

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM302752

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
Lighting Science Group Corporation		04/25/2014	CORPORATION: DELAWARE
BioLogical Illumination, LLC		04/25/2014	LIMITED LIABILITY COMPANY: DELAWARE

RECEIVING PARTY DATA

Name:	FCC, LLC d/b/a First Capital, as Agent
Street Address:	3350 Riverwood Parkway
Internal Address:	Suite 1750
City:	Atlanta
State/Country:	GEORGIA
Postal Code:	30339
Entity Type:	LIMITED LIABILITY COMPANY: FLORIDA

PROPERTY NUMBERS Total: 17

Property Type	Number	Word Mark
Registration Number:	2997743	BRIGHT LIGHTS. BRIGHT IDEAS.
Registration Number:	4039148	DEFINITY
Registration Number:	4226895	FOREFRONT
Registration Number:	4139111	GLIMPSE
Registration Number:	3948258	LIGHTING SCIENCE
Registration Number:	3121689	LIGHTING SCIENCE
Registration Number:	3929071	LIGHTING SCIENCE
Registration Number:	4252769	LIGHTINGSCIENCE
Registration Number:	4455562	LIGHTING SCIENCE BAYLIGHT
Registration Number:	4207099	PRIZMALINE
Registration Number:	4067672	PROLIFIC
Registration Number:	4176593	ROADMASTER
Registration Number:	3791824	TITAN
Registration Number:	3783178	TITANTURBO
Registration Number:	4336387	WELCOME TO THE LIGHT AGES
Serial Number:	85969012	COASTAL
Serial Number:	85774635	PIXELVIEW

TRADEMARK

CORRESPONDENCE DATA**Fax Number:** 6785337787**Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.****Phone:** 404-523-5300**Email:** sls@phrd.com**Correspondent Name:** Steve Schaaf, Paralegal**Address Line 1:** Parker, Hudson, Rainer & Dobbs LLP**Address Line 2:** 285 Peachtree Center Avenue, N.E., #1500**Address Line 4:** Atlanta, GEORGIA 30303**ATTORNEY DOCKET NUMBER:** 5722.2**NAME OF SUBMITTER:** Douglas A. Nail**SIGNATURE:** /DAN/**DATE SIGNED:** 04/25/2014**Total Attachments: 38**

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PATENT AND TRADEMARK SECURITY AGREEMENT

This Patent and Trademark Security Agreement (this "Agreement"), dated as of April 25, 2014, is made by and among **LIGHTING SCIENCE GROUP CORPORATION**, a Delaware corporation ("LSG"), **BIOLOGICAL ILLUMINATION, LLC**, a Delaware limited liability company ("Biological"; LSG and Biological are referred to collectively as "Borrowers" and each individually as a "Borrower"), and **FCC, LLC d/b/a First Capital**, a Florida limited liability company in its capacity as agent (together with its successors and assigns in such capacity, "Agent") for the various financial institutions ("Lenders") party from time to time to the Loan Agreement (as defined below).

Recitals

Borrowers, Agent and Lenders are parties to a Loan and Security Agreement of even date herewith (as the same may hereafter be amended, supplemented or restated from time to time, the "Loan Agreement") setting forth the terms on which Lenders may now or hereafter extend credit to or for the account of Borrowers.

As a condition to extending credit to or for the account of Borrowers, Agent and Lenders have required the execution and delivery of this Agreement by Borrowers.

ACCORDINGLY, in consideration of the mutual covenants contained in the Loan Agreement and herein, the parties hereby agree as follows:

1. Definitions. All terms defined in the Recitals hereto or in the Loan Agreement that are not otherwise defined herein shall have the meanings given to them therein. In addition, the following terms have the meanings set forth below:

"Obligations" means each and every debt, liability and obligation of every type and description arising under or in connection with any Loan Document (as defined in the Loan Agreement) which Borrowers may now or at any time hereafter owe to Agent or any Lender, whether such debt, liability or obligation now exists or is hereafter created or incurred and whether it is or may be direct or indirect, due or to become due, absolute or contingent, primary or secondary, liquidated or unliquidated, independent, joint, several or joint and several, and including specifically, but not limited to, the Obligations (as defined in the Loan Agreement).

"Patents" means all of Borrowers' right, title and interest in and to patents or applications for patents, fees or royalties with respect to each, and including without limitation the right to sue for past infringement and damages therefor, and licenses thereunder, all as presently existing or hereafter arising or acquired, including without limitation the patents listed on **Exhibit A**.

"Security Interest" has the meaning given in Section 2.

“Trademarks” means all of Borrowers’ right, title and interest in and to: (i) trademarks, service marks, collective membership marks, registrations and applications for registration for each, and the respective goodwill associated with each, (ii) licenses, fees or royalties with respect to each, (iii) the right to sue for past, present and future infringement, dilution and damages therefor, and (iv) licenses thereunder, all as presently existing or hereafter arising or acquired, including, without limitation, the marks listed on **Exhibit B**.

2. Security Interest. Each Borrower hereby irrevocably pledges and assigns to, and grants Agent, for the benefit of Lenders, a security interest (the “Security Interest”) with power of sale to the extent permitted by law, in the Patents and in the Trademarks to secure payment of the Obligations. As set forth in the Loan Agreement, the Security Interest is coupled with a security interest in substantially all of the personal property of Borrowers. This Agreement grants only the Security Interest herein described, is not intended to and does not affect any present transfer of title of any trademark registration or application and makes no assignment and grants no right to assign or perform any other action with respect to any intent to use trademark application, unless such action is permitted under 15 U.S.C. § 1060.

3. Representations, Warranties and Agreements. Each Borrower represents, warrants and agrees as follows:

(a) **Patents**. **Exhibit A** accurately lists all Patents owned by Borrowers as of the date hereof, or to which any Borrower has a right as of the date hereof to have assigned to it, and accurately reflects the existence and status of applications and letters patent pertaining to the Patents as of the date hereof. If after the date hereof, any Borrower acquires or files any application for a Patent not listed on **Exhibit A**, then such Borrower shall within 30 days provide written notice to Agent with a replacement **Exhibit A** that reflects such Patent, which upon acceptance by Agent shall become part of this Agreement.

(b) **Trademarks**. **Exhibit B** accurately lists all Trademarks owned by Borrowers as of the date hereof and accurately reflects the existence and status of Trademarks and all applications and registrations pertaining thereto as of the date hereof; provided, however, that **Exhibit B** need not list common law marks (i.e., Trademarks for which there are no applications or registrations) that are not material to the Borrowers’ or any Affiliate’s business(es). If after the date hereof, any Borrower acquires or files any application for a Trademark not listed on **Exhibit B** (other than common law marks that are not material to such Borrower’s or any Affiliate’s business(es)), then such Borrower shall promptly provide written notice to Agent with a replacement **Exhibit B** that reflects such Trademark, which upon acceptance by Agent shall become part of this Agreement.

(c) **Affiliates**. As of the date hereof, no Affiliate of the Borrowers owns or has a right to have assigned to it any items that would constitute Patents or Trademarks that are used in or necessary for the operation of Borrower’s business and are not subject to a license to Borrowers. If after the date hereof any Affiliate of Borrowers owns or has a right to have assigned to it any such items, then Borrowers shall promptly either: (i)

cause such Affiliate to assign all of its rights in such item(s) to the applicable Borrower; or (ii) notify Agent of such item(s) and cause such Affiliate to execute and deliver to Agent a patent and trademark security agreement substantially in the form of this Agreement.

(d) **Title.** The applicable Borrower identified as the owner of each Patent and Trademark on **Exhibits A and B** has (except to the extent set forth on **Exhibit A** as of the date hereof with respect to U.S. Patent Nos. 6191934, 6160469, 6055151, 5929510, 5866240, D681874, D673323 and D650519) absolute title to each Patent and each Trademark listed thereon, free and clear of all Liens except Permitted Liens.

(e) **No Sale.** No Borrower will assign, transfer, encumber or otherwise dispose of the Patents or Trademarks owned by them, or any interest therein, without Agent's prior written consent.

(f) **Defense.** At their own expense, Borrowers will, to the extent commercially reasonable and using commercially reasonable efforts, protect and defend the Patents and Trademarks owned by them against all claims or demands of all Persons other than those holding Permitted Liens.

(g) **Maintenance.** Each Borrower will, at its own expense, maintain such Borrower's Patents and the Trademarks to the extent reasonably necessary to conduct its business, which may include, but not be limited to, filing all applications to obtain letters patent or trademark registrations and all affidavits, maintenance fees, annuities, and renewals possible with respect to letters patent, trademark registrations and applications therefor. Each Borrower covenants that it will not abandon nor fail to pay any maintenance fee or annuity due and payable on any Patent or Trademark, nor fail to file any required affidavit or renewal in support thereof, without first providing Agent: (i) sufficient written notice, of at least 30 days, to allow Agent to timely pay any such maintenance fees or annuities that may become due on any Patents or Trademarks, or to file any affidavit or renewal with respect thereto, and (ii) a separate written power of attorney or other authorization to pay such maintenance fees or annuities, or to file such affidavit or renewal, should such be necessary or desirable.

