

05-04-1999

JLY

Honorable Commissioner of  
Name of conveying party(ies):



101029071

attached original documents or copy thereof.

address of receiving party(ies):

Ciba-Geigy Corporation

Name: Novartis Corporation

Internal Address: \_\_\_\_\_

Street Address: 564 Morris Avenue

City: Summit State: NJ ZIP: 07901

- Individual(s)
- General Partnership
- Corporation-State-New York
- Other \_\_\_\_\_

- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached?  Yes  No

- Individual(s) citizenship \_\_\_\_\_
- Association \_\_\_\_\_
- General Partnership \_\_\_\_\_
- Limited Partnership \_\_\_\_\_
- Corporation-State New York
- Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No

(Designations must be a separate document from Assignment)

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other \_\_\_\_\_
- Merger
- Change of Name

Execution Date: December 26, 1996

4. Application number(s) or registration number(s):

Trademark Application No.(s)

See Attachment A

B. Trademark registration No.(s)

See Attachment B

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Barry A. Solomon, Esq.

Internal Address: Novartis Corporation

Street Address: 564 Morris Avenue

City: Summit State: NJ ZIP: 07901

6. Total number of applications and registrations involved: \_\_\_\_\_

325

7. Total fee (37 CFR 3.41):..... \$ 8140.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

50-0430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry A. Solomon  
Name of Person Signing

[Signature]  
Signature

10/16/98  
Date

Total number of pages comprising cover sheet:

**CIBA-GEIGY CORPORATION to NOVARTIS CORPORATION**  
**Trademark Registrations**

MARK	REG. NO.	REG. DATE	CLASS
3-DWARF-3	1116923	4/24/79	31
AATREX	881125	11/25/69	5
ACCU-PAK	859506	11/5/68	20
ACCU-PAK	1767866	4/27/93	5
ACTIGALL	1564125	11/7/89	5
ACTION	1986136	7/9/96	5
ACTISAN	997261	11/5/74	5
ACUTRIM LATE DAY	1421697	12/23/86	5
ALAMO	1644232	5/14/91	5
AMBER	1295635	9/18/84	5
ANAFRANIL	783928	1/26/65	5
ANTURANE	740216	11/6/62	5
AO Disc Design	2122869	12/23/97	5
APRESAZIDE	1058919	2/15/77	5
APRESOLINE	566994	11/18/52	5
APRON	1200110	7/6/82	5
AREDIA	1692345	6/9/92	5
ARMOR	1391317	4/29/86	5
ASTIGMALENS	1193392	4/6/82	9
ATRATOL	827735	4/25/67	35
ATROPISOL	710449	1/31/61	5
AWARD	1695191	6/16/92	5
AXLE	1915781	8/29/95	5
BANNER	1229207	3/8/83	5
BASUS	1865154	11/29/94	5
BEACON	1498268	8/2/88	5
BICEP	1075810	10/25/77	5
BICEP II	1491916	6/14/88	5
BICEP II MAGNUM	2148224	3/31/98	5
BICEP LITE	1717027	9/15/92	5
BICEP LITE II	2059791	5/6/97	5
BICEP LITE II MAGNUM	2137860	2/17/98	5
BISOFT	1171078	9/29/81	9
BREAK	2084230	7/29/97	5
BRETHAIRE	1166051	8/25/81	5
BRETHANCER	1387978	4/1/86	5
BRETHINE	1033587	2/17/76	5
CALDECORT	671106	12/16/58	5
CALIBER 90	1157627	6/16/81	5
CAPAROL	800876	12/28/65	5
CATAFLAM	1569211	12/5/89	5
CATARASE	872021	7/1/69	5

