

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM350904

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
CONKWEST, INC.		07/10/2015	CORPORATION: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	NANTKWEST, INC.		
<b>Street Address:</b>	2533 South Coast Highway 101 Ste. 210		
<b>Internal Address:</b>	The Plastino Building		
<b>City:</b>	Cardiff-by-the-Sea		
<b>State/Country:</b>	CALIFORNIA		
<b>Postal Code:</b>	92007-2133		
<b>Entity Type:</b>	CORPORATION: DELAWARE		
<b>PROPERTY NUMBERS Total: 20</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Serial Number:</b>	86579212	ANK	
<b>Serial Number:</b>	86579326	ANKOR BIOLOGICS	
<b>Serial Number:</b>	86579294	BANK	
<b>Serial Number:</b>	86347873	BANK BIOLOGICS	
<b>Serial Number:</b>	86551872	BRINK BIOLOGICS	
<b>Serial Number:</b>	85675473	CONEKSIS	
<b>Serial Number:</b>	86579229	CRANK	
<b>Serial Number:</b>	86579303	FANK	
<b>Serial Number:</b>	86580987	HANK	
<b>Serial Number:</b>	86579313	INFACELL	
<b>Serial Number:</b>	86579248	MANK	
<b>Serial Number:</b>	86082788	NEUKOSOME	
<b>Serial Number:</b>	86579285	PANK	
<b>Serial Number:</b>	86585935	PINK	
<b>Serial Number:</b>	86579236	TANK	
<b>Serial Number:</b>	86579261	VANK	
<b>Registration Number:</b>	3920852	CONKWEST	
<b>Registration Number:</b>	4076813		

CH \$515.00 86579212

Property Type	Number	Word Mark
Registration Number:	4187822	NEUKOPANEL
Registration Number:	4187821	NEUKOPLAST

**CORRESPONDENCE DATA**

**Fax Number:** 4122610915  
*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*  
**Phone:** 412-263-4369  
**Email:** ipgroup@pietragallo.com  
**Correspondent Name:** Alicia M. Passerin  
**Address Line 1:** 301 Grant Street, 38th Floor  
**Address Line 2:** One Oxford Center  
**Address Line 4:** Pittsburgh, PENNSYLVANIA 15219

<b>ATTORNEY DOCKET NUMBER:</b>	CNKWS-99655
<b>NAME OF SUBMITTER:</b>	Alicia M. Passerin
<b>SIGNATURE:</b>	/Alicia M. Passerin/
<b>DATE SIGNED:</b>	08/10/2015

**Total Attachments: 6**

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# Delaware

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*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "CONKWEST, INC.", CHANGING ITS NAME FROM "CONKWEST, INC." TO "NANTKWEST, INC.", FILED IN THIS OFFICE ON THE TENTH DAY OF JULY, A.D. 2015, AT 7:51 O'CLOCK P.M.

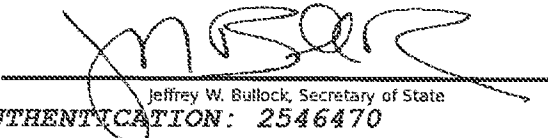
A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.

5497072 8100

151037468

You may verify this certificate online  
at [corp.delaware.gov/authvar.shtml](http://corp.delaware.gov/authvar.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 2546470

DATE: 07-10-15

TRADEMARK  
REEL: 005596 FRAME: 0755

CERTIFICATE OF AMENDMENT TO THE  
CERTIFICATE OF INCORPORATION  
OF  
CONKWEST, INC.

Conkwest, Inc. (the "Corporation"), a corporation organized and existing under the laws of the State of Delaware, hereby certifies as follows:

1. The original Certificate of Incorporation of the Corporation was filed with the Secretary of State of the State of Delaware on March 12, 2014.

2. This Certificate of Amendment to the Certificate of Incorporation has been duly adopted in accordance with the applicable provisions of Section 242 and Section 228 of the General Corporation Law of the State of Delaware, by the Board of Directors and the stockholders of the Corporation.

3. Pursuant to Section 242 of the General Corporation Law of the State of Delaware, this Certificate of Amendment amends the provisions of the Corporation's Certificate of Incorporation as set forth herein.

4. Article I of the Corporation's Certificate of Incorporation (the "Certificate") is hereby amended and restated in its entirety to read as follows:

"The name of this corporation is NantKwest, Inc."

5. Article IV of the Certificate is hereby amended and restated in its entirety to read as follows:

"Upon the effectiveness of this Certificate of Amendment, each outstanding share of Common Stock or Preferred Stock of the Corporation shall be split into 1.8515 shares of Common Stock (the "Forward Split"). The Forward Split shall occur automatically without any further action by the holders of the shares affected thereby and whether or not the certificates representing such shares are surrendered to the Company. The Forward Split shall also apply to any outstanding securities or rights convertible into, or exchangeable or exercisable for, Common Stock or Preferred Stock of the Corporation. The Forward Split shall be effected on a holder-by-holder basis and no fractional shares shall be issued upon the exchange and combination. In lieu of any fractional shares to which the holder would otherwise be entitled, the Corporation shall pay an amount of cash equal to the product of (i) the fractional share to which the holder

would otherwise be entitled and (ii) the then fair value of a share as determined in good faith by the Board of Directors of the Corporation.

After giving effect to the Forward Split, the total number of shares of stock that the Corporation shall have authority to issue is Two Hundred Twenty Million (220,000,000), consisting of Two Hundred Million (200,000,000) shares of Common Stock, \$0.0001 par value per share, and Twenty Million (20,000,000) shares of Preferred Stock, \$0.0001 par value per share."

IN WITNESS WHEREOF, the Corporation has caused this Certificate of Amendment to be signed by Barry J. Simon, its Chief Operating Officer, effective as of July 10, 2015.

CONKWEST, INC.


By: /s/ Barry Simon  
Barry J. Simon, M.D., Chief Operating Officer

**EXHIBIT A**  
**TRADEMARK APPLICATIONS**

MARK	APPLICATION NO.	COUNTRY
ANK	86/579,212	United States
ANKOR BIOLOGICS	86/579,326	United States
BANK	86/579,294	United States
BANK BIOLOGICS	86/347,873	United States
BRINK BIOLOGICS	86/551,872	United States
CONEKSIS	85/675,473	United States
CRANK	86/579,229	United States
FANK	86/579,303	United States
HANK	86/580,987	United States
INFACELL	86/579,313	United States
MANK	86/579,248	United States
NEUKOSOME	86/082,788	United States
PANK	86/579,285	United States
PINK	86/585,935	United States
TANK	86/579,236	United States
VANK	86/579,261	United States

EXHIBIT B

TRADEMARK REGISTRATIONS

MARK	REGISTRATION NO.	COUNTRY
CONKWEST	77/865,619 3,920,852	United States
	85/321,419 4,076,813	United States
NEUKOPANEL	85/331,370 4,187,822	United States
NEUKOPLAST	85/331,364 4,187,821	United States