

Address Line 2: Suite 3900

Address Line 4: Charlotte, NORTH CAROLINA 28202

ATTORNEY DOCKET NUMBER:	18588.015070
NAME OF SUBMITTER:	Vicky R. Bantug
SIGNATURE:	/Vicky R. Bantug/
DATE SIGNED:	09/10/2015

Total Attachments: 4 source=SHRI-Citizens Bank TM Sec Int#page1.tif source=SHRI-Citizens Bank TM Sec Int#page2.tif source=SHRI-Citizens Bank TM Sec Int#page3.tif

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NOTICE

OF

GRANT OF SECURITY INTEREST

IN

TRADEMARKS

United States Patent and Trademark Office

Ladies and Gentlemen:

Please be advised that (a) pursuant to the Security Agreement dated as of November 8, 2013 (as amended, modified, extended, restated, replaced, or supplemented from time to time, the "Security Agreement"), by and among the Obligors party thereto (each an "Obligor" and collectively, the "Obligors") and Citizens Bank, National Association, (formerly known as RBS Citizens, N.A.) as Administrative Agent (the "Administrative Agent") for the secured parties referenced therein (the "Secured Parties"), the undersigned Obligor has granted a continuing security interest in and continuing lien upon [the trademarks, trademark licenses and trademark applications] shown on Schedule 1 attached hereto (the "Trademarks") to the Administrative Agent for the ratable benefit of the Secured Parties and (b) the undersigned hereby grants to the Administrative Agent, for the ratable benefit of the Secured Parties, a continuing security interest in, and a right to set off against, any and all right, title and interest of such Obligor in and to the Trademarks.

The Obligors and the Administrative Agent, on behalf of the Secured Parties, hereby acknowledge and agree that the security interest in the Trademarks (i) may only be terminated in accordance with the terms of the Security Agreement and (ii) is not to be construed as an assignment of any Trademark.

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SARATOGA HARNESS RACING, INC. NOTICE OF SECURITY INTEREST IN TRADEMARKS

Very truly yours,

SARATOGA HARNESS RACING, INC., a New York corporation

Name: Alex Tucker

Title: Treasurer

SARATOGA HARNESS RACING, INC. NOTICE OF SECURITY INTEREST IN TRADEMARKS.

Acknowledged and Accepted:	
CITIZENS BANK, NATIONAL ASSOCIATION,	
as Administrative Agent 🕖 📝	
By:	
Name: <u>Michael I. Paparian</u> /	l.
Title: Vice President	

Schedule 1

	Serial Number	Reg. Number	Word Mark
1	<u>85884822</u>	4,421,983	LUCKY JOE'S
2	77075123	3493237	<u>VAPOR</u>
3	<u>85880768</u>	4732067	SARATOGA CASINO BLACK HAWK
4	85880776	<u>4718256</u>	SARATOGA CASINO BLACK HAWK

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RECORDED: 09/10/2015