Attachment B

**CIBA-GEIGY CORPORATION to NOVARTIS CORPORATION**  
**Trademark Applications**

MARK	REG. NO.	REG. DATE	CLASS
ACTIGARD	A.N. 75/024537	11/27/95	5
AEROLISER	A.N. 75/050491	1/30/96	5
APRON XL	A.N. 75/065567	2/29/96	5
ARENSIN	A.N. 74/702137	7/17/95	5
BICEP MAGNUM	A.N. 75/050495	1/30/96	5
CLOMICALM	A.N. 74/656411	4/5/95	5
COLONY	A.N. 74/510842	4/11/94	5
DEXACINE	A.N. 75/070879	3/11/96	5
DIOVAN HCT	A.N. 75/189097	10/28/96	5
DUAL II MAGNUM	A.N. 75/050494	1/30/96	5
DUAL MAGNUM	A.N. 75/050499	1/30/96	5
EVICT	A.N. 75/163955	9/10/96	5
FASINEX	A.N. 74/599509	11/16/94	5
FEMARA	A.N. 75/041701	1/11/96	5
FORADIL	A.N. 75/178094	10/7/96	5
FORADIL AEROLISER	A.N. 75/050492	1/30/96	5
FORTEKOR	A.N. 74/573963	9/15/94	5
GRANITE	A.N. 75/050293	1/30/96	5
INHIBIT	A.N. 74/644763	3/10/95	5
INVATEX	A.N. 75/131012	7/8/96	1
KO	A.N. 74/656413	4/5/95	31
MAX PAK	A.N. 75/007318	10/18/95	22
MEDAL	A.N. 74/523770	5/11/94	5
MEDALLION	A.N. 74/450605	10/25/93	5
MOR-CLEAR	A.N. 75/061908	2/20/96	5
NIGHT & DAY	A.N. 75/169081	9/20/96	9
NOVACON	A.N. 75/106580	5/20/96	9
PLATINUM	A.N. 74/450610	10/25/93	5
PREMIER	A.N. 74/692940	6/23/95	5
PROCOM	A.N. 75/053739	2/5/96	5
PROEASE	A.N. 75/053740	2/5/96	5
RADIUS	A.N. 75/061894	2/29/96	5
RESIST	A.N. 75/024534	11/27/95	5
TRILEPTAL	A.N. 74/519619	5/2/94	5
VALTENSIN	A.N. 75/079495	3/27/96	5
VANGARD	A.N. 75/039178	1/2/96	5
VARSITY	A.N. 75/053735	2/5/96	5

Attachment A

**TRADEMARK**  
**REEL: 001900 FRAME: 0071**

**CIBA-GEIGY CORPORATION to NOVARTIS CORPORATION**  
**Trademark Registrations**

MARK	REG. NO.	REG. DATE	CLASS
PRINCEP	878012	10/7/69	5
PRISCOLINE	534295	12/5/50	5
PROGRAM	1489820	5/31/88	5
PROTEK	2049693	4/1/97	9
PURE EYES	2012519	10/29/96	5
QUICK CARE	1949508	1/16/96	5
QVEL	1109081	12/19/78	5
REGITINE	548793	9/25/51	5
REVASC	1971742	4/30/96	5
RHODETAL	1185318	1/12/82	1
RIDOMIL	1113940	2/27/79	5
RIDOMIL GOLD	2027753	12/31/96	5
RIDOMIL MZ	1284922	7/10/84	5
RIDOMIL PC	1418117	11/25/86	5
RIMACTANE	866142	3/11/69	5
RITALIN	517928	11/22/49	5
RITALIN SR	1149578	7/26/79	5
ROMAZE	1075813	10/25/77	5
Saatgut (Seed) Design	1699693	7/7/92	5
SANTA FE	1397336	6/17/86	5
SAVING MONEY FOR TODAY'S COST-CONSCIOUS PATIENT	1859165	10/18/94	16
SEQUESTRENE	827959	4/25/67	1
SEQUESTRENE	833167	8/8/67	1
SER-AP-ES	701253	7/19/60	5
SINGOSERP	673107	1/27/59	5
SLOW FE	1397336	6/17/86	5
SLOW-K	945613	10/24/72	5
SOFT CRYSTAL	1199410	6/29/82	9
SOFTCOLORS	1357825	9/3/85	9
SOFTCON	983286	5/7/74	9
SOFTWEAR	1603387	6/26/90	5
SPECTRUM	1480554	3/15/88	9
SPORTS LENS and Design	1235182	4/19/83	9
SPRINT	1583191	2/20/90	1
STERAZOLIDIN	671437	12/23/58	5
SUBDUE	1308600	12/11/84	5
SULF-10	982452	4/23/74	5
SUNLENS	1228819	2/22/83	9
SUPRACIDE	979236	2/26/74	5
SUR-DROP	931567	4/4/72	31
T.E.P.	1422495	12/30/86	5
TACITIN	671107	12/16/58	5

