Form PTO-1594 (Rev. 12-11)

OMB Collection 0651-0027 (exp. 04/30/2015)

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07/02/2015



U.S. DEPARTMENT OF COMMERCE Jnited States Patent and Trademark Office

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To the Director of the U. S. Patent and Trademark-Onice: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):	2. Name and address of receiving party(ies)		
Barbara Clarkson Smith, Executrix of the Estate of Russell Lamar	Additional names, addresses, or citizenship attached? No		
Smith, Assignor	Name: Barbara Clarkson Smith, Assignee		
	Street Address: 5304 Dawning Creek Way		
Partnership Limited Partnership	City: Wilmington		
Corporation- State:	State: NC.		
Other	Country: USA Zip: 28409		
Citizenship (see guidelines) NC	X Individual(s) Citizenship		
Additional names of conveying parties attached? Yes 🔀 No			
3. Nature of conveyance/Execution Date(s) :	Partnership Citizenship		
Execution Date(s) June 23, 2015	Limited Partnership Citizenship		
_	Corporation Citizenship		
Assignment Merger	Other Citizenship		
Security Agreement Change of Name	If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No		
Other	(Designations must be a separate document from assignment)		
4. Application number(s) or registration number(s) and			
A. Trademark Application No.(s) Text	B. Trademark Registration No.(s) 2677890, 2774893, 2680826		
C. Identification or Description of Trademark(s) (and Filing	Additional sheet(s) attached? Yes ,No		
•			
BRACE - filed 1/21/03; BRACE Logo - filed 10/21/03; and BRACE	. CHARACTER PROFILE - filed 1/28/03		
5. Name & address of party to whom correspondence	2		
Concerning document should be mailed:  Name: Frank B. Gibson, Jr.	registrations involved:		
Internal Address: Murchison, Taylor & Gibson, PLLC	7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$120.00		
internal Address. Management of the Control of the			
Street Address: 16 North Fifth Avenue	Authorized to be charged to deposit account		
Street Address:	⊠ Enclosed		
City; Wilmington	8. Payment Information:		
State: NC Zip: 28401			
Phone Number: 910-763-2426	07/02/2015 KNGUYEN1 00000004 2677890		
Docket Number:	Deposit Age 40.00 DP 50.00 DP		
Email Address: fgjbspn@murchisontaylor.com	Authorized User Name		
9. Signature: Janh B. Mul	85/82/2015 KNGUYSIAN 8896384311		
Signature Signature	Date		
Frank B. Gibson, Jr.	Total humber of pages tadeding covery, 09 6		
Name of Person Signing	sheet, attachments, and document:		

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Branch, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

### ASSIGNMENT OF INTELLECTUAL PROPERTY RIGHTS

this <u>33</u> day of <u>100</u>, 2015, by and between BARBARA CLARKSON SMITH, EXECUTRIX OF THE ESTATE OF RUSSELL LAMAR SMITH (Estate File No. 14 E 66) (hereinafter the "Assignor"), a resident of New Hanover County, NC, and BARBARA CLARKSON SMITH (hereinafter the "Assignee"), a resident of New Hanover County, NC.

WHEREAS, Russell Lamar Smith was the owner of certain intellectual property rights including but not limited to the rights as shown on <u>Exhibit A</u> and <u>Exhibit B</u> attached hereto and incorporated herein by reference (collectively the "Intellectual Property"); and

WHEREAS, Russell Lamar Smith died January 5, 2014; and

WHEREAS, Assignor is the executrix of the estate of Russell Lamar Smith (the "Executrix"); and

WHEREAS, under the Will of Russell Lamar Smith, the Intellectual Property described in Exhibit A passed to the McCallie School, and the McCallie School has executed and filed with the Executrix a Renunciation and Disclaimer of the gift of said Intellectual Property dated May 25, 2015 (the "Renunciation"); and

WHEREAS, as a result of the Renunciation, the Intellectual Property described in Exhibit A passes as part of the residuary estate under the Will of Russell Lamar Smith to the Assignee; and

WHEREAS, the remainder of the Intellectual Property owned by Russell Lamar Smith which was a part of the estate of Russell Lamar Smith, and which is described in Exhibit B, passes as part of the residuary estate under the Will of Russell Lamar Smith to the Assignee; and

WHEREAS, in accordance with the Will of Russell Lamar Smith, the Assignor desires to transfer all of its right, title and interest in and to the Intellectual Property to Assignee; and

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound hereby, Assignor hereby sells, assigns, and transfers unto Assignee its entire right, title and interest in and to the Intellectual Property, together with the good will of the business solely to the extent embodied in or symbolized by the Intellectual Property, together with all claims for damages by reason of past infringement of the Intellectual Property, with the right to sue for and collect the same for its own use and enjoyment, for the use and enjoyment of its successors, assigns, or other legal representatives, all rights and obligations under any agreements affecting, governing or granting rights to or imposing obligations with respect to, the foregoing Intellectual Property, all such agreements, all remedies against infringements of the Intellectual Property and all rights to protection of the interests therein.

