FORM PTO-1594 (Rev. 6-93)	RECORDATION FOI	02-08-1999	RTMENT OF COMMERCE Patent and Trademark Office	
OMB No. 0651-0011 (exp. 4/94)	TRADEMAI		ratent and Trademark Office	
Tab settings ⇒⇒⇒ ▼	<b>▼</b>		▼ ▼	
	ssioner of Patents and Trademarks.	100958934	nts or copy thereof.	
1. Name of conveying party	(ies):	2. Name and address of receiving	party(ies):	
Advent Softv	vare, Inc.	Name: Advent Technology, In-	ç.	
		Internal Address:		
☐ Individual(s) ☐ Association		Street Address: 301 Brannan Street, 6th Floor		
☐ General Partnership ☐ Limited Partnership  ★ Corporation-State, <b>Delaware</b>		City: San Francisco State:		
Other				
		☐ Individual(s) citizenship: ☐ Association		
Additional name(s) of conveying	g party(ies) attached? □ Yes * No	☐ General Partnership		
· · · · · · · · · · · · · · · · · · ·		☐ Limited Partnership		
3. Nature of conveyance:		Corporation-State Delaware		
* Assignment	□ Merger	□ Other		
☐ Security Agreement	☐ Change of Name	If assignment is not domiciled in the United	States, a domestic representative	
□ Other		designation is attached:	No	
Evenution Data: Yuly 1	1000	Additional name(s) and addresses attached?		
Execution Date:	1998			
4. Application number(s) or patent number(s): A. Trademark Application No.(s) 74/438,902 75/289,982 75/405,569 75/500,706 75/269,459		B. Trademark Registration No. 1,490,606 1,671,042 1,347,405 2,082,035 1,989,016	(s)	
	Additional numbers attached	d? □ Yes <b>≭</b> No		
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Andrew P. Bridges/Joshua D. Thomas		6. Total number of applications an registrations involved:		
		7. Total fee (37 CFR 3.41)	\$ 265,00	
Internal Address:			<u>\$ 203.00</u>	
Wilson Sonsini Goodrich & Rosati		<b>≭</b> Enclosed		
Professional Corpora		☐ Authorized to be charged to	deposit account	
Street Address: 650 Pag	e Mill Road	If fee insufficient, please charg	çe .	
		8. Deposit account number:		
·	State: California ZIP: 94304	23-2415 Attn.: 08879-900		
i/1999 DHGUYEN 00000073 149060	6	(Attach duplicate copy of this page if p	aying by deposit account.)	
:462	40.00 UP DO NOT USE	THIS SPACE		
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.				
Joshua D. Thomas	losh J	nomes 1-	28-99	
Name of Person Sign	ning Sign		Date	
	Total number of pages including cover shee	et attachments and document:		

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

## ASSIGNMENT OF TRADEMARKS

THIS ASSIGNMENT OF TRADEMARKS is made as of July 1, 1998, by ADVENT SOFTWARE, INC. ("Advent"), a Delaware corporation, having its principal place of business at 301 Brannan Street, 6th Floor, San Francisco, California.

WHEREAS, Advent is the owner of certain trade names, trademarks, service marks, trade dresses. logos, designs, and slogans, in word mark, stylized and/or design formats, including without limitation the marks which are the subject of the registrations and pending applications identified in Schedules 1 and 2 hereto (the "Marks"); and

WHEREAS, ADVENT TECHNOLOGY, INC. ("ATI"), a Delaware corporation, having its principal place of business at 301 Brannan Street, 6th Floor, San Francisco, California, is desirous of acquiring the Marks and any pending applications therefor as successor to the business of Advent to which the Marks relate; and

WHEREAS, Advent and ATI have entered into the Asset Transfer Agreement dated as of July 1, 1998, as part of which Advent has agreed to assign to ATI the Marks; and

WHEREAS, this Assignment of Trademarks will effectuate that assignment:

NOW, THEREFORE, for good and valuable consideration, including the promises and mutual covenants set forth in the Asset Transfer Agreement, Advent hereby assigns and transfers to ATI all of its rights, title and interest throughout the world in and to the Marks, including without limitation, the registrations and applications pertaining thereto, together with the goodwill of the business symbolized by the Marks and the right to sue and recover damages for past, present and future infringements thereof and to stand in the place of Advent in all matters related thereto.

IN WITNESS WHEREOF, Advent has caused this Assignment of Trademarks to be executed as of the day and year first written above.

ADVENT SOFTWARE, INC.

Patricia Voll

DATE: Vice President, Finance

# **SCHEDULE 1**

# **UNITED STATES TRADEMARKS**

Mark	Application/ Registration No.	Filing/Registration Date
ADVENT SOFTWARE, INC.	Reg. No. 1,490,606	May 31, 1988
THE PROFESSIONAL PORTFOLIO	Reg. No. 1,347,405	July 9, 1985
AXYS	App. No. 74-438,902	September 22, 1993 (filed)
MOXY	Reg. No. 1,989,016	July 23, 1996
GENEVA	Reg. No. 1,671,042	January 7, 1992
QUBE	Reg. No. 2,082,035	July 22, 1997
REX	App. No. 75-405,569	December 9, 1997 (filed)
ADVENT PARTNER	App. No. 75-269,459	April 4, 1997 (filed)
SECOND STREET SECURITIES	App. No. 75-289,982	May 12, 1997 (filed)
ADVENT OFFICE	App. No. 75-500,706	June 11, 1998 (filed)