(h) **Agent's Right to Take Action.** If any Borrower fails to perform or observe any of its covenants or agreements set forth in this Section 3, and if such failure continues for a period of ten (10) calendar days after Agent gives such Borrower written notice thereof (or, in the case of the agreements contained in subsection (h), immediately upon the occurrence of such failure, without notice or lapse of time), or if such Borrower notifies Agent that it intends to abandon a Patent or Trademark, Agent may (but need not) perform or observe such covenant or agreement or take steps to prevent such intended abandonment on behalf and in the name, place and stead of such Borrower (or, at Agent's option, in Agent's own name) and may (but need not) take any and all other actions that Agent may reasonably deem necessary to cure or correct such failure or prevent such intended abandonment.

(i) **Costs and Expenses.** Except to the extent that the effect of such payment would be to render any loan or forbearance of money usurious or otherwise illegal under any applicable law, Borrowers shall pay Agent on demand the amount of all moneys expended and all costs and expenses (including reasonable attorneys' fees and disbursements) incurred by Agent in connection with or as a result of Agent's taking action under subsection (h) or exercising its rights under Section 6, together with interest thereon from the date expended or incurred by Agent at the default rate of interest provided for in the Loan Agreement.

(j) **Power of Attorney.** To facilitate Agent's taking action under subsection (h) and exercising its rights under Section 6, each Borrower hereby irrevocably appoints (which appointment is coupled with an interest) Agent, or its delegate, as the attorney-in-fact of such Borrower with the right (but not the duty) from time to time to create, prepare, complete, execute, deliver, endorse or file, in the name and on behalf of such Borrower, any and all instruments, documents, applications, financing statements, and other agreements and writings required to be obtained, executed, delivered or endorsed by such Borrower under this Section 3, or, necessary for Agent, after a Default, to exercise the remedies available to Agent under the Loan Agreement with respect to the Patents and Trademarks. Each Borrower hereby ratifies all that such attorney shall lawfully do or cause to be done by virtue hereof. The power of attorney granted herein shall terminate upon the termination of the Loan Agreement as provided therein and the payment and performance of all Obligations.

4. Borrowers' Use of the Patents and Trademarks. Borrowers shall be permitted to control and manage the Patents and Trademarks, including the right to exclude others from making, using or selling items covered by the Patents and Trademarks and any licenses thereunder, in the same manner and with the same effect as if this Agreement had not been entered into, so long as no Default exists.

5. Defaults. Each of the following occurrences shall constitute an event of default under this Agreement (herein called a "Default"): a Default, as defined in the Loan Agreement, shall occur.

6. Remedies. While a Default exists, Agent may exercise any or all remedies available under the Loan Agreement.

7. Miscellaneous. This Agreement can be waived, modified, amended, terminated or discharged, and the Security Interest can be released, only explicitly in a writing signed by Agent or upon the termination of the Loan Agreement as provided therein and the payment and performance of all Obligations. A waiver signed by Agent shall be effective only in the specific instance and for the specific purpose given. Mere delay or failure to act shall not preclude the exercise or enforcement of any of Agent's rights or remedies. All rights and remedies of Agent shall be cumulative and may be exercised singularly or concurrently, at Agent's option, and the exercise or enforcement of any one such right or remedy shall neither be a condition to nor bar the exercise or enforcement of any other. All notices to be given to Borrowers under this Agreement shall be given in the manner and with the effect provided in the

Loan Agreement. Agent shall not be obligated to preserve any rights the Borrowers may have against prior parties, to realize on the Patents and Trademarks at all or in any particular manner or order, or to apply any cash proceeds of Patents and Trademarks in any particular order of application. This Agreement shall be binding upon and inure to the benefit of Borrowers and Agent and their respective participants, successors and assigns and shall take effect when signed by Borrowers and delivered to Agent, and each Borrower waives notice of Agent's acceptance hereof. Agent may execute this Agreement if appropriate for the purpose of filing, but the failure of Agent to execute this Agreement shall not affect or impair the validity or effectiveness of this Agreement. A carbon, photographic or other reproduction of this Agreement or of any financing statement authorized by Borrowers shall have the same force and effect as the original for all purposes of a financing statement. This Agreement shall be governed by the internal law of Georgia without regard to conflicts of law provisions. If any provision or application of this Agreement is held unlawful or unenforceable in any respect, such illegality or unenforceability shall not affect other provisions or applications which can be given effect and this Agreement shall be construed as if the unlawful or unenforceable provision or application had never been contained herein or prescribed hereby.

THE PARTIES WAIVE ANY RIGHT TO TRIAL BY JURY IN ANY ACTION OR PROCEEDING BASED ON OR PERTAINING TO THIS AGREEMENT.

IN WITNESS WHEREOF, the parties have executed this Patent and Trademark Security Agreement as of the date first written above.

**LIGHTING SCIENCE GROUP
CORPORATION**

By: _____

Thomas C. Shields
Thomas C. Shields, Chief Financial Officer

BIOLOGICAL ILLUMINATION, LLC

By: _____

Fred Maxik, Manager

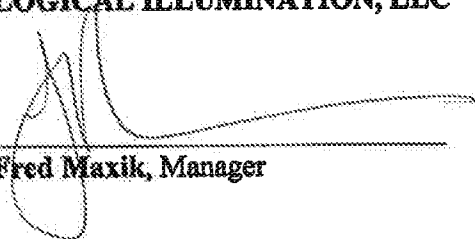
[Signatures continued on following page.]

IN WITNESS WHEREOF, the parties have executed this Patent and Trademark Security Agreement as of the date first written above.

**LIGHTING SCIENCE GROUP
CORPORATION**

By: _____
Thomas C. Shields, Chief Financial Officer

BIOLOGICAL ILLUMINATION, LLC

By:  _____
Fred Maxik, Manager

[Signatures continued on following page.]

STATE OF Florida)
COUNTY OF Brevard) SS:

On April 1st 2014, before me, Barbara McIsaac Notary Public, personally appeared Thomas C. Shields, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument on behalf of Lighting Science Group Corporation and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

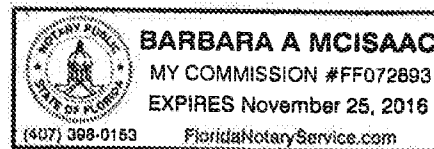
I certify under PENALTY OF PERJURY under the laws of the State of Florida that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Signature] (Signature of Notary)

(Seal of Notary)

STATE OF _____)
COUNTY OF _____) SS:



On _____, 2014, before me, _____ Notary Public, personally appeared Fred Maxik, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument on behalf of Biological Illumination, LLC and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of _____ that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature _____ (Signature of Notary)

(Seal of Notary)

Patent and Trademark Security Agreement

STATE OF _____)
) SS:
COUNTY OF _____)

On _____, 2014, before me, _____, Notary Public, personally appeared Thomas C. Shields, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument on behalf of Lighting Science Group Corporation and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of _____ that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature _____ (Signature of Notary) (Seal of Notary)

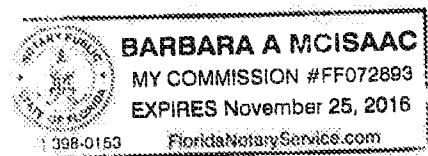
STATE OF Florida)
) SS:
COUNTY OF Brevard)

On April 14th, 2014, before me, Barbara McIsaac, Notary Public, personally appeared Fred Maxik, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument on behalf of Biological Illumination, LLC and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.


I certify under PENALTY OF PERJURY under the laws of the State of Florida that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Signature] (Signature of Notary) (Seal of Notary)



FCC, LLC, d/b/a First Capital

By: 
Name: Ralph Infante
Title: Senior Vice President

STATE OF GEORGIA)
) SS:
COUNTY OF COSS)

On MARCH 31ST, 2014, before me, NICOLE CLEMENTS, Notary Public, personally appeared Ralph Infante, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument on behalf of FCC, LLC d/b/a First Capital and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of GEORGIA that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Nicole Clements (Signature of Notary)

