RECORDATION FORM COVE Rev. 03/01)  TRADEMARKS ON 5 - 70 - 03	
To the Honorable Commissioner of Papents and Trademark	ks: Please record the attach
Name of conveying party(ies):  DB Alex. Brown LLC  Individual(s)  General Partnership  Corporation-State:	2. Name and address of receiving party(ies):  Name: Deutsche Banc Alex. Brown Inc.  Street Address: One South Street  MS 1/24/06  City: Baltimore State: MD ZIP: 21202
☐ Other: Delaware Limited Liability Company  Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No	☐ Individual(s) citizenship:
Nature of conveyance:  Assignment Merger  Security Agreement Change of Name  Other:  Execution Date: January 4, 2001	Limited Partnership of:      Corporation-State:
Application number(s) or registration number(s):  A. Trademark Application No.(s)  75/808,122	B. Trademark Registration No.(s)  2,117,856
Additional numbers attache	ed Yes No
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and registrations involved:
Name:Ann K. Ford, Esq. Internal Address:PIPER RUDNICK LLP  Street Address:1200 Nineteenth Street, NW	7. Total fee (37 C.F.R. § 3.41) \$ 115.00 Enclosed  Authorized to be charged to deposit account
City: Washington State: DC ZIP: 20036 5/22/2003 DBYRNE 00000077 75808122	Deposit account number:      501150  (Attach duplicate capy of this page if paying by deposit account)
1 FC:8521	(Attach duplicate copy of this page if paying by deposit account)  E THIS SPACE

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Total no. of pages incl. cover sheets, attachments, and document: 2

**do**cu**me**nt

Ann K. Ford, Esq.
Name of Person Signing

Washington, D.C. 20231

### CERTIFICATE OF MERGER

OF

# DB ALEX. BROWN LLC (a Delaware Limited Liability Company)

#### INTO

## DEUTSCHE BANK SECURITIES INC. (a Delaware Corporation)

Pursuant to Section 264(c) of the Delaware General Corporation Law, the undersigned, being the surviving constituent entity, hereby certifies as follows:

FIRST: The name of the surviving constituent entity is DEUTSCHE BANK SECURITIES INC.; its state of incorporation is Delaware.

SECOND: The name of the non-surviving constituent entity is DB ALEX. BROWN LLC; its state of organisation is Delaware.

THIRD: An Agreement and Plan of Merger has been approved, adopted, certified, executed and acknowledged by each constituent entity in accordance with Section 264(c) of the General Corporation Law of the State of Delaware.

FOURTH: The Certificate of Incorporation of the surviving constituent entity is amended to change the name of the corporation by striking paragraph FIRST of the Certificate of Incorporation in its entirety and inserting the following new paragraph FIRST as follows:

"FIRST: The name of the corporation is DEUTSCHE BANC ALEX. BROWN INC."

FIFTH: A copy of the Agreement and Plan of Merger is on file at the office of the surviving constituent entity: 31 West 52nd Street, New York, New York 10019.

STATE OF DELAWARE SECRETARY OF STATE DIVISION OF CORPORATIONS FILED 03:00 PM 01/04/2001 010007217 - 0777646

TRADEMARK REEL: 002738 FRAME: 0465 SIXTH: A copy of the Agreement and Plan of Merger will be furnished by the surviving constituent entity, on request and without cost; to any stockholder of the constituent corporation or member of the constituent limited liability company.

SEVENTH: This merger shall be effective on the close of business January 12, 2001.

IN WITNESS WHEREOF, this Certificate is hereby executed as of the 4th day of January, 2001.

DEUTSCHE BANK SECURITIES INC. (a Delaware Corporation)

Name: D.J. GAVENDA

Title: CHIEF FINANCIAL OFFICER

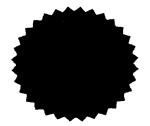
**REEL: 002738 FRAME: 0466** 



### The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER OF "DEUTSCHE BANK SECURITIES INC.", CHANGING ITS NAME FROM "DEUTSCHE BANK SECURITIES INC." TO "DEUTSCHE BANC ALEX. BROWN INC.", FILED IN THIS OFFICE ON THE FOURTH DAY OF JANUARY, A.D. 2001, AT 3 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE TWELFTH DAY OF JANUARY, A.D. 2001.



0777646 8100

030165108

RECORDED: 05/20/2003

AUTHENTICATION: 2303376

DATE: OPRADEMARK

REEL: 002738 FRAME: 0467