

10-05-1999

9-30-99



FORM PTO-1618A  
Expires 6/30/99  
OMB 0651-0027

101162190

U.S. Department of Commerce  
Patent & Trademark Office  
TRADEMARK

RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies)

Submission Type

- New
- Resubmission (Non-Recordation)  
Document ID # [ ]
- Correction of PTO Error  
Reel # [ ] Frame # [ ]
- Corrective Document  
Reel # [ ] Frame # [ ]

Conveyance Type

- Assignment  License
- Security Agreement
- Nunc Pro Tunc Assignment
- Merger  
Effective Date  
Month Day Year  
[ 04 30 99 ]
- Change of Name
- Other [ ]

Conveying Party

Mark if additional names of receiving parties attached

Name [ Bay Networks, Inc. ] Execution Date  
Month Day Year  
[ 04 29 99 ]

Formerly [ ]

- Individual  General Partnership  Limited Partnership  Corporation  Association

Other [ ]

Citizenship/State of Incorporation/Organization [ State of Delaware ]

Receiving Party

Mark if additional names of receiving parties attached

Name [ Nortel Networks NA Inc. ]

DBA/AKA/TA [ ]

Composed of [ ]

Address (line 1) [ 4401 Great America Parkway ]

Address (line 2) [ ]

Address (line 3) [ Santa Clara ] [ California ] [ 95052-8185 ]

City

State

Zip Code

- Individual  General Partnership  Limited Partnership  Corporation  Association

Other [ ]

Citizenship/State of Incorporation/Organization [ State of Delaware ]

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment).

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 1651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

SF3030975.1  
1020821-900600

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REEL: 001969 FRAME: 0241

**Domestic Representative Name and Address**

Enter the first Receiving Party Only

Name [ \_\_\_\_\_ ]  
 Address (line 1) [ \_\_\_\_\_ ]  
 Address (line 2) [ \_\_\_\_\_ ]  
 Address (line 3) [ \_\_\_\_\_ ]  
 Address (line 4) [ \_\_\_\_\_ ]

**Correspondence Name and Address**

Area Code and Telephone Number [415-836-2530]

Name [Margaret M. Powers]  
 Address (line 1) [Gray Cary Ware & Freidenrich LLP]  
 Address (line 2) [400 Hamilton Avenue]  
 Address (line 3) [Palo Alto, California 94301-1825]  
 Address (line 4) [ \_\_\_\_\_ ]

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments

# [ - 1 - ]

**Trademark Application Number(s) or Registration Number(s)**

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)			Registration Number(s)		
[75-359,939]	[75-524231]	[ _____ ]	[1841239]	[1927678]	[2033698]
[75-016670]	[75-438,745]	[ _____ ]	[2019659]	[2044256]	[1678359]
[75-438127]	[75-499,518]	[ _____ ]	[1823617]	[2121962]	[1841736]

**Number of Properties**

Enter the total amount of properties involved. # [ 40 ]

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ [ \$1,015.00 ]

Method of Payment: Enclosed  Deposit Account

**Deposit Account**

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # [ 07-1907 ]

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to a deposit account are authorized, as indicated herein.

MARGARET M. POWERS

*Margaret M. Powers*

*September 30, 1999*

Name of Person Signing

Signature

Date Signed

CHANGE OF NAME RECORDATION

Bay Networks, Inc.  
to  
Nortel Networks NA Inc.

Additional Registration Numbers:

1499350	1903391	2023581
2249863	1854473	1495776
1835190	1951629	2124219
1871118	2189590	1864197
1668394	1835262	1645005
1820473	2070509	1896853
1674120	1845496	1860773
1191560	1188607	1191561
1188609		

Office of the Secretary of State

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I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "BAY NETWORKS, INC.", CHANGING ITS NAME FROM "BAY NETWORKS, INC." TO "NORTEL NETWORKS NA INC.", FILED IN THIS OFFICE ON THE THIRTIETH DAY OF APRIL, A.D. 1999, AT 9 O'CLOCK A.M.



2090048 8100

991187281

A handwritten signature in cursive script, reading "Edward J. Freel".

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Edward J. Freel, Secretary of State

9738126

AUTHENTICATION:

DATE: 05-11-99

TRADEMARK

REEL: 001969 FRAME: 0244

**CERTIFICATE OF AMENDMENT**  
**OF THE CERTIFICATE OF INCORPORATION OF**  
**BAY NETWORKS, INC.**

---

Bay Networks, Inc., a corporation organized and existing under the laws of the State of Delaware (the "Corporation"), hereby certifies as follows:

1. The name of this corporation is Bay Networks, Inc.
2. The original Certificate of Incorporation of the Corporation was filed with the Secretary of State of the State of Delaware on May 2, 1986.
3. The Certificate of Incorporation of this corporation is hereby amended, effective April 29, 1999, by changing the Article 1 thereof to read as follows:

"The name of this corporation is Nortel Networks NA Inc."


4. The Amendment to Certificate of Incorporation of this corporation has been approved by the Board of Directors of the Corporation, which declared that said Amendment was advisable, and by all of the Stockholders in accordance with Sections 228 and 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, Bay Networks, Inc. has caused this Certificate to be signed by its Executive Vice President and Assistant Secretary this 29<sup>th</sup> day of April, 1999.

Bay Networks, Inc.

  
By: David J. Rynne  
Title: Executive Vice President

Attest:

  
DEBORAH TOLANSOND, Assistant Secretary

6122654.1

STATE OF DELAWARE  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 09:00 AM 04/30/1999  
991171575 - 2090048

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**TRADEMARK**  
**REEL: 001969 FRAME: 0245**

400 Hamilton Avenue, Palo Alto, CA 94301-1825

Phone 650-328-6561 Fax 650-327-3699 www.graycary.com

Writer's Direct Dial: 650-833-2343  
Internet: mharbottle@graycary.com

Our File No: 1140470-900208/209

September 28, 1999

VIA EXPRESS MAIL

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231  
Attn: Assignment Division

**Re: Change of Name of Not Limited Incorporated**

Dear Sir:

Enclosed is a copy of a Certificate of Amendment of the Articles of Incorporation of Not Limited Incorporated. This Amendment is to change the name of the corporation to Alation Systems, Inc. and was filed with the Secretary of State of California on October 9, 1998.

Please record this change of name against the following records in the U.S. Patent and Trademark Office:

<u>Trademark</u>	<u>Number</u>
HOP	75/574345
HOMECAST	75/574347

Please debit my firm's Deposit Account, No. 07-1907, for the recording fee noted on the enclosed Trademark Recordation Cover Sheet.

Please address all communication in regard to this matter to the undersigned at our Palo Alto office.

Very truly yours,

GRAY CARY WARE & FREIDENRICH LLP

By:   
Michelle R. Harbottle

Enclosures  
cc: Nancy K. Tervo (w/enclosures)

**CERTIFICATE OF EXPRESS MAILING**  
I do hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail on 9/29/99 in an envelope numbered

**EH815604058US**

addressed to:  
Assistant Commissioner for Trademarks  
2900 Crystal Drive  
Arlington, VA 22202-3513



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1140470-900200

**RECORDED: 09/30/1999**

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