# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

ETAS ID: TM313375

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ENTITY CONVERSION

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Broadridge Financial Solutions, LLC		03/29/2007	LIMITED LIABILITY COMPANY: DELAWARE

### **RECEIVING PARTY DATA**

Name:	Broadridge Financial Solutions, Inc.	
Street Address:	2 Journal Square Plaza	
City:	Jersey City	
State/Country:	NEW JERSEY	
Postal Code:	07306	
Entity Type:	CORPORATION: DELAWARE	

# **PROPERTY NUMBERS Total: 3**

Property Type	Number	Word Mark
Registration Number:	3485659	BROADRIDGE
Registration Number:	3485660	BROADRIDGE
Registration Number:	3489372	BROADRIDGE

### **CORRESPONDENCE DATA**

Fax Number: 2128055571

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 2128012256

Email: schlossd@gtlaw.com, nytmdkt@gtlaw.com, nairm@gtlaw.com,

biancoc@gtlaw.com

**Correspondent Name:** Daniel I. Schloss/Greenberg Traurig LLP

Address Line 1: 200 Park Ave.

Address Line 4: New York, NEW YORK 10166

ATTORNEY DOCKET NUMBER:	104424.010100
NAME OF SUBMITTER:	Daniel I. Schloss
SIGNATURE:	/Daniel I. Schloss/
DATE SIGNED:	08/07/2014

# **Total Attachments: 2**

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TRADEMARK

**REEL: 005339 FRAME: 0663** 900297724



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# The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF
DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND
CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE
LIMITED LIABILITY COMPANY UNDER THE NAME OF "BROADRIDGE
FINANCIAL SOLUTIONS, LLC" TO A DELAWARE CORPORATION, CHANGING
ITS NAME FROM "BROADRIDGE FINANCIAL SOLUTIONS, LLC" TO
"BROADRIDGE FINANCIAL SOLUTIONS, INC.", FILED IN THIS OFFICE ON
THE TWENTY-NINTH DAY OF MARCH, A.D. 2007, AT 8:37 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE TWENTY-NINTH DAY OF MARCH, A.D. 2007, AT 9 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

4262415 8100V 070375095



Warriet Smith Hindson

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 5548850

DATE: 03-29-07

TRADEMARK REEL: 005339 FRAME: 0664

State of Delaware Secretary of State Division of Corporations Delivered 08:37 AM 03/29/2007 FILED 08:37 AM 03/29/2007 SRV 070375095 - 4262415 FILE

### CERTIFICATE OF CONVERSION

OF

# BROADRIDGE FINANCIAL SOLUTIONS, LLC

(a Delaware limited liability company)

Pursuant to Section 265 of the Delaware General Corporation Law

It is hereby certified that:

- 1. The date the limited liability company was first formed is December 6, 2006 and the jurisdiction where the limited liability company was first formed is Delaware.
- 2. The name of the limited liability company immediately prior to the filing of this Certificate is Broadridge Financial Solutions, LLC.
- 3. The name of the corporation as set forth in its Certificate of Incorporation is Broadridge Financial Solutions, Inc.
- 4. This Certificate of Conversion shall become effective on March 29, 2007 at 9:00 a.m. (Eastern time).

Dated: March 29, 2007

Authorized Person

TRADEMARK
REEL: 005339 FRAME: 0665

RECORDED: 08/07/2014