# CH \$190.00 42733

# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM341927

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
NINESIGMA, INC.		04/07/2014	CORPORATION: OHIO

### **RECEIVING PARTY DATA**

Name:	SQUARE 1 BANK
Street Address:	406 Blackwell Street
Internal Address:	Suite 240
City:	Durham
State/Country:	NORTH CAROLINA
Postal Code:	27701
Entity Type:	CORPORATION: NORTH CAROLINA

### **PROPERTY NUMBERS Total: 7**

Property Type	Number	Word Mark
Registration Number:	4273358	NINESIGHTS
Registration Number:	4201419	FEARLESS INNOVATION
Registration Number:	3702614	NINE SIGMA
Registration Number:	3702602	NINESIGMA
Serial Number:	86526613	NINESMART
Serial Number:	86439918	INNOVATION UNPLUGGED
Serial Number:	86452243	MANAGED INNOVATION GALLERY

## **CORRESPONDENCE DATA**

**Fax Number:** 9193541278

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

using a lax number, if provided, if that is unsuccessful, it will be sent via 05 mail

**Phone:** 919-314-3086

Email: loandocsdept@square1bank.com

Correspondent Name: Square 1 Bank
Address Line 1: 406 Blackwell Street

Address Line 2: Suite 240

Address Line 4: Durham, NORTH CAROLINA 27701

NAME OF SUBMITTER:	Lee Conner
SIGNATURE:	/Lee Conner-adb/

REEL: 005520 FRAME: 0438

900325213

DATE SIGNED:	05/20/2015
Total Attachments: 6	
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# INTELLECTUAL PROPERTY SECURITY AGREEMENT

THIS INTELLECTUAL PROPERTY SECURITY AGREEMENT is entered into as of April 7, 2014 by and between SQUARE 1 BANK ("Bank") and NINESIGMA, INC., an Ohio corporation ("Grantor").

## RECITALS

- Bank has agreed to make certain advances of money and to extend certain financial accommodations to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and between Bank and Grantor dated on or about the date hereof (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement).
- Bank is willing to extend and to continue to extend financial accommodations to B. Grantor, but only upon the condition, among others, that Grantor shall grant to Bank a security interest in certain Copyrights, Trademarks and Patents to secure the obligations of Grantor under the Loan Agreement.
- Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank a C. security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

Now, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement and all other agreements now existing or hereafter arising between Grantor and Bank, Grantor hereby represents, warrants, covenants and agrees as follows:

### AGREEMENT

To secure its Obligations to Bank, Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its Intellectual Property (including without limitation those Copyrights, Patents and Trademarks listed on Exhibits A, B and C hereto), and including without limitation all proceeds thereof (such as, by way of example but not by way of limitation, license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements, all rights corresponding thereto throughout the world and all re-issues, divisions, continuations, renewals, extensions and continuations-in-part thereof.

This security interest is granted in conjunction with the security interest granted to Bank under the Loan Agreement. The rights and remedies of Bank with respect to the security interest granted hereby are in addition to those set forth in the Loan Agreement and the other Loan Documents, and those which are now or hereafter available to Bank as a matter of law or equity. Each right, power and remedy of Bank provided for herein or in the Loan Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Bank of any one or more of the rights, powers or remedies provided for in this

quare 1 bank

Intellectual Property Security Agreement, the Loan Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Bank, of any or all other rights, powers or remedies.

Grantor represents and warrants that Exhibits A, B, and C attached hereto set forth any and all intellectual property rights in connection to which Grantor has registered or filed an application with either the United States Patent and Trademark Office or the United States Copyright Office, as applicable.

Bank agrees that, upon fulfillment of the terms of the Loan Agreement that terminate the Bank's security interest in the Grantor's Collateral, Bank shall expeditiously record the release of the security interest in Grantor's Intellectual Property with the appropriate agencies, including, but limited to, the United States Patent and Trademark Office, and the United States Copyright Office.

SIGNATURE PAGE FOLLOWS

NineSigma, Inc. - IPSA Execution

IN WITNESS WHEREOF, each party has caused this Intellectual Property Security Agreement to be duly executed by an officer thereunto duly authorized as of the first date written above.

	GRANTOR:
Address of Grantor:	NINESIGMA, INC.
23611 Chagrin Blvd, Suite 320 Cleveland, OH 44122-5540	By: Clouby Name: Bru Cubped III Title: CFO
	BANK:
Address of Bank:	SQUARE I BANK
406 Blackwell Street, Suite 240 Durham, NC 27701 Attn: Loan Documentation Department	By:  Name:  \[ \sum_{\lambda} \lambda

[Signature Page to Intellectual Property Security Agreement]

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# EXHIBIT A

# **COPYRIGHTS**

	Registration	Registration
Description	Number	Date

**NONE** 

TRADEMARK REEL: 005520 FRAME: 0443

# EXHIBIT B

# **PATENTS**

Description	Registration OR Serial Number	Registration OR Filing Date
Method and Apparatus to Retrieve Information from a Network	6584468	06/24/2003
Systems and Methods for Facilitating Research and Development	7130802	10/31/2006

TRADEMARK REEL: 005520 FRAME: 0444

**RECORDED: 05/20/2015** 

# EXHIBIT C

# **TRADEMARKS**

Description	Registration/ Application Number	Registration/ Application Date
NINESIGHTS	4273358	01/08/2013
FEARLESS INNOVATION	4201419	09/04/2012
NINE SIGMA	3702614	10/27/2009
NINESIGMA	3702602	10/27/2009
NINESMART	86526613	02/06/2015
INNOVATION UNPLUGGED	86439918	10/30/2014
MANAGED INNOVATION GALLERY	86452243	11/12/2014

TRADEMARK