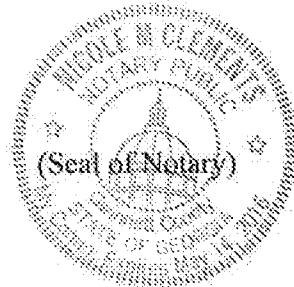


EXHIBIT A

UNITED STATES ISSUED PATENTS

Title	Patent Number	Issue Date	Owner
Low Profile Light and Accessory Kit for the Same	8672518	3/18/2014	LSG
Configurable environmental condition sensing luminaire, system and associated methods	8674608	3/18/2014	LSG
Luminaire	D700723	3/4/2014	LSG
Off Grid Luminaire	D700735	3/4/2014	LSG
Light fixture adapted to interface with a wall structure	D683,883	6/4/2013	LSG
Directional downlight	D697,650	1/14/2014	LSG
Sustainable Outdoor Lighting System	8491153	7/23/2013	LSG
Sustainable Outdoor Lighting System and Associated Methods	8475002	7/2/2013	LSG
Color Conversion Occlusion and Associated Methods	8465167	6/18/2013	LSG
Roadway Lamp (Design #2)	D684,285	6/17/2013	LSG
Light Reflector	D659895	5/15/2012	LSG
Elongate Light Fixture	D659880	5/15/2012	LSG
Remote Lighting Device and Associated Methods	8439515	5/14/2013	LSG
Lighting Fixture	D658803	5/1/2012	LSG
Configurable Linear Light	D679,860	4/9/2013	LSG
Remote Light Wavelength Conversion Device and Associated Methods	8408725	4/2/2013	LSG
Canopy Light System	D677,824	3/12/2013	LSG
Luminaire with Medial Enclosure and Heat Sink	D677,807	3/12/2013	LSG
Luminaire with Vented Enclosure and Heat Sink	D676,983	3/12/2013	LSG
Wall Mountable Light Fixture	D677,811	3/12/2013	LSG
Luminaire with Vented Enclosure	D676,982	2/26/2013	LSG
Luminaire with Prismatic Enclosure	D676,984	2/26/2013	LSG
Vented Luminaire having Medially Disposed Enclosure	D676,583	2/22/2013	LSG
LED Fixture	D650109	12/06/2011	LSG
MEMS Wavelength Converting Lighting Device and Associated Methods	8384984	2/26/2013	LSG
Luminaire	D689,218	9/3/2013	LSG
LED Lamp for Producing Biologically-Corrected Light	8643276	2/4/2014	Biological
System for Dissipating Heat Energy	8631855	1/21/2014	LSG

Title	Patent Number	Issue Date	Owner
LED Luminaire	8646942	2/11/2014	LSG
LIGHT DIRECTING APPARATUS	8628222	1/14/2014	LSG
Remote light wavelength conversion device and associated methods	8,616,715	12/31/2013	LSG
Sealed electrical device with cooling system and associated methods	8,608,348	12/17/2013	LSG
LED LUMINAIRE	8,608,341	12/17/2013	LSG
Luminaire	D694,435	11/26/2013	LSG
Pendant Luminaire	8,579,471	11/12/2013	LSG
Modular Heat Sink	D691,568	10/12/2013	LSG
High efficacy lighting signal converter and associated methods	8,547,391	10/1/2013	LSG
Dual characteristic color conversion enclosure and associated methods	8,545,034	10/1/2013	LSG
Security Light	D690,613	10/1/2013	LSG
Lamp with a modular heat sink	D689,633	9/10/2013	LSG
Roadway Lamp	D687,998	8/13/2013	LSG
LIGHT FIXTURE AND ASSOCIATED LED BOARD AND MONOLITHIC OPTIC	8,506,118	8/13/2013	LSG
LUMINAIRE	D687,986	8/13/2013	LSG
LED lighting system	8,476,829	7/2/2013	Biological
Luminaire	D684709	6/18/2013	LSG
LUMINAIRE	D684296	6/11/2013	LSG
LED Lamp for Producing Biologically-Corrected Light	8,446,095	5/21/2013	Biological
Luminaire	D682452	5/14/2013	LSG
POLE FOR A STREET LIGHT LUMINAIRE	D681874 ¹	5/7/2013	LSG
ROADWAY LIGHT	D681251	4/30/2013	LSG
LED Fluid Purification System and Method	8,420,022	4/16/2013	Biological
Sustainable Outdoor Lighting System for Use in Environmentally Photo-Sensitive Area	8,401,231	3/19/2013	Biological
Luminaire	D677,808	3/18/2013	LSG

¹ U.S. Patent No. D681,874 is jointly owned by Lighting Science Group Corporation and Office of Visual Interaction, Inc.

Title	Patent Number	Issue Date	Owner
Luminaire with Heat Sink	D676,985	2/26/2013	LSG
SOLID STATE LED BRIDGE RECTIFIER LIGHT ENGINE	8384299	2/26/2013	LSG
LUMINAIRE	D676988	2/26/2013	LSG
Luminaire	D676987	2/26/2013	LSG
Luminaire	D676986	2/26/2013	LSG
Luminaire	D676584	2/19/2013	LSG
LUMINAIRE	D675367	1/29/2013	LSG
LUMINAIRE	D675348	1/29/2013	LSG
LUMINAIRE	D674923	1/22/2013	LSG
LUMINAIRE	D674928	1/22/2013	LSG
LUMINAIRE	D673323 ²	12/25/2012	LSG
LIGHT FIXTURE	8333488	12/18/2012	LSG
Optical Assembly Having Primary Reflector and Secondary Reflector	D672480	12/11/2012	LSG
LUMINAIRE	8,324,815	12/4/2012	Biological
LED Lighting System	8324808	12/4/2012	Biological
LED Lamp for Producing Biologically-Corrected Light	8314565	11/20/2012	LSG
SOLID STATE LED BRIDGE RECTIFIER LIGHT ENGINE	D671244	11/20/2012	LSG
LUMINAIRE	8308318	11/13/2012	LSG
Sustainable Outdoor Lighting System	D670427	11/6/2012	LSG
LUMINAIRE	D669607	10/23/2012	LSG
Luminaire	D667971	9/25/2012	LSG
Luminaire	8272762	9/25/2012	LSG
LED LUMINAIRE	D666750	9/4/2012	LSG
LUMINAIRE	8,253,336	8/28/2012	Biological
LED Lamp for Producing Biologically-Corrected Light	8231250	7/31/2012	LSG
WARM WHITE LIGHTING DEVICE			
LIGHTING APPARATUS INCLUDING LEDs AND PROGRAMMABLE CONTROLLER FOR CONTROLLING THE SAME	8228284	7/24/2012	LSG

² U.S. Patent No. D673,323 is jointly owned by Lighting Science Group Corporation and Office of Visual Interaction, Inc.

Title	Patent Number	Issue Date	Owner
Light Fixture with Heat Sink	D663885	7/17/2012	LSG
BODY PORTION OF A BULB	D663446	7/10/2012	LSG
LOW PROFILE LIGHT	8201968	6/19/2012	LSG
High Color Rendering Index White Led Light System Using Multit-Wavelength Pump Sources and Mixed Phosphors	8192047	6/5/2012	LSG
PORTION OF A LAMP	D661413	6/5/2012	LSG
LUMINAIRE	D659266	5/8/2012	LSG
LUMINAIRE	D658791	5/1/2012	LSG
LIGHT FIXTURE AND ASSOCIATED LED BOARD AND MONOLITHIC OPTIC	8157413	4/17/2012	LSG
LUMINAIRE	D656660	3/27/2012	LSG
LED PACKAGE WITH STEPPED APERTURE	8129734	3/6/2012	LSG
BODY PORTION OF A LAMP	D654192	2/14/2012	LSG
Luminaire	D652564	1/17/2012	LSG
Multi-Cavity LED Array RGB Collimation Optic	8087800	1/3/2012	LSG
LIGHT SOURCE WITH OPTIMIZED ELECTRICAL, OPTICAL, AND ECONOMICAL PERFORMANCE	8087798	1/3/2012	LSG
Display backlight with improved light coupling and mixing	8079743	12/20/2011	LSG
POLE FOR A STREET LIGHT LUMINAIRE	D650519 ³	12/13/2011	LSG
LUMINAIRE AND METHOD OF OPERATION	8038319	10/18/2011	LSG
Luminaire	D646013	9/27/2011	LSG
Electronic Light Generating Element with Power Circuit	8004203	8/23/2011	LSG
Light emitting diode package assembly that emulates the light pattern produced by an incandescent filament bulb	7964883	6/21/2011	LSG
Illumination Apparatus for Conducting and Dissipating Heat from a Light Source	7922356	4/12/2011	LSG
HEAT SINK FOR A LUMINAIRE	D635105	3/29/2011	LSG
Light fixture	D632420	2/8/2011	LSG

³ U.S. Patent No. D650,519 is jointly owned by Lighting Science Group Corporation and Office of Visual Interaction, Inc.