IN WITNESS WHEREOF, the parties hereto have set their hand and seal on the day and year first above written.

ASSIGNOR:

Barbara Clarkson Smith, Executrix of the Estate of

Russell Lamar Smith (Estate File No. 14 E 66)

ASSIGNEE:

Calo STL (SEAL)

Barbara Clarkson Smith

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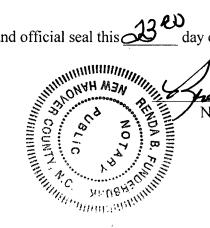
### STATE OF NORTH CAROLINA

### COUNTY OF NEW HANOVER

I, Renda B. Funderburk, a Notary Public for said County and State, do hereby certify that Barbara Clarkson Smith, Executrix of the Estate of Russell Lamar Smith (Estate File No. 14 E 66), personally appeared before me this day and acknowledged the due execution of the foregoing document.

WITNESS my hand and official seal this

My Commission Expires:



Notary Public

STATE OF NORTH CAROLINA

COUNTY OF NEW HANOVER

I, Renda B. Funderburk, a Notary Public for said State and County do hereby certify that Barbara Clarkson Smith personally appeared before me this day and acknowledged the due execution of the foregoing document.

WITNESS my hand and official seal this day of June, 2015.

My Commission Expires: MAH MAN

128016

REEL: 005569 FRAME: 0670

#### EXHIBIT A

### INTELLECTUAL PROPERTY DISCLAIMED BY MCCALLIE SCHOOL

Any and all rights or interests therein owned by Russell Lamar Smith, resulting from or in any way related to the Behavioral Relativity And Cognitive Economics system (herein called "BRACETM"), the BRACETM logo, or the BRACE Character Profile®, including but not limited to registered, unregistered or common law trademark or service mark rights, websites, domain name rights, trade secrets, rights to use, patents, patent applications, copyrights, trade names, brand names, logos, insignias and designs, any other proprietary information or intellectual property (whether or not issued, granted, registered or common law rights) presently owned or assigned to Assignor and specifically including without limitation, the following:

Registered Trademarks		Reg. No.	<u>Date</u>
2. B	BRACE	2677890	1/21/03
	BRACE Logo	2774893	10/21/03
	BRACE CHARACTER PROFILE	2680826	1/28/03

### Unregistered or Common Law Trademarks

- 1. **BRACE**
- 2. BRACE Logo
- BRACE CHARACTER PROFILE 3.

### Domain Names and Websites

- BRACEanalysis.com 1.
- BRACEcharacterprofile.com 2.
- behavioralrelativity.com 3.
- behavioralrelativity.net 4.
- cognitiveeconomics.com 5.
- cognitiveeconomics.net 6.
- BRACEcharacterProfile.net 7.

### **EXHIBIT B**

## INTELLECTUAL PROPERTY OF RUSSELL LAMAR SMITH

Any and all intellectual property rights or interests therein owned by Russell Lamar Smith at the time of his death, including but not limited to all registered, unregistered or common law trademark or service mark rights, websites, domain name rights, trade secrets, rights to use, patents, patent applications, copyrights, trade names, brand names, logos, insignias and designs, any other proprietary information or intellectual property (whether or not issued, granted, registered or common law rights), and including without limitation, the following:

- 1. Bad Gothic Poetry: A Theist's Dark Sayings, a literary work by Russell L. Smith, Copyright 2000.
- 2. The Unveiling: Where the Black Horse Rides, a literary work by Russell L. Smith, Copyright 2000.
- 3. Revelation Poems: An Interpretation of the Seven Seals, a literary work by Russell L. Smith, Copyright 1999.
- 4. Behavior Problem Solving: BPS Systems, a literary work by Russell L. Smith, Copyright 1988.
- 5. The Price of Wisdom ©, which was the artwork used on the front cover of Revelation Poems: An Interpretation of the Seven Seals by Russell L. Smith, Copyright 1999.
- 6. The Business End © which was artwork by William MacMillan, as modified by Russell L. Smith, used on the front cover of Blood Red: Genesis of a Psychopath, a novella by Jack Osborne with an Appendix by Russell L. Smith.
- 7. All works contained in the website <a href="www.BRACEanalysis.com">www.BRACEcharacterprofile.com</a>, or <a href="www.behavioralrelativity.com">www.behavioralrelativity.com</a> or any related websites.
- 8. All rights in and to or resulting from or related to the Behavioral Relativity And Cognitive Economics<sup>TM</sup> system (herein called "BRACE<sup>TM</sup>"), the BRACE<sup>TM</sup> logo, and the BRACE Character Profile®.
- 9. All derivative works derived from the intellectual property described herein.
- 10. All compilations of or derived from the intellectual property described herein.