# **SCHEDULE 2**

## INTERNATIONAL TRADEMARKS

Mark (Country)	Application/ Registration No.	Filing/Registration Date
ADVENT (Benelux)	Reg. No. 590641	March 28, 1996
AXYS (Benelux)	Reg. No. 590640	March 28, 1996
MOXY (Benelux)	Reg. No. 590639	March 28, 1996
QUBE (Benelux)	Reg. No. 590638	March 28, 1996
ADVENT (European Community)	App. No. 145003	April 1, 1996 (filed)
AXYS (European Community)	App. No. 145029	April 1, 1996 (filed)
MOXY (European Community)	App. No. 145060	April 1, 1996 (filed)
QUBE (European Community)	App. No. 145110	April 1, 1996 (filed)

# Wilson Sonsini Goodrich & Rosati

PROFESSIONAL CORPORATION

# 650 PAGE MILL ROAD PALO ALTO, CALIFORNIA 94304-1050 TELEPHONE 650-493-9300 FACSIMILE 650-493-6811 WWW.WSGR.COM

JOHN ARNOT WILSON

January 28, 1999

Box Assignment Branch - Fee Commissioner of Patents & Trademarks Washington, D.C. 20231

Re: Recordation of Assignment of Trademarks

MARK: ADVENT SOFTWARE, INC.

Registration No.: 1,490,606

Original Applicant: Advent Software, Inc.

Registration Date: May 31, 1988 Attorney Docket No.: 08879-TM1001

MARK: THE PROFESSIONAL PORTFOLIO

Registration No.: 1,347,405

Original Applicant: Advent Software, Inc.

Registration Date: July 9, 1985 Attorney Docket No.: 08879-TM1002

MARK: AXYS Serial No.: 74/438,902

Original Applicant: Advent Software, Inc. Filing Date: September 22, 1993

Attorney Docket No.: 08879-TM1006

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class main an envelope addressed to the Box Assignment Branch-Fee, Commissioner of Patents & Trademarks, Washington D.C. 20231, on:

Claire De Long 1/28/
Print Name Date of De

Claire De tory 1/28/99

## WILSON SONSINI GOODRICH & ROSATI

Box Assignment Branch - Fee Commissioner of Patents & Trademarks January 28, 1999 Page 2

MARK:

Registration No.:

Original Applicant: Registration Date:

Attorney Docket No.:

**MARK:** 

Registration No.:

Original Applicant: Registration Date:

Attorney Docket No.:

MARK:

Registration No.:

Original Applicant: Registration Date:

Attorney Docket No.:

MARK:

Serial No.:

Original Applicant:

Filing Date:

Attorney Docket No.:

**MARK:** 

Serial No.:

Original Applicant:

Filing Date:

**Attorney Docket No.:** 

**MARK:** 

Serial No.: Original Applicant:

Filing Date:

Attorney Docket No.:

MARK:

Serial No.:

**Original Applicant:** 

Filing Date:

Attorney Docket No.:

**MOXY** 

1,989,016

Advent Software, Inc.

July 23, 1996

08879-TM1011

**GENEVA** 

1,671,042

Advent Software, Inc.

**January 7, 1992** 

08879-TM1012

**QUBE** 

2,082,035

Advent Software, Inc.

July 22, 1997

08879-TM1016

REX

75/405,569

Advent Software, Inc.

**December 9, 1997** 

08879-TM1017

**ADVENT PARTNER** 

75/269,459

Advent Software, Inc.

**April 4, 1997** 

08879-TM1022

**SECOND STREET SECURITIES** 

75/289,982

Advent Software, Inc.

May 12, 1997

08879-TM1024

ADVENT OFFICE

75/500,706

Advent Software, Inc.

June 11, 1998

08879-TM1025

Box Assignment Branch - Fee Commissioner of Patents & Trademarks January 28, 1999 Page 3

## Dear Commissioner:

Enclosed for filing are (i) a duly executed Recordation Form Cover Sheet to record the trademark assignment from Advent Software, Inc. to Advent Technology, Inc. against the referenced trademark registrations and applications and (ii) a copy of the original Assignment of Trademarks.

Also enclosed is a check made payable to the "Commissioner of Patents and Trademarks" in the amount of \$265.00 for the recording of the trademark assignment. The Commissioner is authorized to charge any additional fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 23-2415 ATTN: 08879-900.

Please return the assignment recordation papers with the stamped reel and frame numbers to me at the address shown below and please take note that all correspondence regarding the recordation of the trademark assignment and the related registrations and applications should be directed to:

Andrew P. Bridges
Joshua D. Thomas
Wilson Sonsini Goodrich & Rosati
Professional Corporation
650 Page Mill Road
Palo Alto, CA 94304
(650) 493-9300

I would appreciate your acknowledging receipt of the enclosed Assignment of Trademarks, Recordation Form Cover Sheet, and check in the amount of \$265.00 for filing fees by stamping the enclosed post card with the date received and returning it to me.

Thank you for your attention to this matter.

Very truly yours,

WILSON SONSINI GOODRICH & ROSATI Professional Corporation

Joshua D. Thomas

JDT:de1 Enclosures

cc: Andrew P. Bridges (w/encl.)

RECORDED: 02/01/1999