Title	Patent Number	Issue Date	Owner
System and method for providing multi-functional lighting using high-efficiency lighting elements in an environment	7824065	11/2/2010	LSG
Method and apparatus for cooling a lightbulb	7824075	11/2/2010	LSG
LIGHT EMITTING DIODES WITH IMPROVED LIGHT COLLIMATION	7777235	8/17/2010	LSG
Multi-Primary Led Collimation Optic Assemblies	7758208	7/20/2010	LSG
Sustainably Constructed Heat Dissipating Integrated Lighting Surface	7744252	6/29/2010	LSG
Illumination Device for Providing Directionally Guided Light	7728341	6/1/2010	LSG
LIGHT EFFICIENT LED ASSEMBLY INCLUDING A SHAPED REFLECTIVE CAVITY AND METHOD FOR MAKING SAME	7719021	5/18/2010	LSG
Lighting Apparatus Including Flexible Power Supply	7708452	5/4/2010	LSG
Thermally-Managed Led-Based Recessed Down Lights	7677770	3/16/2010	LSG
Pendant Light	D608478	1/19/2010	LSG
THERMAL AND OPTICAL CONTROL IN A LIGHT FIXTURE	7637643	12/29/2009	LSG
METHOD OF MAKING OPTICAL LIGHT ENGINES WITH ELEVATED LEDES AND RESULTING PRODUCT	7633093	12/15/2009	LSG
Method and Apparatus for Operating a Light Emitting Diode With a Dimmer	7633779	12/15/2009	LSG
Method and apparatus for driving a light emitting diode	7619372	11/17/2009	LSG
Pendant Light	D600850	9/22/2009	LSG
Pendant Light	D595893	7/7/2009	LSG
Pendant Light Module	D593247	5/26/2009	LSG
LED LIGHT BULB	D592321	5/12/2009	LSG
Light	D592349	5/12/2009	LSG
SURFACE MOUNTABLE LIGHT EMITTING DIODE ASSEMBLES PACKAGED FOR HIGH TEMPERATURE OPERATION	7528421	5/5/2009	LSG
Light Fixture	D591440	4/28/2009	LSG
Electronic light generating element light bulb	7521875	4/21/2009	LSG
Heat Sink For a Light Fixture ("Demon 2" Extrusion Fixture design)	D590099	4/7/2009	LSG
Light (Wash Light - Wall Node Light II design)	D585153	1/20/2009	LSG
Light (Wash Light - Wall Node Light I design)	D584846	1/13/2009	LSG
Lighting Fixture	D584837	1/13/2009	LSG
Light Fixture ("Demon 1" Extrusion Fixture design)	D582602	12/9/2008	LSG

Title	Patent Number	Issue Date	Owner
Low-Bay Light Fixture	D577454	9/23/2008	LSG
LIGHTING FIXTURE	D572385	7/1/2008	LSG
LED LIGHT BULB	D570504	6/3/2008	LSG
LED LIGHT BULB	D570505	6/3/2008	LSG
LIGHT BULB HAVING SURFACES FOR REFLECTING LIGHT PRODUCED BY ELECTRONIC LIGHT GENERATING SOURCES	7367692	5/6/2008	LSG
LOW TEMPERATURE CO-FIRED CERAMIC-METAL CIRCULATORS AND ISOLATORS	7336140	2/26/2008	LSG
LED LIGHT BULB	D560286	1/22/2008	LSG
LIGHT BULB HAVING WIDE ANGLE LIGHT DISPERSION USING CRYSTALLINE MATERIAL	7319293	1/15/2008	LSG
LED LIGHT SOURCES FOR IMAGE PROJECTION SYSTEMS	7300182	11/27/2007	LSG
LED LIGHT BULB	D553265	10/16/2007	LSG
LED Light Bulb	D553266	10/16/2007	LSG
LOW-BAY LIGHT FIXTURE	D551379	9/18/2007	LSG
Led Array Package with Internal Feedback and Control	7252408	8/7/2007	LSG
Electronic light generating element lightbulb	7215086	5/8/2007	LSG
LED LIGHT BULB	D538951	3/20/2007	LSG
LED Light Bulb	D538950	3/20/2007	LSG
LED Light Bulb	D538952	3/20/2007	LSG
METHOD AND STRUCTURES FOR ENHANCED TEMPERATURE CONTROL OF HIGH POWER COMPONENTS ON MULTILAYER LTCC AND LTCC-M BOARDS	7183640	2/27/2007	LSG
LIGHT EMITTING DIODES PACKAGED FOR HIGH TEMPERATURE OPERATION	7176502	2/13/2007	LSG
ILLUMINATION DEVICES COMPRISING WHITE LIGHT EMITTING DIODES AND DIODE ARRAYS AND METHOD AND APPARATUS FOR MAKING THEM	7157745	1/2/2007	LSG
LED Light Bulb	D532532	11/21/2006	LSG
LED Lightbulb	D531740	11/7/2006	LSG
LED LIGHT BULB	D528673	9/19/2006	LSG

Title	Patent Number	Issue Date	Owner
Light emitting diodes packaged for high temperature operation	7098483	8/29/2006	LSG
Light emitting diodes packaged for high temperature operation	7095053	8/22/2006	LSG
LED LIGHT BULB	D527119	8/22/2006	LSG
Lighting element using electronically activated light emitting elements and method of making same	7086756	8/8/2006	LSG
FLASHLIGHT	D525379	7/18/2006	LSG
FLOODLIGHT	D525374	7/18/2006	LSG
Monolithic Disc Delay Line	7026891	4/11/2006	LSG
Temperature Compensating Device with Integral Sheet Thermistors	6759940	7/6/2004	LSG
METHOD OF MAKING CERAMIC MULTILAYER CIRCUIT BOARDS MOUNTED IN A PATTERNED METAL SUPPORT SUBSTRATE	6739047	5/25/2004	LSG
TEMPERATURE COMPENSATING DEVICE WITH EMBEDDED COLUMNAR THERMISTORS	6720859	4/13/2004	LSG
LOW TEMPERATURE CO-FIRED CERAMIC-METAL PACKAGING TECHNOLOGY	6713862	3/30/2004	LSG
METHOD FOR THE REDUCTION OF LATERAL SHRINKAGE IN MULTILAYER CIRCUIT BOARDS ON A SUBSTRATE	6709749	3/23/2004	LSG
TUNABLE BROADSIDE COUPLED TRANSMISSION LINES FOR ELECTROMAGNETIC WAVES	6670856	12/30/2003	LSG
CERAMIC MULTILAYER CIRCUIT BOARDS MOUNTED ON A PATTERNED METAL SUPPORT SUBSTRATE	6518502	2/11/2003	LSG
METHOD OF FORMING METAL CONTACT PADS ON A METAL SUPPORT SUBSTRATE	6471805	10/29/2002	LSG
INTEGRATED HEAT SINKING PACKAGES USING LOW TEMPERATURE COFIRE CERAMIC METAL CIRCUIT BOARD TECHNOLOGY	6455930	9/24/2002	LSG
Multilayer Ceramic Circuit Boards with Embedded Resistors	6399230	6/4/2002	LSG
METHOD FOR MAKING AN EMBOSSED PLASMA DISPLAY BACK PANEL	6361390	3/26/2002	LSG
HIGH DIELECTRIC CONSTANT BURIED CAPACITORS WITH EXTENDED OPERATING TEMPERATURE RANGES	6300267	10/9/2001	LSG

Title	Patent Number	Issue Date	Owner
HIGH DIELECTRIC CONSTANT EMBEDDED CAPACITORS	6191934 ⁴	2/20/2001	LSG
LARGE VALUE BURIED INDUCTORS IN LOW TEMPERATURE CO-FIRED CERAMIC CIRCUIT BOARDS	6160469 ⁵	12/12/2000	LSG
Embossed Plasma Display Back Panel	6140759	10/31/2000	LSG
Multilayer Ceramic Circuit Boards Including Embedded Components	6055151 ⁶	4/25/2000	LSG
Low Dielectric Loss Glasses	6017642	1/25/2000	LSG
MINIATURE POWER SUPPLY	6011330	1/4/2000	LSG
Low Dielectric Loss Glass Ceramic Compositions	5958807	9/28/1999	LSG
Multilayer Ceramic Circuit Boards Including Embedded Capacitors	5953203	9/14/1999	LSG
INTEGRATED ELECTRONIC CIRCUIT	5929510 ⁷	7/27/1999	LSG
METHOD FOR THE REDUCTION OF LATERAL SHRINKAGE IN MULTILAYER CIRCUIT BOARDS ON A SUBSTRATE	5876536	3/2/1999	LSG
THICK CERAMIC ON METAL MULTILAYER CIRCUIT BOARD	5866240 ⁸	2/2/1999	LSG
ELECTRONIC CIRCUIT CHIP PACKAGE	5847935	12/8/1998	LSG
ELECTRICAL FEEDTHROUGHS FOR CERAMIC CIRCUIT BOARD SUPPORT SUBSTRATES	5681444	10/28/1997	LSG
PROCESS FOR MAKING ELECTRICAL FEEDTHROUGHS FOR CERAMIC CIRCUIT BOARD SUPPORT SUBSTRATES	5653834	8/5/1997	LSG
ELECTRICAL FEEDTHROUGHS FOR CERAMIC CIRCUIT BOARD SUPPORT	5565262	10/15/1996	LSG

⁴ U.S. Patent No. 6,191,934 is jointly owned by Lighting Science Group Corporation and Daewoo Electronics Co., Ltd.

⁵ U.S. Patent No. 6,160,469 is jointly owned by Lighting Science Group Corporation and Daewoo Electronics Co., Ltd.

⁶ U.S. Patent No. 6,055,151 is jointly owned by Lighting Science Group Corporation and Sharp Kabushiki Kaisha.

⁷ U.S. Patent No. 5,929,510 is jointly owned by Lighting Science Group Corporation and Sharp Kabushiki Kaisha.

⁸ U.S. Patent No. 5,866,240 is jointly owned by Lighting Science Group Corporation and Sharp Corporation.