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# ASSIGNMENT OF INTELLECTUAL PROPERTY RIGHTS

this 23 day of 14. 2015, by and between BARBARA CLARKSON SMITH, EXECUTRIX OF THE ESTATE OF RUSSELL LAMAR SMITH (Estate File No. 14 E 66) (hereinafter the "Assignor"), a resident of New Hanover County, NC, and BARBARA CLARKSON SMITH (hereinafter the "Assignee"), a resident of New Hanover County, NC.

WHEREAS, Russell Lamar Smith was the owner of certain intellectual property rights including but not limited to the rights as shown on <u>Exhibit A</u> and <u>Exhibit B</u> attached hereto and incorporated herein by reference (collectively the "Intellectual Property"); and

WHEREAS, Russell Lamar Smith died January 5, 2014; and

WHEREAS, Assignor is the executrix of the estate of Russell Lamar Smith (the "Executrix"); and

WHEREAS, under the Will of Russell Lamar Smith, the Intellectual Property described in Exhibit A passed to the McCallie School, and the McCallie School has executed and filed with the Executrix a Renunciation and Disclaimer of the gift of said Intellectual Property dated May 25, 2015 (the "Renunciation"); and

WHEREAS, as a result of the Renunciation, the Intellectual Property described in Exhibit A passes as part of the residuary estate under the Will of Russell Lamar Smith to the Assignee; and

WHEREAS, the remainder of the Intellectual Property owned by Russell Lamar Smith which was a part of the estate of Russell Lamar Smith, and which is described in Exhibit B, passes as part of the residuary estate under the Will of Russell Lamar Smith to the Assignee; and

WHEREAS, in accordance with the Will of Russell Lamar Smith, the Assignor desires to transfer all of its right, title and interest in and to the Intellectual Property to Assignee; and

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound hereby, Assignor hereby sells, assigns, and transfers unto Assignee its entire right, title and interest in and to the Intellectual Property, together with the good will of the business solely to the extent embodied in or symbolized by the Intellectual Property, together with all claims for damages by reason of past infringement of the Intellectual Property, with the right to sue for and collect the same for its own use and enjoyment, for the use and enjoyment of its successors, assigns, or other legal representatives, all rights and obligations under any agreements affecting, governing or granting rights to or imposing obligations with respect to, the foregoing Intellectual Property, all such agreements, all remedies against infringements of the Intellectual Property and all rights to protection of the interests therein.

IN WITNESS WHEREOF, the parties hereto have set their hand and seal on the day and year first above written.

ASSIGNOR:

Barbara Clarkson Smith, Executrix of the Estate of

Russell Lamar Smith (Estate File No. 14 E 66)

ASSIGNEE:

Barbara Clarkson Smith (SEAL)



### STATE OF NORTH CAROLINA

### COUNTY OF NEW HANOVER

I, Renda B. Funderburk, a Notary Public for said County and State, do hereby certify that Barbara Clarkson Smith, Executrix of the Estate of Russell Lamar Smith (Estate File No. 14 E 66), personally appeared before me this day and acknowledged the due execution of the foregoing document.

My Commission Expires:

NOVER COUNTY & C. C. ABUILLING A.C. ABUILLING A.C.

STATE OF NORTH CAROLINA

COUNTY OF NEW HANOVER

I, Renda B. Funderburk, a Notary Public for said State and County do hereby certify that Barbara Clarkson Smith personally appeared before me this day and acknowledged the due execution of the foregoing document.

WITNESS my hand and official seal this 23ed day of June, 2015.

**Notary Public** 

My Commission Expires:

WILL A NEW HATTHE

### **EXHIBIT A**

### INTELLECTUAL PROPERTY DISCLAIMED BY MCCALLIE SCHOOL

Any and all rights or interests therein owned by Russell Lamar Smith, resulting from or in any way related to the Behavioral Relativity And Cognitive Economics system (herein called "BRACETM"), the BRACETM logo, or the BRACE Character Profile®, including but not limited to registered, unregistered or common law trademark or service mark rights, websites, domain name rights, trade secrets, rights to use, patents, patent applications, copyrights, trade names, brand names, logos, insignias and designs, any other proprietary information or intellectual property (whether or not issued, granted, registered or common law rights) presently owned or assigned to Assignor and specifically including without limitation, the following:

Regis	tered Trademarks	Reg. No.	<u>Date</u>
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2.	BRACE Logo	2774893	10/21/03
3.	BRACE CHARACTER PROFILE	2680826	1/28/03

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- 3. behavioralrelativity.com
- 4. behavioralrelativity.net
- 5. cognitiveeconomics.com
- 6. cognitiveeconomics.net
- 7. BRACEcharacterProfile.net

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### EXHIBIT B

## INTELLECTUAL PROPERTY OF RUSSELL LAMAR SMITH

Any and all intellectual property rights or interests therein owned by Russell Lamar Smith at the time of his death, including but not limited to all registered, unregistered or common law trademark or service mark rights, websites, domain name rights, trade secrets, rights to use, patents, patent applications, copyrights, trade names, brand names, logos, insignias and designs, any other proprietary information or intellectual property (whether or not issued, granted, registered or common law rights), and including without limitation, the following:

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