Attachment B

**CIBA-GEIGY CORPORATION to NOVARTIS CORPORATION**  
**Trademark Registrations**

MARK	REG. NO.	REG. DATE	CLASS
TAMINE	1449208	7/28/87	5
TANDEARIL	694148	3/8/60	5
TEARISOL	561986	7/22/52	5
TEGRETOL	788091	4/13/65	5
TEN-K	1453710	8/18/87	5
TENORAN	754851	8/20/63	5
THIOCRON	800122	12/14/65	1
TILT	1198447	6/22/82	5
TIMCAPS	664306	7/15/58	5
TOFRANIL	672089	1/6/59	5
TOLBAN	1076781	11/8/77	5
TORISOFT	1190569	2/23/82	9
TORUS	1437693	4/28/87	5
TRANSDERM	1156070	6/2/81	5
TRANSDERM SCOP	1315337	1/22/85	5
TRANSDERM-NITRO	1315335	1/22/85	5
TRASICOR	728787	3/20/62	5
TRIAL PAK	1775857	6/8/93	16
TRIGARD	1263949	1/17/84	5
TRIUMPH	1291770	8/28/84	5
TURF PAK	1820404	2/8/94	5
TURNPAK	2004765	10/1/96	6
TURPEX	1254176	10/18/83	1
ULR-LA	1471152	1/5/88	5
ULTANDREN	671741	12/30/58	5
ULTRA LENS	1198531	7/22/82	9
UNIZYME	2095450	9/9/97	5
VANGARD	1582206	2/13/90	5
VASOCIDIN	754926	8/20/63	5
VASOSULF	922215	10/19/71	5
VISITINT	1396567	6/10/86	9
VIVELLE	2016175	11/12/96	5
VIVELLE ESTRADIOL TRANSDERMAL SYSTEM and Design	2095515	9/9/97	5
VOLTAREN	960282	6/5/73	5
VOLTAREN and Design	1394620	5/27/86	5
VOLTAREN OPHTHALMIC and Design	1769650	5/11/93	5
VOLTAREN OPHTHALMIC	1753115	2/16/93	5
WOMEN'S HEALTH EXCHANGE	2052076	4/15/97	16, 42
WORKS UNDER COVER	1893524	5/9/95	5