Title	Patent Number	Issue Date	Owner
SUBSTRATES CONDUCTIVE VIA FILL INKS FOR CERAMIC MULTILAYER CIRCUIT BOARDS ON SUPPORT SUBSTRATES	5514451	5/7/1996	LSG

UNITED STATES PATENT APPLICATIONS

Title	Serial Number	Filing Date	Owner
WIRELESS PAIRING SYSTEM AND ASSOCIATED METHODS	13/463,020	5/3/2012	LSG
INTELLIGENT SECURITY LIGHT AND ASSOCIATED METHODS	13/464,292	5/4/2012	LSG
OCCUPANCY SENSOR AND ASSOCIATED METHODS	13/464,345	5/4/2012	LSG
WAVELENGTH CONVERTING LIGHTING DEVICE AND ASSOCIATED METHODS	13/633,914	10/3/2012	LSG
PROGRAMMABLE LUMINAIRE SYSTEM	13/751,180	1/28/2013	LSG
ADAPTIVE ANTI-GLARE LIGHT SYSTEM AND ASSOCIATED METHODS	13/792,354	3/11/2013	LSG
System for Inductively Powering an Electrical Device and Associated Methods	13/608,999	9/10/2012	LSG
Lamp Having a Modular Heat Sink (BR)	29/433,714	10/4/2012	LSG
Lamp Having a Modular Heat Sink (G-25)	29/437,877	11/21/2012	LSG
Luminaire with Modular Cooling System and Associated Methods	13/832,900	3/15/2013	LSG
Lighting Device with Integrally Molded Base and Associated Methods	13/832,878	3/15/2013	LSG
Lighting Device with Integrally Molded Cooling System and Associated Methods	61/715,075	10/17/2012	LSG
Luminaire having Vented Optical Chamber	61/723,491	11/7/2012	LSG
Lamp having Vented Optical Chamber and Associated Methods	29/435,363	10/23/2012	LSG
Low Profile Light Having Concave Reflector and Associated Methods	13/676,539	11/14/2012	LSG
Modular Segmented Electronics Assembly	61/733,044	12/4/2012	LSG
System for Generating Light Having Constant Color Temperature and Associated Methods	13/737,581	1/9/2013	LSG
System for Generating Non-Homogeneous Light and Associated Methods	13/709,942	12/10/2012	LSG
Lamp having Body with Integrally Molded Heat Sink	29/433,354	9/28/2012	LSG
Adaptive Light System and Associated Methods	13/775,936	2/25/2013	LSG
Constant Current Pulse-Width Modulation Lighting System and Associated Methods	13/722,581	12/20/2012	LSG
Low Profile Light Having Elongated Reflector and Associated Methods	13/800,253	3/13/2013	LSG
High Bay Luminaire	61/772,755	3/5/2013	LSG
High Bay Lighting Fixture	29/443,158	1/14/2013	LSG
Occupancy Sensor and Associated Methods	13/785,652	3/5/2013	LSG
RETROFIT LIGHT-EMITTING DIODE DRIVER	61/858,414	7/25/2013	LSG

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REEL: 005268 FRAME: 0956

Title	Serial Number	Filing Date	Owner
Magnetically-Mountable Lighting Device and Associated Systems and Methods	13/832,969	3/15/2013	LSG
Concave Low Profile Luminaire with Magnetic Lighting Devices and Associated Systems and Methods	13/842,868	3/15/2013	LSG
Trough Luminaire with Magnetic Lighting Devices and Associated Systems and Methods	13/842,998	3/15/2013	LSG
Lighting System for Accenting Regions of a Layer and Associated Methods	13/753,890	1/30/2013	LSG
Luminaire Having a Vented Enclosure	13/875,855	5/2/2013	LSG
Self-Calibrating Multi-Directional Security Luminaire and Associated Methods	13/842,875	3/15/2013	LSG
Configurable Linear Light Assembly and Associated Methods	13/874,748	5/1/2013	LSG
Tunable Light System and Associated Methods	13/737,606	1/9/2013	LSG
Canopy Light System and Associated Methods	13/887,799	5/6/2013	LSG
Motion Detection System and Associated Methods Having at Least One LED of a Second Set of LEDs to Vary its Voltage	13/739,665	1/11/2013	LSG
Tunable Lighting Apparatus	13/739,893	1/11/2013	LSG
Luminaire with Prismatic Optic	13/739,054	1/11/2013	LSG
Wall Mountable Luminaire and Associated Systems and Methods	13/888,564	5/7/2013	LSG
Light Fixture for a Canopy Light System	29/438,757	12/3/2012	LSG
Lighting System with Reduced Physiological Compression and Associated Methods	13/830,390	3/14/2013	LSG
Low-Bay Luminaire and Assoc.Methods	13/837,643	3/15/2013	LSG
LOW BAY LUMINAIRE	29/458,558	6/20/2013	LSG
Serially-Connected Light Emitting Diodes, Methods of Forming Same, and Luminaires Containing Same	13/739,286	1/24/2013	LSG
Low-Angle Thoroughfare Surface Lighting Device	13/839,131	3/15/2013	LSG
Low-Angle Thoroughfare Surface Luminaire	29/452,780	4/22/2013	LSG
Rotatable Lighting Device	13/792,986	3/15/2013	LSG
Rotatable Lighting Fixture	13/765,256	2/12/2013	LSG
Device for Simulating Combustion Flicker	61/793,903	3/15/2013	LSG
LOW PROFILE LUMINAIRE WITH LIGHT GUIDE AND ASSOCIATED SYSTEMS AND METHODS	14/014,512	8/30/2013	LSG
Thermally Conductive Sheet and Associated Methods	61/791,487	3/15/2013	LSG
Edge Lit Lighting Device	61/777,585	3/12/2013	LSG

Title	Serial Number	Filing Date	Owner
System for Directional Control of Light and Associated Methods	14/076,621	11/11/2013	LSG
Luminaire with Prismatic Optic	13/829,832	3/14/2013	LSG
Lamp Vending Machine	61/815,018	4/23/2013	LSG
Electrostatic Removal	61/787,174	3/15/2013	LSG
System for Generating Non-Homogenous Biologically-Adjusted Light and Associated Methods	13/803,825	3/14/2013	Biological
Low Bay Luminaire	29/449,863	3/15/2013	LSG
METHOD OF ASSEMBLING A LIGHTING DEVICE WITH FLEXIBLE CIRCUITS HAVING LIGHT-EMITTING DIODES	13/969,090	8/16/2013	LSG
ROTATABLE LIGHTING DEVICE	14/087,338	11/22/2013	LSG
LUMINAIRE TO EMIT LIGHT RESPONSIVE TO AN EMERGENCY ALERT AND ASSOCIATED METHODS	13/969,103	8/16/2013	LSG
Color Conversion Occlusion and Associated Methods	13/890,684	5/9/2013	LSG
Security Light	29/452,910	4/23/2013	LSG
ROADWAY LAMP	29/462,045	7/30/2013	LSG
Compact Lamp	29/452,779	4/22/2013	LSG
Low-Angle Thoroughfare Surface Lighting Device	13/868,880	4/23/2013	LSG
Street Lighting Device for Communicating with Drivers and Associated Methods	61/823,013	5/14/2013	LSG
LUMINAIRE WITH INTEGRALLY MOLDED COOLING SYSTEM AND ASSOCIATED METHODS	14/055,346	10/16/2013	LSG
Luminaire Having Vented Optical Chamber And Associated Methods	14/074,173	11/7/2013	LSG
EDGE LIT LIGHTING DEVICE	14/024,280	9/11/2013	LSG
EDGE LIT LIGHTING DEVICE	14/023,675	9/11/2013	LSG
HIGH EFFICACY LIGHTING SIGNAL CONVERTER AND ASSOCIATED METHODS	13/955,891	7/31/2013	LSG
ELONGATED LED LUMINAIRE AND ASSOCIATED METHODS	14/044,939	10/3/2013	LSG
SEALED ELECTRICAL DEVICE WITH COOLING SYSTEM AND ASSOCIATED METHODS	14/084,118	11/19/2013	LSG
Illumination and Grow Light System and Associated Methods	13/681,522	11/20/2012	Biological
Refrigeration System	61/792,656	3/15/2013	Biological
Tunable LED Lamp for Producing Biologically-Adjusted Light	13/311,300	12/5/2011	Biological

Title	Serial Number	Filing Date	Owner
System for Treating Light Treatable Conditions and Associated Methods	13/832,459	3/15/2013	Biological
Sustainable Outdoor Lighting System for Use in Environmentally Photo-Sensitive Area	13/715,085	12/14/2012	Biological
LED Fluid Purification System and Method	13/734,682	1/4/2013	Biological
System and Method for Controlling Glucose Production	61/785,209	3/14/2013	Biological
Linear Lighting Device	29/452,955	4/23/2013	Biological
TUNABLE LED LAMP FOR PRODUCING BIOLOGICALLY-ADJUSTED LIGHT	13/968,875	8/16/2013	Biological
TUNABLE LED LAMP FOR PRODUCING BIOLOGICALLY-ADJUSTED LIGHT	13/968,914	8/16/2013	Biological
DYNAMIC WAVELENGTH ADAPTING DEVICE TO AFFECT PHYSIOLOGICAL RESPONSE AND ASSOCIATED METHODS	13/465,781	5/7/2012	Biological
LED LIGHT SOURCES FOR IMAGE PROJECTION SYSTEMS	13/416146	3/9/2012	LSG
MULTICOLOR LED ASSEMBLY WITH IMPROVED COLOR MIXING	11/445611	6/2/2006	LSG
OPTICAL DEVICES FOR CONTROLLED COLOR MIXING	11/737101	4/18/2007	LSG
LED PACKAGE WITH STEPPED APERTURE	13/354684	1/20/2012	LSG
SOLID STATE LED BRIDGE RECTIFIER LIGHT ENGINE	13/748731	1/24/2013	LSG
High Efficiency Light Source with Integrated Ballast	12/738342	10/27/2008	LSG
LUMINAIRE	29/423346	5/31/2012	LSG
LIGHT DIRECTING APPARATUS	14/084,920	11/20/2013	LSG
LUMINAIRE	29/391815	5/13/2011	LSG
LUMINAIRE	29/391818	5/13/2011	LSG
LUMINAIRE	29/391820	5/13/2011	LSG
LUMINAIRE	29/391822	5/13/2011	LSG
LUMINAIRE	29/422280	5/18/2012	LSG

