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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Y.2201

UOP, LLC

- Individual(s) Association General Partnership Limited Partnership Corporation-State Other

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Ocean Optics, Inc.

Internal

Address:

Street Address: 380 Main Street

City: Dunedin State: FL Zip: 34698

- Individual(s) citizenship Association General Partnership Limited Partnership Corporation-State Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No (Designations must be a separate document from assignment) Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger Security Agreement Change of Name Other

Execution Date:

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

U.S. Reg. No. 1,819,768

U.S. Reg. No. 1,974,537

Additional number(s) attached Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James M. Matulis, Esq.

Internal Address: Fowler, White, Gillen, Boggs, Villareal and Banker, P.A.

Suite 1700

Street Address: 501 E. Kennedy Blvd.

City: Tampa State: FL Zip: 33602

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41): \$ 80.00

- Enclosed Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James M. Matulis

Name of Person Signing

Signature

8-22-01

Date

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08/28/2001 LMUELLER 00000061 500864 1819768 Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231

01 FD:481 40.00 CH 02 FD:482 25.00 CH

TRADEMARK REEL: 002356 FRAME: 0756



**Registered Trademarks:**

**Guided Wave**

United States, Reg No. 1819768

Japan, Reg No. 3355611

European Community, Reg. No. 000168385

**Spectron**

United States, Reg No. 1974537.

**EXHIBIT 1**  
**TO ASSIGNMENT OF PATENTS AND TRADEMARKS**

**Transferred Patents, including foreign counterparts:**

1. Le Febre, David A., "Optical Waveguide Spectrum Analyzer and Method", US Patent # 4,664,522, filed Aug. 24, 1984, issued May 12, 1987.
2. LeFebre, David, A. and Roger E. Schirmer, "Spectral Analysis Apparatus and Method", US Patent No. 4,786,171, issued Nov 22, 1988.
3. Lefebre, David A., "Dual Fiber Optic Spectrophotometer", US Patent No. 5,184,193, issued Feb. 2, 1993.
4. Fishietto, Frederick J., Ralph E. Jones, Steve W. Wilcox, and Mark S. Zetter, "Optical Fibers with Light Absorbing Coating", US Patent No. 5,381,505, issued Jan 10, 1995.
5. Le Febre David A. and Linda M. Lane, "Process for Controlling Blending", US Patent # 5,430,295, filed Dec. 16, 1993, issued July 4, 1995.
6. Sanger, Robert J., Stephen M. Metro, and Brian K. Masterson, "Flow Cell with Leakage Detection", US Patent 5,452,082, filed July 5, 1994, issued Sept. 19, 1995.
7. Masterson, Brian K., and Terry R. Todd, "Spectroscopic Helical Separator and Fluid Sample Interface", US Patent # 5,923,431, filed April 14, 1998, issued July 13, 1999.
8. Murray, Jr., Richard C. and Mark S. Zetter, "Calibration Spectra in Measuring Properties of Petroleum Products", US Patent No. 5,512,751, issued April 30, 1996.
9. Van Den Berg, Rian, Mark S. Zetter, Keith L. Miller, and Terry R. Todd, "Measurement of Vaporized Hydrogen Peroxide", US Patent No 5,600,142, issued Feb 4, 1997.
10. Van Den Berg, Rian, Mark S. Zetter, Keith L. Miller, and Terry R. Todd, "Determining the Concentration of Gaseous Hydrogen Peroxide", US Patent No 5,847,392, issued Dec 8, 1998.
11. Van Den Berg, Rian, Mark S. Zetter, Keith L. Miller, and Terry R. Todd, "Control of Gaseous Hydrogen Peroxide Concentration during Sterilization", US Patent No 5,847,393, issued Dec 8, 1998.
12. Masterson, Brian K., Calvin Reynolds, Terry R. Todd, "Radiation Probe with Flexible Sleeve", US Patent # 6,043,895, filed Nov. 10, 1998, issued March 28, 2000.
13. Masterson, Brian K., and Terry R. Todd, "Spectroscopic Fluid Sample Cell", US Patent # 6,049,388, filed April 14, 1998, issued April 11, 2000.

**Patent Applications Filed:**

14. Masterson, Brian K., Calvin Reynolds, and Norbert J. Junghardt, "Flow Cell for Spectrophotometric Detection with Asymmetrical Fluid Flow Dissipation", US Provisional Patent Application, filed March 1998. Serial No. 09/256,698