Attachment B

**CIBA-GEIGY CORPORATION to NOVARTIS CORPORATION**  
**Trademark Registrations**

MARK	REG. NO.	REG. DATE	CLASS
LUDIOMIL	877314	9/23/69	5
MAAG and Leaf Design	1280473	6/5/84	5
MAAG and Leaf Design	1351286	7/30/85	5
Male Symbol Used with Cruex Product	1746288	1/12/93	5
MAXIM	2006499	10/8/96	5
MAXIMIZER	2120233	12/9/97	31
MAXIMUM STRENGTH 12 HOUR ALLEREST	1530495	3/21/89	5
MAXX 90	1167972	9/8/81	5
MAYVAT	666440	9/2/58	2
MEDICAL HORIZONS	1091978	5/23/78	41
METANDREN	378630	6/11/40	5
METOPIRONE	717108	6/20/61	5
MICRO-C	1190570	2/23/82	9
MILOCEP	1117078	5/1/79	5
MILOGARD	862982	1/7/69	5
MIOCHOL	793579	8/3/65	5
MULTI-VISION	1204141	8/3/82	9
MURAL	819351	11/29/66	1
MYOFLEX	716041	5/30/61	5
MZM	1960755	3/5/96	5
NEWVUES	1520679	1/17/89	9
NOSTRIL	1253337	10/4/83	5
NUPERCAINAL	316472	8/28/34	5
Oblong Rectangle Design (For Use with Desenex)	1704105	7/28/92	5
OLEO-PHOBOL	800868	12/28/65	1
OVERSEED PAK	1902650	7/4/95	16
PACE	1310736	12/25/84	5
PACE	1442689	6/16/87	5
PBZ-SR	1067985	7/21/77	5
PEAK	1933492	11/7/95	5
PENNANT	1417171	11/18/86	5
PHARMASCAN GEIGY	993097	9/10/74	16
PHOBITOR	727617	2/20/62	1
PHOBOL	806212	3/29/66	1
PILOCAR	781646	12/15/64	5
PLANT-PAK	2055690	4/22/97	5
PRAMITOL	820563	12/20/66	5
PRECISION	1987380	7/16/96	5
PRIME +	1251458	9/20/83	5
PRIMO	1742090	12/22/92	5

Attachment B

**CIBA-GEIGY CORPORATION to NOVARTIS CORPORATION**  
**Trademark Registrations**

MARK	REG. NO.	REG. DATE	CLASS
GENTEAL	2015784	11/12/96	5
GESAPAX	780822	12/1/64	1
GESAPRIM	720195	8/22/61	1
GESATOP	719834	8/15/61	1
GG	1792343	9/14/93	5
GONIOSOL	892743	1/16/70	5
GP	2065330	5/27/97	5
GROWING NEWS	1718037	9/22/92	16
GY-PAK	1332107	4/23/85	16
HABITROL	1757530	3/9/93	5
HEADLESS WONDER	1152599	4/28/81	31
HEMERAN	665931	8/19/58	5
HERBIGUARD	1167559	9/1/81	31
HERBISHIELD	1153326	5/5/81	31
Human Body and Lightning Design (Peter Pain Design)	1638136	3/19/91	5
HYDROPHOBOL	806214	3/29/66	1
HYPERTENSIN-CIBA	723498	11/7/61	5
HYPOTEARNS	1407340	9/2/86	5
ILLUSIONS	1555609	9/12/89	9
INFLAMASE	715318	5/16/61	5
INTERCEPTOR	2015850	11/12/96	5
INTERCEPTOR and Design	1695185	6/16/92	5
INTERCEPTOR and Design	1751244	2/9/93	16
INVASOL	796223	9/21/65	5
IOCARE	1433708	3/24/87	10
ISMELIN	696328	4/19/60	5
ISOCLOR	688609	11/24/59	5
KNOCKOUT	2042355	4/4/97	31
LAMPRENE	1336246	5/21/85	5
LARVADEX	1217255	11/23/82	5
LENSEPT	1366250	10/22/85	5
LINGUETS	401510	5/25/43	5
LIORESAL	877316	9/23/69	5
LOCORTEN	753653	7/30/63	5
LOGIC	1336256	5/21/85	5
LOPATOL	1332810	4/30/85	5
LOPRESSOR	1105414	11/7/78	5
LOPRESSOR HCT	1147454	2/24/81	5
LOTENSIN and Design	1692378	6/9/92	5
LOTENSIN HCT	1882770	3/7/95	5
LOTREL	1939013	11/28/95	5