FOREIGN ISSUED PATENTS

Title	Country	Patent Number	Issue Date	Owner
Electronic light generating element lightbulb	DE	2387289	5/29/2013	LSG
LUMINAIRE AND METHOD OF OPERATION	DE	602009006346.4	4/18/2012	LSG
THERMAL AND OPTICAL CONTROL IN A LIGHT FIXTURE	DE	602008016007.6	5/30/2012	LSG
ROADWAY LIGHT	EP	002078287-0003	7/24/2012	LSG
Electronic light generating element lightbulb	EP	2387289	5/29/2013	LSG
Light Dispersion Control Device For Mr-16 Replacement	EP	2128660	4/18/2012	LSG
THERMAL AND OPTICAL CONTROL IN A LIGHT FIXTURE	EP	2065637	5/30/2012	LSG
Electronic light generating element lightbulb	GB	2387289	5/29/2013	LSG
LUMINAIRE AND METHOD OF OPERATION	GB	2128660	4/18/2012	LSG
THERMAL AND OPTICAL CONTROL IN A LIGHT FIXTURE	GB	2065637	5/30/2012	LSG
Light emitting diodes packaged for high temperature operation	JP	4912876	1/27/2012	LSG
Multilayer Ceramic Printed Circuit Boards with Embedded Passive Components	JP	3944791	4/20/2007	LSG
LED LIGHT BULB	JP	1340782	8/29/2008	LSG
Light emitting diodes packaged for high temperature operation	KR	10-1095291	12/12/2011	LSG
ILLUMINATION DEVICES COMPRISING WHITE LIGHT EMITTING DIODES AND DIODE ARRAYS AND METHOD AND APPARATUS FOR MAKING THEM	KR	10-1203672	11/15/2012	LSG
Multilayer Ceramic Printed Circuit Boards with Embedded Passive Components	KR	516043	9/13/2005	LSG
Multilayer Ceramic Printed Circuit Boards with Embedded Passive Components	KR	546471	1/19/2006	LSG
MICROWAVE INTEGRATED CIRCUIT	KR	677005	1/25/2007	LSG
MULTILAYER CERAMIC ON METAL CIRCUIT BOARD WITH EMBEDDED COMPONENTS	MX	251288	11/8/2007	LSG
LUMINAIRE	MX	37570	6/13/2013	LSG
Electronic light generating element lightbulb	NL	2387289	5/29/2013	LSG
THERMAL AND OPTICAL CONTROL IN A LIGHT FIXTURE	NL	2065637	5/30/2012	LSG

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REEL: 005268 FRAME: 0960

Title	Country	Patent Number	Issue Date	Owner
LUMINAIRE AND METHOD OF OPERATION	NL	2128660	4/18/2012	LSG
Luminaire	AU	329199	1/8/2010	LSG
LUMINAIRE	AU	336916	11/3/2010	LSG
LUMINAIRE	AU	334503	11/1/2010	LSG
LUMINAIRE	AU	336917	11/3/2010	LSG
LUMINAIRE	AU	336931	11/3/2010	LSG
LUMINAIRE	AU	336918	11/3/2010	LSG
LUMINAIRE	AU	336932	11/3/2010	LSG
LUMINAIRE	AU	336930	11/3/2010	LSG
LUMINAIRE	AU	344951	10/17/2012	LSG
LUMINAIRE	AU	338767	10/5/2011	LSG
LUMINAIRE	AU	338766	10/5/2011	LSG
LUMINAIRE	AU	338765	10/5/2011	LSG
LUMINAIRE	AU	338764	10/5/2011	LSG
LUMINAIRE	AU	338763	10/5/2011	LSG
LUMINAIRE	AU	338762	10/5/2011	LSG
LUMINAIRE	AU	338884	10/12/2011	LSG
LUMINAIRE	AU	338883	10/12/2011	LSG
LUMINAIRE	AU	343955	8/20/2012	LSG
Luminaire	AU	345330	11/2/2012	LSG
Luminaire	AU	345332	11/2/2012	LSG
Luminaire	AU	345333	11/2/2012	LSG
Luminaire	AU	345334	11/2/2012	LSG
ROADWAY LIGHT	AU	344529	7/26/2012	LSG
Luminaire	AU	345331	11/2/2012	LSG
HEAT SINK FOR A LUMINAIRE	BX	38182-01/06	3/12/2010	LSG
LUMINAIRE	CA	137964	10/12/2011	LSG
LUMINAIRE	CA	140118	10/12/2011	LSG
LUMINAIRE	CA	140115	10/12/2011	LSG
LUMINAIRE	CA	142210	8/7/2012	LSG
LUMINAIRE	CA	146214	8/7/2012	LSG

Title	Country	Patent Number	Issue Date	Owner
LUMINAIRE	CA	142954	1/3/2013	LSG
LUMINAIRE	CA	142956	4/12/2013	LSG
LUMINAIRE	CA	142957	4/23/2013	LSG
LUMINAIRE	CA	142955	1/3/2013	LSG
LED LIGHT BULB	CN	200730286449.X	8/26/2009	LSG
LED LIGHT BULB	CN	200630122845.4	11/28/2007	LSG
LED LIGHT BULB	CN	200730154648.5	12/3/2008	LSG
LIGHTING FIXTURE	CN	200930184257.7	6/9/2010	LSG
LUMINAIRE	CN	ZL201130324241.9	10/10/2012	LSG
LUMINAIRE	CN	ZL201130341076.8	10/10/2012	LSG
LUMINAIRE	CN	ZL201130324266.9	9/26/2012	LSG
LUMINAIRE	CN	ZL201130324244.2	9/26/2012	LSG
LUMINAIRE	CN	ZL201230376625.X	5/8/2013	LSG
LED LIGHT BULB	EM	000847637-0001	3/11/2008	LSG
LED LIGHT BULB	EM	000579644-0001	11/7/2006	LSG
LIGHTS	EM	000079967-0001	9/26/2003	LSG
LIGHTS	EM	000079967-0002	9/26/2003	LSG
BOTTLE LIGHTS	EM	000079967-0003	9/26/2003	LSG
WALL LIGHTS	EM	000079967-0004	11/26/2003	LSG
LIGHTS	EM	000079967-0005	9/26/2003	LSG
LIGHTS	EM	000079967-0006	9/26/2003	LSG
SKIRTING BOARD LIGHTS	EM	000079967-0007	9/26/2003	LSG
Parquet Lights	EM	000079967-0008	9/26/2003	LSG
PANEL LIGHTING	EM	000079967-0009	9/26/2003	LSG
DISC LIGHTS	EM	000079967-0010	9/26/2003	LSG
DISC LIGHTS	EM	000079967-0011	9/26/2003	LSG
CARPET LIGHTING	EM	000079967-0012	9/26/2003	LSG
LIGHTS	EM	000079967-0013	9/26/2003	LSG
LIGHTS	EM	000079967-0014	9/26/2003	LSG
LIGHTS	EM	000079967-0015	9/26/2003	LSG
LIGHTS	EM	000079967-0016	9/26/2003	LSG

Title	Country	Patent Number	Issue Date	Owner
LIGHTS	EM	000079967-0017	9/26/2003	LSG
LIGHTS	EM	000079967-0018	9/26/2003	LSG
LIGHTS	EM	000079967-0019	9/26/2003	LSG
WALL LIGHTS	EM	000079967-0020	9/26/2003	LSG
BRACKET LAMPS	EM	000204599-0001	7/21/2004	LSG
BRACKET LAMPS	EM	000204599-0002	7/21/2004	LSG
BRACKET LAMPS	EM	000204599-0003	7/21/2004	LSG
BRACKET LAMPS	EM	000204599-0004	7/21/2004	LSG
WALL LIGHTS	EM	000204599-0005	7/21/2004	LSG
BRACKET LAMPS	EM	000204599-0006	7/21/2004	LSG
LIGHTS	EM	000204599-0007	7/21/2004	LSG
BRACKET LAMPS	EM	000204599-0008	7/21/2004	LSG
BRACKET LAMPS	EM	000204599-0009	7/21/2004	LSG
BRACKET LAMPS	EM	000204599-0010	7/21/2004	LSG
WALL LIGHTS	EM	000204599-0011	7/21/2004	LSG
LIGHTS	EM	000339767-0041	5/10/2005	LSG
Luminaire	EM	001628488-0001	10/27/2009	LSG
Luminaire	EM	001628488-0002	10/27/2009	LSG
Luminaire	EM	001628488-0003	10/27/2009	LSG
Luminaire	EM	001656497-0001	1/14/2010	LSG
Luminaire	EM	001656497-0002	1/14/2010	LSG
Luminaire	EM	001656497-0003	1/14/2010	LSG
Luminaire	EM	001656497-0004	1/14/2010	LSG
LUMINAIRE	EM	001776907-0001	11/4/2010	LSG
LUMINAIRE	EM	1776907-0010	11/4/2010	LSG
LUMINAIRE	EM	1776907-0002	11/4/2010	LSG
LUMINAIRE	EM	1776907-0003	11/4/2010	LSG
LUMINAIRE	EM	1776907-0004	11/4/2010	LSG
LUMINAIRE	EM	1776907-0005	11/4/2010	LSG
LUMINAIRE	EM	1776907-0006	11/4/2010	LSG
LUMINAIRE	EM	1776907-0007	11/4/2010	LSG

