

Expires 06/30/99  
OMB 065-0040



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1-16-01

### RECORDATION FORM COVER SHEET TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

#### Submission Type

- New
- Resubmission (Non-Recordation)  
Document ID #
- Correction of PTO Error  
Reel #  Frame #
- Corrective Document  
Reel #  Frame #

#### Conveyance Type

- Assignment  License
- Security Agreement  Nunc Pro Tunc Assignment
- Merger  Effective Date  
Month Day Year
- Change of Name
- Other

#### Conveying Party

Mark if additional names of conveying parties attached

Name

Execution Date  
Month Day Year

Formerly

- Individual  General Partnership  Limited Partnership  Corporation  Association
- Other
- Citizenship/State of Incorporation/Organization

#### Receiving Party

Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

- Individual  General Partnership  Limited Partnership
- Corporation  Association
- Other
- Citizenship/State of Incorporation/Organization

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

FOR OFFICE USE ONLY

01/17/2001 DNGUYEN 00000001 2240452

01 FC:481  
02 FC:482

40.00 OP  
200.00 OP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments. #

**Trademark Application Number(s) or Registration Number(s)**

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

**Trademark Application Number(s)**

**Registration Number(s)**

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

<input type="text" value="2240452"/>	<input type="text" value="2386036"/>	<input type="text" value="2295896"/>
<input type="text" value="2342186"/>	<input type="text" value="2227883"/>	<input type="text" value="2348232"/>
<input type="text" value="2379959"/>	<input type="text" value="2348231"/>	<input type="text" value="2270647"/>

Number of Properties Enter the total number of properties involved. #

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed  Deposit Account

Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.) Deposit Account Number: #

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Catherine McGivney

*Catherine McGivney*  
Signature

1/5/01  
Date Signed

Name of Person Signing

SCHEDULE A

Mark	Application/Serial Number
Options Booster	75/401,943
Tempest 2000	Registration #2,240,452
Tempest Trader	75/401,945
Tango	75/519,188
Franklin	75/401,275
Franklin 2000	*
Interflex 2000	*
Physical Booster	2,227,883
Triple Point Technology (Class No. 42)	75/567,083
Triple Point Technology (Class No. 9)	75/567,081
Misc. Design (Class No. 42)	75/567,084
Misc. Design (Class No. 9)	75/567,082
PowerSavant	75/500,820

\*Applicant Process Pending.

Copyrights:

Title of Work	Reg. No.
Forward Curves Window for Risk Analysis Program	TX 4-799-852
Mainshell for Risk Analysis Program	TX 4-799-853
Value at Risk Calculator for Risk Analysis Program	TX 4-799-854
Core Routine File for Risk Analysis Program	TX 4-799-855

**RELEASE OF TRADEMARK SECURITY AGREEMENT**

**RELEASE OF TRADEMARK SECURITY AGREEMENT**, dated as of ~~March~~ December 20, 2000, made by First Union National Bank, with an office at 300 Main Street, Stamford, Connecticut 06904 ("First Union").

**WHEREAS**, First Union and Triple Point Technology, Inc., a Delaware corporation (the "Borrower") are parties to a certain Copyright and Trademark Security Agreement dated as of October 26, 1999 (the "Copyright and Trademark Security Agreement");

**WHEREAS**, the Copyright and Trademark Security Agreement and a copyright recordation form were filed in the United States Copyright Office on Jan. 3, 2000 at Reel/Frame \_\_\_\_\_ / \_\_\_\_\_;

**WHEREAS**, the Copyright and Trademark Security Agreement, a trademark recordation form and a schedule of certain trademarks were filed in the United States Patent and Trademark Office on Nov. 30, 1999 at Reel/Frame 1998 / 0769;

**WHEREAS**, the Obligations have been satisfied and, therefore, the Borrower has requested that First Union release its security interest in the Intellectual Property listed on Schedule A attached hereto and reassign the same to Borrower.

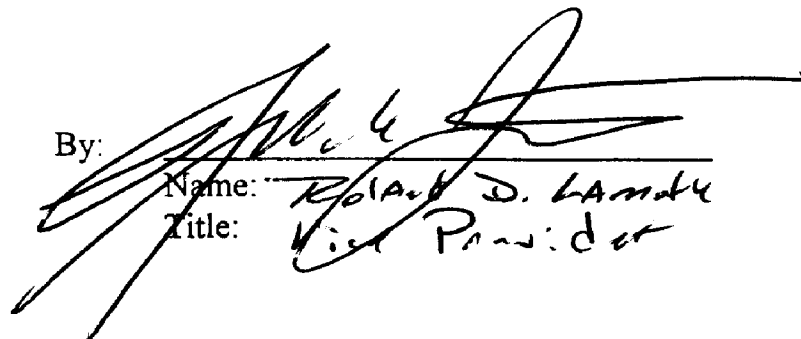
**NOW THEREFORE**, for good and valuable consideration, receipt and sufficiency of which are hereby acknowledged:

1. First Union hereby releases its security interest in First Union's rights, title and interest, in and to the Intellectual Property listed on Schedule A attached hereto, as such rights, title and interest are granted in the Copyright and Trademark Security Agreement.
2. First Union hereby resigns, grants and conveys to the Borrower, without any representation, recourse or undertaking by First Union, all of First Union's rights, title and interest, in and to the Intellectual Property, as such rights, title and interest are granted in the Copyright and Trademark Security Agreement.

IN WITNESS WHEREOF, First Union has caused this Release of Trademark Security Agreement to be duly executed by its duly authorized officer as of the day and year first above written.

FIRST UNION NATIONAL BANK

By:



Name:

Roland D. Lamark

Title:

Vice President

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