Attachment B

**CIBA-GEIGY CORPORATION to NOVARTIS CORPORATION**  
**Trademark Registrations**

MARK	REG. NO.	REG. DATE	CLASS
DUAL	1042626	7/6/76	5
DUAL II	1489836	5/31/88	5
D-Z-N	1101918	9/12/78	5
DZN	802059	1/18/66	1
EARLY RISER	1159110	6/30/81	16
ECLIPSE	1879100	2/14/95	5
EFLONE	1960929	3/5/96	5
EIGHT-O	1082194	1/17/78	5
ELOPTEN	658715	2/25/58	5
E-PILO-1	978034	2/5/74	5
E-PILO-2	868363	4/22/69	5
E-PILO-3	978035	2/5/74	5
E-PILO-4	868364	4/22/69	5
E-PILO-6	976650	1/15/74	5
ESIDRIX	684349	9/1/59	5
ESIMIL	810955	7/12/66	5
ESTRADERM	1284942	7/10/84	5
ETAZIN	682365	7/28/59	5
EVIK	896296	8/11/70	5
EXCEED	1889136	4/11/95	5
EXCEL and Design	791614	6/29/65	31
EXHIBIT	1692377	6/9/92	5
Eye Design	1752186	2/16/93	5
EYESCRUB	1914057	8/22/95	5
E-Z-DROP	931566	4/4/72	31
FARM-PAK	1235491	4/19/83	42
FASTER PASTURE	1088966	4/4/78	31
FIELD-PAK	1665545	11/19/91	42
FLAVOR TABS	1960810	3/5/96	5
FLUOR-OP	1405448	8/19/86	5
FORHISTAL	701251	7/19/60	5
FULFILL	2130815	1/20/98	5
FUNDUSCEIN	824349	2/21/67	5
FUNGITEX	1075803	10/25/77	5
FUNK'S G HYBRID and Design	1130535	2/5/80	31
G	836146	10/3/67	31
G (Stylized)	411460	1/16/45	31
GALECRON	828981	5/23/67	1
GALECRON STOPS THE WORM IN THE EGG	1167963	9/8/81	5
GAMMACORTEN	687831	11/10/59	5
GEIGY	869956	5/27/69	5
GENTACIDIN	1306784	11/27/84	5

Attachment B



**CIBA-GEIGY CORPORATION to NOVARTIS CORPORATION**  
**Trademark Registrations**

MARK	REG. NO.	REG. DATE	CLASS
CHOLAN HMB	668601	10/21/58	5
CHOLAN V	668600	10/21/58	5
CIBA and Design	385993	3/25/41	5
CIBA VISION	1611014	8/28/90	5
CIBA VISION OPHTHALMICS	1730223	11/3/92	5
CIBA-GEIGY	1083058	1/24/78	1, 5
CIBA-GEIGY SEED DIVISION	1770309	5/11/93	31
CIBASOFT	1214734	11/2/82	9
CIBASUN	1214735	11/2/82	9
CIBATHIN	1214736	11/2/82	9
CIBATINT	1232598	3/29/83	9
CITATION	1402837	7/29/86	5
COMPLY	1864165	11/22/94	5
CONCEP	1105382	11/7/78	5
CONCEP SAFENED SEED and Design	1172504	10/6/81	31
CONSTANT-T	1197680	6/15/82	5
CORAMINE	180693	3/4/24	5
COTORAN	790184	6/1/65	5
COURSEPAK	1843434	7/5/94	5
CPP	1707373	8/11/92	42
Crab with Octagon and Design	1801169	10/26/93	41
CROP PERFORMANCE PLANNER	1737251	12/1/92	42
CROPS	1874812	1/17/95	35
CRUEX	829017	5/23/67	5
CURACRON	1031854	2/3/76	1
CYCLE	1111587	1/23/79	5
CYCLE	1442688	6/16/87	5
CYTDREN	1056413	1/18/77	5
DACRIOSE	684675	9/8/59	5
DAILIES	2167845	6/23/98	9
DBI	695530	4/5/60	5
Dead Insect and Triangle Design	1691128	6/9/92	5
DERBY	1709136	8/18/92	5
DESENEX	413804	5/15/45	5
DESENEX	1008943	4/15/75	3, 5
DESENEX	1779849	6/29/93	41
DESFERAL	737030	9/4/62	5
DIANABOL	687473	11/3/59	5
DISOBROM	1420777	12/16/86	5
DIVIDEND	1589682	4/3/90	5
DOAN'S	2015849	11/12/96	5
DROPPERETTES	658927	2/25/58	5