Title	Country	Patent Number	Issue Date	Owner
LUMINAIRE	EM	1776907-0008	11/4/2010	LSG
LUMINAIRE	EM	1776907-0009	11/4/2010	LSG
LUMINAIRE	EM	002107326-0001	9/28/2012	LSG
LUMINAIRE	EM	001778101-0001	11/8/2010	LSG
LUMINAIRE	EM	1778101-0002	11/8/2010	LSG
LUMINAIRE	EM	1778101-0003	11/8/2010	LSG
LUMINAIRE	EM	1778101-0004	11/8/2010	LSG
LUMINAIRE	EM	1778101-0005	11/8/2010	LSG
LUMINAIRE	EM	1778101-0006	11/8/2010	LSG
LUMINAIRE	EM	1778101-0007	11/8/2010	LSG
LUMINAIRE	EM	1778101-0008	11/8/2010	LSG
LUMINAIRE	EM	1778101-0009	11/8/2010	LSG
LUMINAIRE	EM	1912981-0001	9/6/2011	LSG
LUMINAIRE	EM	1912981-0002	9/6/2011	LSG
LUMINAIRE	EM	1912981-0003	9/6/2011	LSG
LUMINAIRE	EM	1912981-0004	9/6/2011	LSG
LUMINAIRE	EM	1912981-0005	9/6/2011	LSG
LUMINAIRE	EM	1912981-0006	9/6/2011	LSG
LUMINAIRE	EM	1912981-0007	9/6/2011	LSG
LUMINAIRE	EM	1912981-0008	9/6/2011	LSG
LUMINAIRE	EM	002067496-0001	7/3/2012	LSG
LUMINAIRE	EM	002067496-0001	7/3/2012	LSG
LUMINAIRE	EM	002067496-0003	7/3/2012	LSG
LUMINAIRE	EM	002136960-0001	11/16/2012	LSG
LUMINAIRE	EM	002136960-0003	11/16/2012	LSG
Luminaire	EM	002077875-0001	7/23/2012	LSG
Luminaire	EM	002077875-0002	7/23/2012	LSG
Luminaire	EM	002077875-0003	7/23/2012	LSG
Luminaire	EM	002077875-0004	7/23/2012	LSG
Luminaire	EM	002077875-0005	7/23/2012	LSG
ROADWAY LIGHT	EM	002078287-0001	7/24/2012	LSG

Title	Country	Patent Number	Issue Date	Owner
LUMINAIRE	EM	002082974-0001	8/2/2012	LSG
LUMINAIRE	EM	002082974-0002	8/2/2012	LSG
LUMINAIRE	EM	002082974-0003	8/2/2012	LSG
LUMINAIRE	EM	002136960-0002	11/16/2012	LSG
ROADWAY LIGHT	EP	002078287-0002	7/24/2012	LSG
LUMINAIRE	IN	239236	6/11/2012	LSG
LUMINAIRE	IN	239237	3/7/2012	LSG
LUMINAIRE	IN	239238		LSG
LUMINAIRE	IN	239239	3/7/2012	LSG
LUMINAIRE	IN	247051	1/17/2013	LSG

FOREIGN PATENT APPLICATIONS

Title	Country	Serial Number	Filing Date	Owner
LED Fluid Purification System and Method	PCT	PCT/US11/63168	12/2/2011	Biological
Sustainable Outdoor Lighting System for Use in Environmentally Photo-Sensitive Area	PCT	PCT/US11/56781	10/18/2011	Biological
Sustainable Outdoor Lighting System for Use in Environmentally Photo-Sensitive Area	TW	10014812	11/9/2011	Biological
LED Lighting System	PCT	PCT/US12/21121	1/12/2012	Biological
LED Lamp for Producing Biologically-Corrected Light	PCT	PCT/US11/43884	7/13/2011	Biological
LED Lamp for Producing Biologically-Corrected Light	TW	100125951		Biological
Tunable Led Lamp for Producing Biologically-Adjusted Light	PCT	PCT/US12/67916	12/5/2012	Biological
Illumination and Grow Light System	PCT	PCT/US12/67579	12/5/2012	Biological
LED Lamp for Producing Biologically-Corrected Light	EP	EP11810175.7	1/18/2013	Biological
LED Lamp for Producing Biologically-Corrected Light	IL	224378	1/23/2013	Biological
LED Lamp for Producing Biologically-Corrected Light	JP	100114775	1/16/2013	Biological
LED Lamp for Producing Biologically-Corrected Light	CN	201180036133.9	1/23/2013	Biological
Sustainable Outdoor Lighting System for Use in Environmentally Photo-Sensitive Area	EP	EP11839862.7	4/23/2013	Biological
Apparatus for fixing electric conductor connectors particularly in the low voltage range whereby the connectors are held pressed between two adhesive plates	DE	202005000767U	1/18/2005	LSG
ILLUMINATION DEVICES COMPRISING WHITE LIGHT EMITTING DIODES AND DIODE ARRAYS AND METHOD AND APPARATUS FOR MAKING THEM	EP	05769328.5	7/1/2005	LSG
Multicolor LED assembly with controlled color mixing	EP	07760864.4	4/18/2007	LSG
Thermally-Managed Led-Based Recessed Down Lights	EP	08705798.0	1/9/2008	LSG
Pendant Light Utility	EP	09165821.1	7/17/2009	LSG
Seven-Cavity Led Array RGB Collimation Optic	EP	08835018.6	10/1/2008	LSG
Higg Color Rendering Index White Led Light System Using Multi-Wavelength Pump Sources and Mixed Phosphors	EP	08729960.8	2/15/2008	LSG
LIGHT FIXTURE USEFUL FOR STREET LIGHTING, AND	EP	10151159.0	1/20/2010	LSG

TRADEMARK

REEL: 005268 FRAME: 0966

Title	Country	Serial Number	Filing Date	Owner
ASSOCIATED LED BOARD AND MONOLITHIC OPTIC				
MULTICOLOR LED ASSEMBLY WITH IMPROVED COLOR MIXING	EP	07797565.4	5/18/2007	LSG
LIGHT EMITTING DIODES WITH IMPROVED LIGHT COLLIMATION	EP	07716946.4	1/24/2007	LSG
Versatile, low cost, high power light emitting diode array package	EP	06785622.9	6/27/2006	LSG
Electronic light generating element light bulb	EP	05737429.0	4/20/2005	LSG
Method and apparatus for packaging circuitry within a lightbulb	EP	07797677.7	5/23/2007	LSG
Sustainable Endothermic Heat Stripping Method and Apparatus	EP	09167601.5	8/11/2009	LSG
SUSTAINABLEY CONSTRUCTED HEAT DISSIPATING INTEGRATED LIGHTING SURFACE				
Led Array Package with Internal Feedback and Control	EP	09167594.2	8/11/2009	LSG
Led Array Package with Internal Feedback and Control	EP	05770935.4	7/13/2005	LSG
Led Array Package with Internal Feedback and Control	EP	11002434.6	7/13/2005	LSG
High Efficiency Light Source with Integrated Ballast	EP	08840839.8	10/27/2008	LSG
Multi-Primary Led Collimation Optic Assemblies	EP	07869896.6	12/26/2007	LSG
SUSTAINABLE OUTDOOR LIGHTING SYSTEM	EP	10161574.8	4/30/2010	LSG
LOW PROFILE LIGHT	EP	10174449.8	8/30/2010	LSG
LIGHT EMITTING DIODES WITH IMPROVED LIGHT COLLIMATION	JP	2009-507673	1/24/2007	LSG
Versatile, low cost, high power light emitting diode array package	JP	2012-56904	6/27/2006	LSG
LIGHT EMITTING DIODES WITH IMPROVED LIGHT COLLIMATION	KR	7028300/2008	1/24/2007	LSG
Multicolor LED assembly with controlled color mixing	KR	7028180/2008	4/18/2007	LSG
ROADWAY LIGHT	MX	MX/f2012/002295	7/26/2012	LSG
LIGHTING APPARATUS	TW	097102617	1/24/2008	LSG
Method and apparatus for driving a light emitting diode	TW	97107134	2/29/2008	LSG
Seven-Cavity Led Array RGB Collimation Optic	TW	097137855	10/1/2008	LSG
ILLUMINATION DEVICES COMPRISING WHITE LIGHT EMITTING DIODES AND DIODE ARRAYS AND METHOD AND APPARATUS FOR MAKING THEM				
Electronic light generating element light bulb	TW	094126795	8/8/2005	LSG
LED LUMINAIRE	TW	94112921	4/22/2005	LSG
LUMINAIRE	WO	PCT/US12/027712	3/5/2012	LSG
LUMINAIRE	AU	13898/2012	8/10/2012	LSG
LUMINAIRE	BR	DI 7103786-1	9/6/2011	LSG
LUMINAIRE	CA	140117	11/2/2010	LSG

