

09-21-2001

FORM PTO-1594

1-31-92



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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents

101850743

Documents or copy thereof.

1. Name of conveying party(ies):

HENDERSON PLC

- Individual(s)
- General Partnership
- Corporation-State
- Other United Kingdom Corporation

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of Conveyance:

- Assignment
- Security Agreement
- Other _____
- Merger
- Change of Name

Execution Date: NOVEMBER 7, 2000

2. Name and address of receiving party(ies):

Name: HENDERSON GLOBAL INVESTORS (HOLDINGS) PLC

Address: 4 BROADGATE
LONDON EC2M 2DA
ENGLAND

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnership
- Corporation-State
- Other

If assignee is not domiciled in the United States, a domestic representative designation is attached Yes No

(Designations must be a separate document from Assignment)

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

78/036,286

B. Trademark registration No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Jeffrey H. Kaufman
OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.
Attorneys at Law
Fourth Floor
1755 Jefferson Davis Highway
Arlington, Virginia 22202

OSMMN Ref: 199685US33 JHK/PJH/agb

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41): . . . \$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: 15-0030
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey H. Kaufman
Name of Person Signing

[Signature] 9/17/01
Signature Date

Total number of pages including cover sheet, attachments, and document: 4

OMB No. 0651-0011 (exp. 4/94)

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TRADEMARK
REEL: 002370 FRAME: 0860



THE COMPANIES ACT 1985

Company No. 1721385

The Registrar of Companies for England and Wales hereby certifies that

HENDERSON GLOBAL INVESTORS (HOLDINGS) PLC (originally called **CHURCHWALKS LIMITED** which name was changed by special resolution on 15th June 1983 to **HENDERSON ADMINISTRATION GROUP LIMITED**) was incorporated under the Companies Acts 1948 to 1981 as a limited company on 9th May 1983, and re-registered under the Companies Acts 1948 to 1980 as a public company on 23rd June 1983 as **HENDERSON ADMINISTRATION GROUP plc**, which name was changed on 1st November 1996 to **Henderson plc**, which name was changed on 7th November 2000 to **HENDERSON GLOBAL INVESTORS (HOLDINGS) PLC**.

The Registrar further certifies that according to the latest notice given by the company, the situation of the registered office is **4 BROADGATE, LONDON EC2M 2DA**.

The registered office was previously notified as being situated at **3 FINSBURY AVENUE, LONDON EC2M 2PA. *******

Given at Companies House, Cardiff the **11th April 2001**

MRS. J. M. MAYOR
for the Registrar of Companies



Companies House

----- for the record -----

RECORDED: 09/17/2001

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