Attachment B

CSC 45

F 461231000613

CERTIFICATE OF MERGER

OF

SANDOZ CORPORATION

INTO

CIBA-GEIGY CORPORATION

UNDER SECTION 904 OF THE BUSINESS CORPORATION LAW

We, the undersigned, Jeff Benjamin and Bruce J. Brumberg, being respectively Vice President, General Counsel and Secretary, and Assistant Secretary of Ciba-Geigy Corporation and Robert L. Thompson, Jr. and Wayne P. Merkelson, being respectively Vice President, General Counsel and Secretary, and Vice President, Associate General Counsel and Assistant Secretary of Sandoz Corporation hereby certify:

FIRST: The name of the constituent corporation which is to be the surviving corporation is Ciba-Geigy Corporation and the name under which it was formed is Ardsley Chemical Corporation. The date upon which its Certificate of Incorporation was filed by the Department of State is November 15, 1966.

SECOND: The name of the other constituent corporation which is being merged into the surviving corporation is Sandoz Corporation, and the name under which it was formed is Zodnas Holdings, Inc. The date upon which its Certificate of Incorporation was filed by the Department of State is December 22, 1976.

THIRD: The Board of Directors of each of the constituent corporations has duly adopted a Plan of Merger setting forth the terms and conditions of the merger of said corporations.

FOURTH: As to each constituent corporation, the designation and number of outstanding shares of each class and series and the voting rights thereof are as follows:

- (a) Designation, voting rights and number of shares in each class or series outstanding:
- (1) For Ciba-Geigy Corporation:
    - (i) Common Stock, \$1.00 par value; voting; 72,230,756 shares outstanding
    - (ii) Common Stock, \$1.00 par value; nonvoting; 5,304 shares outstanding
  - (2) For Sandoz Corporation:

Common Stock, \$1.00 par value; voting; 1,000 shares outstanding

TRADEMARK

REEL: 001900 FRAME: 0078

- (b) Shares entitled to vote as a class or series:  
(1) For Ciba-Geigy Corporation: None  
(2) For Sandoz Corporation: None

FIFTH: The merger was adopted by each constituent corporation in the following manner:

- (a) As to Sandoz Corporation, by the written consent of the sole shareholder.  
(b) As to Ciba-Geigy Corporation, by the written consent of the sole shareholder.

SIXTH: The Certificate of Incorporation of Ciba-Geigy Corporation is hereby amended as follows:

- (a) Article 1 (concerning the name of the corporation) of the Certificate of Incorporation is hereby deleted in its entirety and replaced with:

"The name of the corporation shall be Novartis Corporation."

SEVENTH: The effective date of the merger shall be January 1, 1997.

IN WITNESS WHEREOF, we have signed this certificate on the 26 day of December, 1996, and we affirm the statements contained therein as true under penalties of perjury.

CIBA-GEIGY CORPORATION

By: Jeff Benjamin  
Name: Jeff Benjamin  
Title: Vice President  
By: Bruce B. Bumbarg  
Name: Bruce B. Bumbarg  
Title: Assistant Secretary

SANDOZ CORPORATION

By: Robert L. Thompson, Jr.  
Name: Robert L. Thompson, Jr.  
Title: Vice President  
By: Wayne P. Merkelson  
Name: Wayne P. Merkelson  
Title: Assistant Secretary

tek: cofmerger

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