Title	Country	Serial Number	Filing Date	Owner
LUMINAIRE	CA	140119	11/2/2010	LSG
LUMINAIRE	CA	147498	9/19/2012	LSG
LUMINAIRE	CA	137781	11/2/2010	LSG
LUMINAIRE	CA	140114	11/2/2010	LSG
LUMINAIRE	CA	140116	11/2/2010	LSG
LUMINAIRE	CA	146356	7/4/2012	LSG
Luminaire	CA	146640	7/23/2012	LSG
ROADWAY LIGHT	CA	146659	7/24/2012	LSG
LUMINAIRE	CA	146940	8/10/2012	LSG
LIGHT FIXTURE / POLE FOR STREET LIGHT LUMINAIRE	EM	001744558-0001	8/17/2010	LSG
LUMINAIRE	EM	002136960-0002	11/16/2012	LSG
High Efficiency Light Source with Integrated Ballast	EP	08731105.6	2/29/2008	LSG
LUMINAIRE	IN	246330	1/4/2012	LSG

EXHIBIT B
EXHIBIT B

REGISTERED TRADEMARKS

Trademark	Registration Number	Registration Date	Country	Owner
BRIGHT LIGHTS. BRIGHT IDEAS.	TMA691632	7/9/2007	Canada	LSG
BRIGHT LIGHTS. BRIGHT IDEAS.	2997743	9/20/2005	United States	LSG
BRIGHT LIGHTS. BRIGHT IDEAS. (Stylized)	003950854	6/18/2007	European Union (CTM)	LSG
COLOREYE	807306	8/17/2006	BENELUX	LSG
COLOREYE	913762	1/22/2007	Int'l Reg - Madrid Protocol	LSG
COLOREYE	913762	1/22/2007	Int'l Reg. - Madrid Protocol - Germany	LSG
DEFINITY	TMA840060	1/14/2013	Canada	LSG
Definity	1149482	1/22/2013	International Registration- Madrid Protocol (Australia, China, EU (CTM), Israel, Japan, Mexico, Singapore, South Korea)	LSG
DEFINITY	4039148	10/11/2011	United States	LSG
EYE LEDS	0748979	1/4/2004	BENELUX	LSG
EYE LEDS	003929866	4/17/2008	European Union (CTM)	LSG
EYE LEDS	884944	2/16/2006	International Registration - Madrid Protocol (Australia, China, Federation of Russia, Switzerland, Turkey)	LSG
EYE LEDS AND DESIGN	748978	1/14/2004	BENELUX	LSG
EYELEDS	TMA828186	7/17/2012	Canada	LSG
FOREFRONT	1106422	12/28/2011	International Registration - Madrid Protocol (Australia, China, European Union (CTM), Switzerland)	LSG
FOREFRONT	4226895	10/16/2012	United States	LSG
GLIMPSE	1469293	4/30/2012	Australia	LSG
GLIMPSE	010561926	6/13/2012	European Union	LSG

Trademark	Registration Number	Registration Date	Country	Owner
			(CTM)	
GLIMPSE	2279005	11/12/2013	India	LSG
GLIMPSE	1343210	1/18/2013	Mexico	LSG
GLIMPSE	4139111	5/8/2012	United States	LSG
L.E.D. EFFECTS (Stylized)	006082341	5/19/2008	European Union (CTM)	LSG
LAMINA	TMA638764	7/20/2004	Canada	LSG
LAMINA CERAMICS	TMA633133	2/18/2005	Canada	LSG
LAMINA CERAMICS	002593838	5/22/2003	European Union (CTM)	LSG
LED PLANET	006029722	5/22/2008	European Union (CTM)	LSG
LIGHTING SCIENCE	TMA843856	2/19/2013	Canada	LSG
LIGHTING SCIENCE	TMA828221	7/17/2012	Canada	LSG
LIGHTING SCIENCE	004215562	3/2/2006	European Union (CTM)	LSG
LIGHTING SCIENCE	994779	1/27/2009	International Registration – Madrid Protocol	LSG
LIGHTING SCIENCE	1002503	1/27/2009	International Registration – Madrid Protocol	LSG
LIGHTING SCIENCE	994779	1/27/2009	International Registration – Madrid Protocol (European Union (CTM), Switzerland)	LSG
LIGHTING SCIENCE	3948258	4/19/2011	United States	LSG
LIGHTING SCIENCE	3121689	7/25/2006	United States	LSG
LIGHTING SCIENCE	3929071	3/8/2011	United States	LSG
LIGHTING SCIENCE (Stylized) AND DESIGN	008704331	5/24/2010	European Union (CTM)	LSG
LIGHTING SCIENCE (Stylized) AND DESIGN	4252769	12/4/2012	United States	LSG
LIGHTING SCIENCE AND DESIGN	613941	4/8/2011	Switzerland	LSG
Lighting Science BayLight	4455562	12/24/2013	United States	LSG
POWERYE	0807305	8/17/2006	BENELUX	LSG
POWERYE	913764	1/22/2007	International Registration – Madrid Protocol (Germany)	LSG
PRIZMALINE	4207099	9/11/2012	United States	LSG

Trademark	Registration Number	Registration Date	Country	Owner
PROLIFIC	TMA834626	10/18/2012	Canada	LSG
PROLIFIC	008240434	11/13/2009	European Union (CTM)	LSG
PROLIFIC	4067672	12/6/2011	United States	LSG
ROADMASTER	010246866	2/9/2012	European Union (CTM)	LSG
ROADMASTER	4176593	7/17/2012	United States	LSG
TITAN	3791824	5/25/2010	United States	LSG
TITANTURBO	3783178	5/4/2010	United States	LSG
VividGro	011086808	12/28/2012	European Union (CTM)	LSG
WELCOME TO THE LIGHT AGES	4336387	5/14/2013	United States	LSG

TRADEMARK APPLICATIONS

Trademark	Application Number	Application Date	Country	Owner
Coastal	85/969012	6/25/2013	United States	LSG
Definity	2468977	1/30/2013	India	LSG
Definity	191634	2/2/2013	International Registration – Madrid Protocol (Saudi Arabia)	LSG
Definity	201304098	1/29/2013	International Registration – Madrid Protocol (South Africa)	LSG
Definity	186294	2/5/2013	International Registration – Madrid Protocol (United Arab Emirates)	LSG
DEFINITY IQ	1523166	4/11/2011	Canada	LSG
FOREFRONT	904463915	1/20/2012	Brazil	LSG
FOREFRONT	1533685	6/28/2011	Canada	LSG
FOREFRONT	2260695	1/3/2012	India	LSG
GLIMPSE	1545245	9/27/2011	Canada	LSG
HOMESTAR	1483405	6/2/2010	Canada	LSG
INTELLIGENCE BY LIGHTING SCIENCE	1526066	5/3/2011	Canada	LSG
LIGHT THAT WILL CHANGE YOUR LIFE	A0040730	2/7/2014	International Registration - Madrid Protocol (China, European Union (CTM))	LSG
LIGHTING SCIENCE	Unavailable – recently mailed	Unavailable – recently mailed	India	LSG
LightingScience (stylized and design)	A0040244	1/10/2014	International Registration – Madrid Protocol (China)	LSG
LightingScience (stylized and design)	A0040244	1/10/2014	International Registration – Madrid Protocol (European Union (CTM))	LSG
LightingScience (Stylized) AND DESIGN	A0040244	1/10/2014	International Registration – Madrid Protocol	LSG
MISCELLANEOUS	A0040725	2/7/2014	International	LSG

Trademark	Application Number	Application Date	Country	Owner
DESIGN (broken circle)			Registration – Madrid Protocol (China, European Union (CTM))	
PIXELVIEW	85/774635	11/8/2012	United States	LSG
ROADMASTER	1541721	8/30/2011	Canada	LSG
ROADMASTER	2197117	8/29/2011	India	LSG
WiLight	1526060	5/3/2011	CANADA	LSG
Yes - it's all possible with Light	A0040728	2/7/2014	Int'l Reg - Madrid Protocol	LSG
Yes - it's all possible with Light	A0040728	2/7/2014	Int'l Reg - Madrid Protocol CHINA	LSG
Yes - it's all possible with Light	A0040728	2/7/2014	Int'l Reg - Madrid Protocol EUROPEAN UNION (CTM)	LSG
