FORM PTO-1594 (Modified) (Rev. 6-53) OMB No. 0651-0011 (exp.4/94) Copyright 1994-97 LegalStar TM05/REV03

10-26-2001

**₹ SHEET NLY**  Docket No.:

325-200

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): 10.11.01 C-BATES, LLC Name: Fleet National Bank Internal Address: Street Address: 157 Church Street, 26th Floor ☐ Individual(s) Association General Partnership ☐ Limited Partnership City: New Haven State: CT ZIP: 06510 □ Corporation-State Individual(s) citizenship ○ Other Connecticut Limited Liability Comapny Association National Banking Association Additional names(s) of conveying party(ies) Yes X No ☐ General Partnership \_\_\_\_\_\_ ☐ Limited Partnership \_\_\_\_\_ 3. Nature of conveyance: ☐ Corporation-State ☐ Merger ☐ Assignment ☐ Other Security Agreement Change of Name If assignee is not domiciled in the United States, a domestic □ Other Yes designation is (Designations must be a separate document from Execution Date: September 27, 2001  $\square$  N Additional name(s) & address(es) ☐ Yes 4. Application number(s) or registration numbers(s): B. Trademark Registration No.(s) A. Trademark Application No.(s) 16 1,780,056 403,531 1,537,219 76/169,607 76/260,794 788,572 1,519,571 756,156 1,304,550 1,702,525 756,216 X Yes No Additional numbers 6. Total number of applications and 5. Name and address of party to whom correspondence 30 registrations involved..... concerning document should be mailed: Name: Melvin I. Stoltz 7. Total fee (37 CFR 3.41):....\$ \$765.00 Internal Address: Enclosed Authorized to be charged to deposit account 8. Deposit account number: Street Address: 51 Cherry Street 19-4512 State: <u>CT</u> ZIP: <u>06460</u> City: Milford DO NOT USE THIS SPACE 10/25/2001 DBYRNE 00000269 76169607 1 FC:481 725.00 O 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. October 9, 2001 Melvin I. Stoltz Date Signature Name of Person Signing

Total number of pages including cover sheet, attachments, and

TRADEMARK

**REEL: 002389 FRAME: 0528** 

#### **RECORDATION FORM COVER SHEET - PAGE 2**

#### TRADEMARK REGISTRATION NO.(S) CONTINUED

957,906 933,352 1,193,016 1,634,663 1,048,194 978,680 1,717,377 1,695,701 1,376,646 1,246,060 1,732,513 1,364,002 1,720,334 1,507,857 1,448,173 1,554,416 323,691 1,838,561 788,576

# NOTICE OF GRANT OF SECURITY INTEREST IN PATENTS AND TRADEMARKS

The undersigned, C-BATES, LLC, a Connecticut limited liability company, having its chief executive office and principal place of business at 100 Trap Falls Road Extension, Shelton, Connecticut 06484 (the "Grantor"), has, on the date hereof, granted to Fleet National Bank, a national banking association organized and existing under the laws of the United States of America, having a banking office at 157 Church Street, 26<sup>th</sup> Floor, New Haven, Connecticut 06510 (the "Lender"), a continuing first priority lien and security interest in:

- (i) all of Grantor's right, title and interest, present and future, in and to (a) all letters of patent of the United States or any other country, all right, title and interest therein and thereto, and all registrations and recordings thereof, including, without limitation, applications, registrations and recordings in the United States Patent and Trademark Office or in any similar office or agency of the United States and/or any State thereof or any other country or any political subdivision thereof, all whether now owned or hereafter acquired by Grantor, including the registered patents of Grantor described on Schedule A attached hereto; and (b) all reissues, continuations, continuations-in-part or extensions thereof and all licenses thereof; and all proceeds of the foregoing and all proceeds of insurance on the foregoing; and
- all of Grantor's right, title and interest, present and future, in and to (a) all (ii) trademarks, trade names, trade styles, service marks, prints and labels on which said trademarks, trade names, trade styles and service marks have appeared or appear, designs of like nature, now existing or hereafter adopted or acquired, all right, title and interest therein and thereto, and all registrations and recordings thereof, including, without limitation, the United States Patent and Trademark Office or in any other similar office or agency of the United States, any State thereof, or any other country or any political subdivision thereafter, all whether now owned or hereafter acquired by Grantor, including the registered trademarks and pending trademarks described on Schedule B attached hereto (collectively, the "Trademarks"); (b) all reissues, extensions or renewals thereof and all licenses thereof; and (c) all goodwill of the business symbolized by each of the Trademarks, and all customer lists and other records of Grantor relating to the distribution of products bearing the Trademarks; and all proceeds of the foregoing and all proceeds of any insurance on the foregoing;

pursuant to the terms of a certain Second Amended and Restated Loan and Security Agreement dated effective as of June 30, 2000, as amended effective as of May 31, 2001 and as of the date hereof, by and among Grantor, Grantor's affiliate, The W.E. Bassett Company, a Connecticut

{W1185319;3}

corporation, and Lender (the "Amended Loan and Security Agreement"), to secure Grantor's joint and several indebtedness to Lender under the terms of the Amended Loan and Security Agreement.

Dated this 27<sup>th</sup> day of September, 2001.

C-BATES, LLC

William C. Bassett

Its Manager

FLEET NATIONAL BANK

Its Senior Vice President

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**TRADEMARK** 

REEL: 002389 FRAME: 0531

#### SCHEDULE A

#### **REGISTERED PATENTS**

Patent No. 4,764,362 held by C-BATES, LLC (by assignment from Pacer Technology) originally registered with the United States Patent and Trademark Office on August 16, 1988, entitled NAIL-CONDITIONING EMERY BOARDS AND PROCESS FOR MAKING THEM.

Patent No. 4,813,976 held by C-BATES, LLC (by assignment from Pacer Technology) originally registered with the United States Patent and Trademark Office on March 21, 1989, entitled NAIL-CONDITIONING EMERY BOARDS AND PROCESS FOR MAKING THEM.

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TRADEMARK

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### REGISTERED TRADEMARKS

Trademark	Registration No.
BATES	403,531
BATH-PAC	788,572
BUFF N' GLOW	1,304,550
COLOR WORKS	1,537,219
COLORS	1,519,571
COLORS	1,702,525
COSMETCO	1,780,056
DIAMON DEB	756,156
DIAMON DEB	756,216
DIAMON DEB	957,906
DIAMON GLAZE	933,352
FILETTE	1,193,016
GEAR & BLOCK	1,634,663
KURLASH	1,048,194
KURLASH	978,680
MOTHER'S CHOICE	1,717,377
MR. DEL ORO	1,695,701
MR. MUSTACHE	1,376,646
OVERNIGHT'R	1,246,060
PRESTIGE	1,732,513
PRONAIL & DESIGN	1,364,002
QUARTET	1,720,334
SIGNATURE	1,507,857
THE COURIER	1,448,173

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## SCHEDULE B (cont'd)

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### REGISTERED TRADEMARKS

<u>Trademark</u>	Registration No.
THE OVERNIGHTER	1,554,416
TWISSORS	323,691
ULTRA	1,838,561
VALET-PAC	788,576

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## SCHEDULE B (cont'd)

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#### PENDING TRADEMARKS

<u>Trademark</u> <u>Registration No.</u>

WILDERNESS 76/169,607

YIKES "I LOVE IT!" 76/260,794

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**RECORDED: 10/16/2001** 

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